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Democracy and the demographic transition

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Abstract

This paper addresses the role of demographic factors in contributing to the emergence of democracy. It maintains that, other things equal, progress in the demographic transition promotes democratization. The argument is developed with reference to the effects of interrelated changes in mortality, natural increase (i.e. population growth), fertility, and population age structure. Suggestions are also made with respect to how demographic and democratic trends should be gauged. An analysis of data for the period 1970-2005 for 77 countries that were initially non-democratic provides substantial support for the argument. Some implications are discussed, as are future trends in democratization from a demographer's perspective.

Keywords: demographic transition; autocracy; destabilization; population growth; population aging

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Introduction

Perhaps the most common explanation of democratization—in relation to North American and European populations in the past, and others more recently—is that it is underpinned by economic advance. Essentially, the argument is that by freeing people from poverty, and improving the basis for the emergence and dissemination of values of equality and secularism, the experience of modern economic growth and rising living standards favours a society's transition to democratic politics. In short, prosperity encourages democracy. Of course, the relationship is not inevitable. Other factors are germane and can intervene.¹ Nevertheless, it is widely thought that, more than anything else, it is economic development that underpins democratization.²

It is surprising, however, that little attention has been given to demographic factors in accounting for democratization in recent decades. Since the events of 9/11, there has been research on the relationship between young—and therefore rapidly-growing—population age structures on the one hand, and conditions of conflict and political instability on the other. The resulting 'youth bulge' literature certainly addresses population characteristics that may be inimical to democracy.³ But that is *not* the same as addressing how demographic progress may support a movement from autocracy to democracy. In short, it is not the same as considering how, in distinctly positive ways, and in combination with other factors such as education, the 'demographic transition' may help to foster a 'democratic transition'.

With this as background, the present paper argues that the passage of countries from experiencing high death and birth rates (i.e. high mortality and high fertility) to experiencing low death and birth rates does indeed have distinctly advantageous implications for democratization. The argument is made in four parts. First, we introduce the concept of demographic transition and discuss why its constituent processes are likely to promote a shift from autocracy to democracy. Second, we consider issues of data and approach—including how a society's progressions through both the demographic and the democratic transitions might best be evaluated. Third, we present an analysis of international data on trends in democracy over the period 1970-2005. The results support the idea that demographic progress is an important factor behind democratic advance. Moreover, even if democracy is established for quite different reasons, demographic progress is likely to be significant in conditioning whether it survives. Finally, we discuss some of the implications of our findings—including for future trends in democratization.

Theoretical considerations

The demographic transition has been described as 'one of the best documented generalizations in the social sciences'.⁴ Each country's experience is distinct. However, Figure 1 shows the phenomenon's basic features. As can be seen, the demographic transition involves a major change from circumstances in which a society's death rate and birth rate are high and roughly equal, to circumstances where both rates are low and roughly equal. Pre-transitional societies varied in terms of their demographic characteristics. Nevertheless, life expectancy was normally very short by modern standards—usually in the range 20-30 years—and women would experience an average of about 5-7 births during their lives. By the end of the transition, life expectancy is likely to be at least 65 years, and women will have about two births each (or less).⁵

[Figure 1 about here]

As Figure 1 shows, mortality decline—as reflected by the fall in the death rate—is the first process to begin. It causes a rise in the rate of natural increase, i.e. essentially the rate of population growth. There is then a delay before the birth rate—mainly reflecting the level of fertility experienced by women—begins to fall. The fall in the birth rate has two major effects. First, it causes a reduction in the rate of population growth (i.e. natural increase) back

towards the low (or zero) rate that existed previously. Second, it causes the age structure of the population to slowly but surely become much older.⁶ This process of population ageing is inevitable. While a pre-transitional society might have a median age of about 20 years, by the end of the transition its median age is likely be close to 40.

It is the 'second half' of the demographic transition—i.e. that involving a fall in fertility, and therefore the birth rate—that is of most concern to us here. This is partly because almost all countries today have experienced, or begun to experience, a fall in fertility. However, the main reason why the second-half of the transition is of primary concern is that theory and experience both suggest that this is the period when the links between demographic and political progress are likely to be strongest.

Our consideration of theory starts from the position that before the demographic transition the political systems of *all* states were fairly authoritarian. That is, autocracy represents the general position *ex ante*. Indeed, it is hard to envisage the emergence of anything resembling a modern democracy in circumstances where disease and illness are rife, and life expectancy is 20-30 years—circumstances where, to quote Hobbes, life is 'nasty, brutish and short'.⁷ If, as is sometimes said, escape from poverty encourages intelligent political discourse, then so, most certainly, does escape from sickness and sudden death.

The sustained fall in the death rate that marks the onset of demographic transition means that people gradually become healthier, fitter, and more assured of their own life prospects. On these grounds, it is reasonable to suppose that adults become more concerned with the long-term future—both their own and that of their children. There are reasons to think that mortality decline raises the propensity to save and invest—not least with respect to increasing levels of investment in the educational attainment of the next generation.⁸ People may also come to give greater consideration to issues of justice and fairness in society. These various effects are almost certainly diffuse. Nevertheless, as a result, individuals may become more disposed to questioning autocratic rule. It is true that democracies have occasionally arisen in circumstances of fairly high mortality. India in the early 1950s is a rare example. But there had been prior mortality decline in India (by 1950-55 life expectancy was about 36 years) and the instigation of democracy was undoubtedly influenced by external factors.⁹ Although mortality decline is probably necessary for democracy, the experience of many countries proves that it is not sufficient to guarantee it. Indeed, it is difficult to show that the level of mortality has an effect on the level of democracy using contemporary international data.¹⁰

A crucial aspect of mortality decline is that it has several major *destabilizing* effects on society. Above all, the population begins to grow—and, significantly, at a faster and faster rate (Figure 1). This is likely to challenge the capacities of the (autocratic) state—such as administration, gathering taxes, and dependent upon circumstances, maintaining public order.¹¹ Moreover, as the population grows, so does that of urban areas—including key sites of power. Indeed, urban population growth tends to be appreciably faster than overall population growth.¹² As a result, urban growth may be particularly crucial—and difficult—for the state's agencies to manage. This issue is likely to be compounded by another potentially unsettling effect of mortality decline, namely the fact that it tends to stimulate migration.¹³ Added to this, is that the population's already-young age structure becomes slightly younger still (because the fall in death rates tends to be greatest at young ages). Indeed, as mortality declines the median age of a country's population may well fall by two or three years (essentially it is this development that produces a 'youth bulge'). All of these potentially destabilizing effects come *on top* of the fact that people's improving health status may facilitate their greater questioning of autocratic rule.

In sum, then, mortality decline initiates a long period of destabilization—one that is likely to raise challenges for the situation of an autocratic elite. As a result, those in power can be expected to initiate new restrictions in order to try to maintain their controlling position. Other sections of society, such as the commercial classes, are likely to support the continuation of elite rule provided that they believe that it can ensure public order.¹⁴ Below the surface, however, the possibility of socio-political turmoil may never be far away.

This brings us to the 'second half' of the demographic transition—when the decline in fertility causes population ageing and a fall in the rate of population growth. This is when demographic destabilization diminishes—before coming to an end (Figure 1). Four lines of reasoning link this period with increasing pressure for a change from autocracy towards democracy.

First, albeit in underlying ways, population ageing almost certainly contributes to democratization, other things equal. In this context, ageing entails a long-term transformation of a society's age composition. Children decline greatly as a proportion of the total population, while the proportion of people in the adult years (e.g. aged 18 years and over) rises substantially. The view taken here is that, in the long run, the change in population composition is itself likely to contribute to increased demand for political change. To illustrate: before the decline in the birth rate, children may well comprise more than half of a country's population. However, towards the end of the transition the figure will probably be close to 20 percent—i.e. about 80 percent of the population will be adults.¹⁵ The theoretical case linking population ageing with democratization is straightforward: compared to children, adults are more likely to want a 'voice' in political affairs, and they have greater capacity to facilitate societal change. Therefore, other things equal, demographic maturation tends to foster political engagement.

Second, fertility decline is also likely to promote democratization. Here the basic reasoning is that as the birth rate falls, the activities related to childbirth and childcare come to occupy a much smaller proportion of people's-but especially women's-lives. There is very substantial empirical evidence to this effect.¹⁶ Indeed, it is estimated that before the decline in the birth rate women might spend about 70 percent of their adult lives bearing and rearing children, whereas towards the transition's end the figure will be closer to 15 percent.¹⁷ The fall in fertility allows for the increasing movement of women out of the domestic domain and into formal employment—albeit at varying speeds in different societies. Today, democracy is taken as involving equal suffrage for women and men. And in recent decades the introduction of voting rights for both sexes has almost always been simultaneous. However, this was not generally the case when modern systems of democracy first emerged. In the societies that were first to develop representative democracy, it was clearly the case that voting and related rights were usually extended to women after they were extended to men.¹⁸ The increased participation of women in the formal labour force in these societies is often thought to have contributed to the gaining of the female franchise. And even today, alongside men, the greater empowerment of women as a result of fertility decline may still have a positive, if perhaps latent effect, both in instigating and sustaining democracy.

Third, mortality decline does not stop during the 'second-half' of the demographic transition. Figure 1 shows that the death rate no longer falls at its previous pace, and in some cases it may even rise slightly. But the trend in the death rate is partly a result of population ageing. In fact, the rate of improvement in life expectancy during the second half of the transition is likely to be similar to that experienced during the first half. Therefore, the gains in health and wellbeing that accompany mortality decline, which we have argued will enhance the questioning of autocratic rule, continue to occur.¹⁹

Finally, the second half of the demographic transition sees a fall in the rate of population growth (Figure 1). This means that the challenges to the state that arise from sustained natural increase diminish, and eventually disappear. It is important to note that this development can work to the advantage of *any* type of political regime—irrespective of whether it is autocratic or democratic. Nevertheless, if democratization has the upper hand—for example, due to the previous lines of reasoning—then the reestablishment of more stable demographic conditions should work in favour of democracy.

In summary, the forgoing arguments suggest that, especially during its 'second half', the demographic transition raises the likelihood of a movement from autocratic to democratic politics. As noted, earlier research has focussed mainly on the potentially disruptive effects of young and rapidly growing populations—in which the rate of job creation may well be lower than the rate of growth of young adults (especially males) entering the working ages. Such research is chiefly concerned with societies located roughly halfway in the demographic transition-where the destabilizing effects of mortality decline may well lead to greater autocratic suppression. Inasmuch as research has posited a more constructive role for demographic change in democratization, this has involved the potential economic gains that can arise during the transition's second half. These gains in living standards are sometimes termed a demographic 'dividend'. Essentially, this dividend—which is far from guaranteed can result from the economically advantageous shift in age structure (i.e. fall in the ratio of children to adults) that results from fertility decline. Cincotta, in particular, has argued that the higher wages that can arise from this shift in age-structure may enhance the prospect of progress towards democracy.²⁰ However, in part, the present argument is that the same major change in age composition has the potential to contribute to progress in the political sphere.

The global demographic context

Having discussed theoretical considerations, it is useful to summarize variation in global demographic experience—especially with respect to the transition's second half.²¹ Countries in Europe and North America were the first to experience demographic transition. They were followed by societies in Latin America and Asia, whereas African countries have lagged behind.

[Table 1 about here]

These different experiences are reflected in Table 1. Except for Africa, life expectancy during 2005-10 was at least 70 years in all world regions. However, even Africa has experienced significant mortality decline (life expectancy in 1950-55 was only 36 years). Global regions vary much more with respect to their fertility—and therefore their birth rates and rates of natural increase-than with respect to their mortality. Thus women in Africa had an average of almost five births during 2005-10. In contrast, Latin American and Asian fertility had fallen greatly-to just over two births per woman. Therefore, compared to Africa, these regions had much lower birth rates and rates of population growth. Europe and North America were the most demographically advanced—with very low birth rates and rates of natural increase. The median age (MA) estimates give a good general indication of how far each region had progressed. Thus, because fertility had fallen only slightly, Africa's MA of 19.2 years in 2010 was still very low. Most African populations are still growing rapidly and have only very recently entered the second half of the demographic transition. Latin America and Asia are in intermediate positions. Latin America's MA in 2010 was 27.3 years (compared to 20.0 in 1950) while Asia's was 28.8 years (compared to 22.3). Finally, the MAs for Europe and North America signal that these regions had effectively passed through the transition by 2005-10.

To conclude this introduction, there are reasons to think that, in combination, the processes of the demographic transition have a major-if underlying-influence on the evolution of political systems. In the first half of the transition, mortality decline and population growth have destabilizing effects on what are essentially autocratic political regimes. In the second half, mortality decline, fertility decline, and population ageing are all likely to foster conditions that will widen the basis of political power. Moreover, slowing natural increase should produce more settled socio-political conditions-quite possibly benefitting democratization. For expositional reasons, the argument has been framed in simple temporal terms and, of course, it is made 'other things equal'. It should require no emphasis that *non-demographic* forces can be important both in preventing and in promoting democracy. In this context, a few countries have gone through the demographic transition without becoming democracies. Conversely, though almost always short-lived, democratic institutions have sometimes been set up in young and rapidly-growing populations-for example, as part of the process of decolonization.²² Such exceptions are discussed below. However, our aim is to develop an understanding of the general relationship between demography and democracy. We now turn to the issue of how demographic and political progress are analysed here, including the data and methods that are used.

Data and analysis

The following analysis uses median age (MA) to represent a country's overall progress in the demographic transition. The MA estimates employed are those of the United Nations. They are *very* robust compared to all of the other socioeconomic measures that are used.²³ We do not attempt to distinguish the effects of individual demographic processes in what follows because they are all very closely related. For example, we exclude consideration of mediating variables, not least because they are highly correlated with the process that is under investigation, and their inclusion would render the analysis extremely hard to interpret. In this

context, Table 2 gives the correlation coefficients between MA and the other demographic variables for the 154 countries with more than one million people in 2005 that formed the starting point for our analysis. Note that MA is strongly and positively associated with life expectancy, and strongly but negatively associated with population growth and the level of fertility.²⁴ The average MA of the ten youngest of the 154 countries in 2005 was 16.2 years. The matching life expectancy, fertility and population growth figures were 50.4 years, 6.7 births per women, and 3.5% per annum. The mean MA of the ten oldest countries in 2005 was 41.0 years. The corresponding life expectancy, fertility and population growth figures were 78.2 years, 1.43 births per woman, and 0.2% per annum. Essentially, the contrast between these two groups of countries shows current variation with respect to their place in the demographic transition.

[Table 2 about here]

Turning to democracy, we began our analysis using Vanhanen's index of democracy (ID) and also carried out analysis based on the 'Democ' measure produced by the Polity IV Project.²⁵ Vanhanen's ID is undeniably a minimal measure—short on institutional content. That said, it reflects two critical features of democracy as identified for example in the work of Dahl.²⁶ These features are political participation (P) and political competition (C), both as revealed in elections. Basically, ID is the product of P and C—where P is gauged mainly by the proportion of a country's population that turns out to vote, and C is gauged by the proportion of votes that are cast for political parties other than the largest one.²⁷ Like all measures of democracy, ID has been criticized on various grounds.²⁸ For example, it is almost entirely elections-based, and it can be distorted where party systems are highly fragmented. That said, ID has some important strengths. For example, it consists of a continuous, as opposed to an ordinal scale. It reflects the degree to which both men and women actually engage in voting. And, importantly, it is largely free of subjective evaluation.

In sum, then, ID was used in the first instance here because: it is comparatively straightforward in terms of its empirical grounding; it is relatively objective (and replicable); and, it reflects what many see as being critical features of democracy.

In contrast, in our view, the Democ measure is less satisfactory for present purposes. Thus the Democ values produced for countries derive largely from subjective assessments and, relatedly, they are expressed as simple integer scores ranging from 0 to 10. Although they are often used by analysts as indicators of the level of democracy, they are really intended by the Polity IV Project more as evaluations of the institutional structures of prevailing political regimes (mainly in relation to the executive branch of government). This means that Democ measures do not, for example, directly reflect the degree to which people vote in elections.²⁹ Nevertheless, in what follows results using Democ scores are presented to show that a society's progress through the demographic transition appears to be a significant factor influencing its chances of becoming a democracy largely irrespective of which indicator is used.

The remaining country-level socioeconomic measures used in the analysis are fiveyearly (i.e. quinquennial) estimates of (i) real per capita GDP (expressed in 1990 Gear-Khamis US dollars), (ii) the proportion of the population aged 25 years and over with completed secondary school education, (iii) the proportion of the economically active population employed in agriculture, and (iv) the proportion of the total population residing in urban areas. Estimates of the proportion of the population aged 20 years and over are also used (see below).³⁰ Given the availability of estimates of these different variables—including the initial dependent measure ID—the analysis is restricted to the period 1970-2005, and to countries for which there are quinquennial estimates of *all* of the variables. This reduced the number of countries from 154 to 101.³¹ Furthermore, because we are concerned with the *emergence* of democracy, populations that had ID values of 15 or more at the start of the period (i.e. in 1970) were excluded from the analysis. This was because these—mostly very developed—countries could be taken as *already* being fairly democratic in 1970.³² This left 77 countries as the basis for most of the analysis. Of these 77 countries, 31 are in Africa, 20 in Asia, 18 in Latin America (and the Caribbean), and 8 are in Europe.³³

Our analysis includes several statistical models, each of which use a measure of democracy as the dependent variable. The first set of models (labelled 'model 1') use pooled multiple regression analysis across quinquennial estimates for the 77 countries in our analytical sample. Model 2 is the same as model 1, but with standard errors that take account of clustering by country.³⁴ Model 3 is the same as model 1, but includes a (5-year) lagged dependent variable. Model 4 includes 'fixed effects' at the country-level in order to better estimate the relationship within countries between democracy and our explanatory variables. Finally, model 5 uses the same fixed effects specification as model 4, but including time fixed-effects and panel-corrected standard errors.³⁴

Results

Beginning with ID as the dependent variable, for the 77 initially fairly autocratic countries, Figure 2 compares the change in ID between 1970 and 2005 with the corresponding change in MA. The average (un-weighted) value of ID for the countries rose from 2.4 to 12.3 during 1970-2005, while the average MA increased from 19.3 to 23.6 years. Figure 2 shows a considerable amount of scatter around this general trend. Quite a few countries experienced little or no change in their median ages (especially in African countries where the value of MA sometimes fell). It is clear from the data that some countries (e.g. Afghanistan, Argentina, Burundi) experienced significant increases in ID with only modest rises (or even small falls) in MA, while other countries (e.g. China, Cuba, Kuwait) experienced large rises in MA with little change in ID. Nevertheless, the overall relationship between change in ID and change in MA is positive and statistically significant at the 5 percent level (p=0.046). Populations that have progressed more in demographic terms have also tended to become more democratic as gauged by ID.

[Figure 2 about here]

We also analysed the relationship between ID and MA, controlling for a number of other explanatory variables (using the five models described previously). MA was found to be strongly associated with ID, even after controlling for lagged ID, per capita income, agricultural employment, education, and urbanization. Indeed, MA was significant well within the 0.1 percent level in all models except model 5. The estimated coefficients for MA in model 4 suggest that an increase of one year in median age is associated with a within country increase of at least 0.6 in the value of ID—i.e. a sizeable conditional effect. It is important to record that the only other variable for which the results were statistically significant in more than one of the models was education, which was significant at the 1 percent level in models 1 and 4.

However, as described previously, one of the ways that the demographic transition will have an impact on democratization is because it increases the proportion of the population that is adult i.e. a compositional effect. In relation to the measurement of democracy, at least in the case of ID, this appears to have been largely unnoticed in previous work.³⁵ Importantly, in the calculation of ID the value of P (i.e. political participation) is based on the proportion of the *total* population that votes. But this proportion is heavily affected by a country's age structure—because voting is only undertaken by adults. The importance of this explanation, especially from a demographer's perspective, is investigated here by extending the previously-discussed analysis based on ID.

The age structure of societies varies greatly according to their position in the demographic transition. Thus a country that is situated around the middle of the transition will have a *much* smaller proportion of its population eligible to vote than one that is located toward the end. For example, if we assume that only people aged 20 years and over can vote, then a country near the middle of the transition in 2005 would only have around 40 percent of its population eligible to vote, compared to 80 percent for a country that was near the transition's end. Notice that the bias operates systematically—tending to reduce the level of Vanhanen's ID in young populations (e.g. in Africa) relative to those that are older (e.g. in Europe).

To investigate this issue, we have computed a new measure of democracy based on ID, but standardized for age structure. This has been accomplished simply by dividing all values of ID by the corresponding estimate (for country and year) of the proportion of the population aged 20 and over. We call this measure AID—standing for age-adjusted index of democracy. Essentially, AID means that a country's level of democracy is being assessed on the basis of the proportion of the *voting-age* population that votes (rather than the total population).³⁶

[Figure 3 about here]

[Table 3 about here]

For the 77 initially autocratic countries in our analytical sample, the mean value of AID increased from 5.2 to 21.3 between 1970 and 2005. As illustrated by Figure 3, the bivariate relationship between change in AID and change in MA (1970-2005) exhibits considerable scatter, and unlike the equivalent association between ID and MA (in Figure 2), it is not significant at any conventional level. This might be expected, given that unlike ID, the variation in AID accounts for the proportion of the population that is eligible to vote.

Nevertheless, further analysis suggests that median age still explains some of the variation in levels of democracy, even after making this adjustment. Table 3 presents the results of our regression models that use AID as the dependent variable (rather than ID). The magnitude of the estimated associations between MA and AID remain sizeable. Although no significant relationship is indicated by model 5, the estimates for MA are significant at the 5 percent level in all other models. Note that, again, of the other variables, only education is statistically significant in more than one model.

[Table 4 about here]

Robustness of the findings

As one means of checking the robustness of our findings, Table 4 presents the results when 'Democ' is used as the dependent variable. The pattern of these results are not very different from those in Table 3, except to note that agricultural employment is significantly associated with levels of democracy in several of the models (i.e. the more people employed in agriculture, the lower is the level of Democ).

Here, we have only analysed the countries that are undemocratic at the start of our study period. This explains why we model the level of democracy, rather than change in democracy. Nevertheless, it is reasonable to ask whether the results change when directly analysing five-year change. For this reason, we run the analysis using a lagged dependent variable (model 3).³⁷ This reduces the size of the MA effect, although it remains similarly significant. As a further robustness test, model 4 uses country-level fixed effects to examine the AID/MA relationship within countries. In this case, the effect remains significant (albeit only at 5% for AID), and similar in size (or larger in the case of Democ). As a final robustness test, we add time fixed-effects and panel-corrected standard errors to model 4. This result provides evidence that there is no relationship between median age and the level

of democracy within countries, after controlling for the aggregate trend in democratization. However, we believe that this result is most likely to be a reflection of the limited power of our data to identify effects in such a model. We also note that none of the variables in model 5 are significant, except the year dummies, irrespective of whether we are modelling ID, AID, or Democ.

[Figure 4 about here]

To conclude our analysis, and consider what these results mean for all countries, Figure 4 plots the relationship between AID and MA in 2005 for the 145 countries that had estimates of both measures, and a population of at least one million in 2005. Reflecting the multiplicity of factors that can influence the nature of modern political regimes, there is considerable scatter. Nevertheless, about 26 percent of the variation in AID can be accounted for by variation in MA. It is notable that some countries with low MA values have high AID values, for example Ghana (MA=19.8, AID=39.0), Malawi (16.8, 39.7), and Papua New Guinea (20.0, 63.2). In such cases the adjustment for age structure makes a big difference to a country's relative democratic standing. For example, Papua New Guinea (PNG) ranks twenty-fifth out of the 145 countries in terms of ID, but ranks first in terms of AID-ahead of the Netherlands (MA=38.8, AID=59.7). Of course, it is important to remember the minimal, and elections-based, nature of ID-and therefore AID. It may be relatively straightforward to hold a competitive election in a country—for example to meet the requirements of western governments that dispense development assistance. That said, the fact that countries like Ghana, Malawi, and PNG have young populations suggests that their status as democracies is far from secure. Figure 4 underscores that demographic progress does not ensure democracy. A small number of countries with high values of MA also have low values of AID. Most notably, Cuba (MA=35.5, AID=0.0), Georgia (35.8, 9.1) and Singapore (37.5, 5.5). The old

populations of Belarus (37.4, 19.4) and Russia (37.3, 22.6) also have relatively low levels of AID.

Summary and discussion

As noted at the start of this article, the paucity of research linking demographic change with democratization is surprising. Both words share the same root, after all. And there are close connections between the workings of democracy and demographic information. Thus the principle that political representation should be in proportion to population often requires that a census is taken—as exemplified, for example, in the constitution of the United States. Moreover, in the last resort, proof that a person is of voting age usually derives from a birth certificate generated by a civil registration system.

Inasmuch as previous work has acknowledged a role for demographic forces in influencing recent trends in democratization it has mostly addressed the disruptive consequences of young and rapidly-growing populations. Such destabilizing effects are probably real. But the research that has assessed them has tended to assume that political progress occurs for other non-demographic reasons—such as changes in society relating to economic growth.

In contrast, we have presented a theoretical case for the importance of demographic factors, and argue that a country's progress through the demographic transition (in particular its second half) is a key factor influencing its likelihood of becoming a democracy. The argument is multi-stranded. It involves major and closely-related changes in mortality, fertility, age structure, and the loss—and subsequent recovery—of relatively stable demographic conditions. The changes in demographic characteristics are hypothesized to influence democratization in *underlying* ways. The argument says little about the details of

the connection, for example whether the potential progression to democracy is gradual or dramatic.³⁸

It has been argued elsewhere that progress through the demographic transition was a significant force behind the creation of democracies in European populations between about 1890 and 1930.³⁹ The emergence of these first modern democracies was essentially an autochthonous process—i.e. it came from within these societies themselves. More recently, however, progress towards democracy has often been influenced by external factors. International—especially western—stimuli have been crucial in the advance of democratic politics in various ways.⁴⁰ Indeed, the fact that some democracies already existed has provided a potential role model for other countries to follow. Yet although democratization may have been influenced by both external and internal considerations during 1970-2005, our analysis of data for a sizeable number of countries provides substantial support for the present argument.

That said, if demographic progress contributes to democratic advance, it has not been the sole factor behind democratization in recent decades. In particular, the present analysis also suggests that a population's level of education appears to have a positive effect on democratization. There are strong reasons to think that more educated people are more interested in public affairs, and that they are more likely to engage in politics.⁴¹ Such people are also more likely to be aware of the existence of democratic institutions in other lands. The growth of mass education has therefore been fundamentally important both as a promoter and a maintainer of democracy. The fact that in recent decades many governments have seen educational expansion as a key development goal has almost certainly benefitted democratization. Increases in education have also promoted demographic progress in the 'second half' of the demographic transition.⁴² This has happened, in particular, in relation to the effect of rising levels of education on falling levels of fertility. Of course, education is only one of several factors contributing to fertility decline.⁴³ And the direction of causation between education and fertility is two-way. For example, it has been shown that fertility decline leads to a reduction in youth dependency which in turn leads to increases in educational coverage and improvements in educational quality.⁴⁴ Nevertheless, our results do provide support for the explanation that the spread of mass education has a significant effect on democratization. It is not the aim of this research to say whether education is a more or less important driver of democracy than the demographic transition, and it is hard to imagine a methodology that would allow us to disentangle the causal dependencies between these three processes (while also maintaining a reasonable degree of external validity). Instead it seems, at least based on our results, that both education and the demographic transition are likely to have independent and mutually reinforcing effects on democracy.

We have remarked that a common account of democratization stresses the contribution of economic development. However, the theoretical basis for this suggestion has been acknowledged as poorly developed.⁴⁵ The idea receives little support from several statistical analyses.⁴⁶ And we find no real evidence for it here. Indeed, it is striking that the (conditional) association between democracy and per capita income is not statistically significant in almost all of our models.

In contrast, there appears to be a persistent association between median age and levels of democracy. When interpreting this result, it is important to emphasize that a population's median age (MA) is much more than a measure of its age composition. Instead, it is better seen as a reflection of cumulative demographic progress—as regards mortality, fertility, population growth, and age structure. Given their overlap, these demographic factors are almost impossible to disentangle in a statistical analysis. However, median age remains an important explanation for variation in levels of democracy, even after adjusting our initial measure of democracy (ID) for one aspect of age composition. This age-adjusted measure (AID) is certainly a minimal index—largely devoid of institutional content. Nevertheless, it is relatively objective and replicable. And because it incorporates age structure, it may be a useful measure for future research that wishes to properly account for (at least some) demographic factors in the analysis of democracy.

Progress through the demographic transition does not guarantee democracy. But most modern democracies have passed through most of the demographic transition. All the same, the argument has been made here 'other things equal'. It is clearly possible for some countries with young and rapidly-growing populations to hold successful elections—Ghana, Malawi and PNG are examples. However, in these cases the demographic basis of democracy remains insecure. Conversely, there are a few countries—e.g. Cuba, Singapore, Russia—that have gone through the transition and yet remain fairly autocratic. It is notable too that China and North Korea are both approaching the transition's end. These examples show that political currents have their own dynamic—one that can counteract any potential trend towards democracy. Indeed, we have argued that, in itself, the return of relatively stable conditions at the end of the demographic transition is likely to favour whatever political system is dominant, including autocracy. That is not to say, however, that the attainment of much higher life expectancy, much lower fertility, and a much older age structure will not continue to influence political events in a progressive direction.

Looking to the future, there is no doubt that every country, if not already at the end of the demographic transition, will proceed in that direction during the next few decades. By way of illustration, whereas 76 of the 145 countries in Figure 4 had a median age of less than 25 years in 2005, the United Nations projects that only 24 countries in the world will have such a low median age in 2050.⁴⁷ Further, it is highly likely that levels of education will also increase appreciably in most countries in the coming decades.⁴⁸ Since progress in the demographic transition and educational expansion appear to be major drivers of democratization in the modern world, these developments should benefit the emergence and consolidation of democracy.

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Notes

⁷ Hobbes, *Leviathan*, XIII, 9.

⁸ See Bloom and Williamson 'Cumulative Causality', 172-73.

⁹ For life expectancy in India in 1950-55 see United Nations, *World Population Prospects*.

¹⁰ See Barro, 'Determinants of Democracy', S168-70. The difficulty of demonstrating a relationship may partly be because mortality decline has been so pervasive. It may also be because the effect may be more important at lower levels of life expectancy than at higher levels.

¹¹ See Goldstone, 'National Security', 686. For a study of factors that threaten political stability, but which neglects population growth, see Huntington, *Political Order in Changing Societies*.

¹² See Preston, 'Urban Growth', 204.

¹³ See Reher, 'Economic and Social Implications', 15-17.

¹⁴ See Cincotta, 'Half a Chance', 11-12.

¹⁵ This discussion accepts the general definition of children with respect to age. Note too that by 'population aging' here we are not primarily concerned with a rise in the proportion of people living at higher ages (e.g. 65 years and above).

¹⁶ See, for example, Collver 'The Family Life Cycle', and Davis and van den Oever 'Demographic Foundations'.

¹⁷ See Lee, 'The Demographic Transition', 167.

¹⁸ See, for example, Paxton 'Women's Suffrage', and Ramirez et al., 'The Changing Logic of Political Citizenship'.

¹⁹ However, as noted in note 10, this effect may be diminished at higher levels of life expectancy. For example, a rise in life expectancy from, say, 25 to 50 years may have greater influence than a rise from 50 to 75 years.

²⁰ See, Cincotta, 'Half a Chance', 11; Cincotta and Doces 'The Age-Structural Maturity Hypothesis'.

²¹ With reference, in particular, to when fertility declines were initiated in the period since 1950, this discussion draws on estimates in United Nations, *World Population Prospects*.

²² For example, in the early 1960s such a case can be made for countries such as Nigeria, Sierra Leone, and even Somalia.

²³ See United Nations, World Population Prospects.

²⁴ Note that the coefficients involving life expectancy in Table 2 are slightly lower than the others. This is because demographic progress does not ensure a continuous rise in life expectancy at higher levels as, for example, the experience of countries in the former Soviet Union attests.

²⁵ See Vanhanen, 'Measures of Democracy'; Marshall and Gurr, 'Polity IV Project: Political Regime Characteristics'.

²⁶ See Dahl, *Polyarchy*.

²⁷ The product of P and C is usually multiplied by 100. For further details, including the use of data from referendums in calculating P, see, for example, Vanhanen, '*Measures of Democracy*', and Vanhanen, *Democratization*, 59-63.

²⁸ For assessments of both ID and Polity IV measures see, for example, Munck and Verkuilen 'Conceptualizing and Measuring Democracy', and Gates et al. 'Institutional Consistence and Political Instability'.

²⁹ This is reflected, for example, in relatively high 'Democ' scores being assigned to the United States when slavery still existed, and to Switzerland many decades before women achieved full voting rights.

³⁰ See, respectively, Maddison, *Statistics on World Population, GDP and Per capita GDP*; Barro and Lee, 'A New Data Set of Educational Attainment'; International Labour Organization, 'Economically Active

¹ Such other factors include cultural orientation, the nature of pre-existing political institutions, the degree of social homogeneity, and external influences.

² See, for example, Almond and Coleman, *The Politics of Developing Areas*; Barro, 'Determinants of

Democracy'; Inglehart and Welzel, 'How Development Leads to Democracy'; Lipset, *Political Man*.

³ See, for example, Brunborg and Tabeau. 'Demography of Conflict and Violence'; Urdal 'A Clash of Generations?'; Weber 'Demography and Democracy'; Cincotta and Doces. 'The Age-Structural Maturity Hypothesis'.

⁴ Kirk, 'Demographic Transition Theory', 361.

⁵ For more on the demographic transition see, for example, Kirk, 'Demographic Transition Theory'; Chesnais, *The Demographic Transition*.

⁶ Fertility decline (*not* mortality decline) is the cause ageing within the demographic transition, see Coale, 'How a population ages or grows younger'. It should be noted that the process of ageing is inevitably slow because it involves a change in the age composition of the whole population.

Population: Long Term Series'; United Nations, World Urbanization Prospects; and United Nations, World Population Prospects.

³¹ Recall that the figure of 154 related to data for 2005. However, many of the countries dropped at this stage were either still colonies in 1970 (e.g. Mozambique, Namibia, Zimbabwe) or parts of the former Soviet Union (e.g. Estonia, Tajikistan, Ukraine).

³² The countries excluded on this basis were: Australia, Austria, Canada, Chile, Costa Rica, Denmark, Finland, France, India, Ireland, Israel, Italy, Japan, Mauritius, Netherlands, New Zealand, Norway, South Korea, Sri Lanka, Sweden, United Kingdom, United States, and Uruguay.

³³ The 77 countries are: Afghanistan, Albania, Algeria, Argentina, Benin, Bolivia, Botswana, Brazil, Bulgaria, Burundi, Cambodia, Cameroon, Central African Republic, China, Colombia, Congo, Cuba, Côte d'Ivoire, Democratic Republic of the Congo, Dominican Republic, Ecuador, Egypt, El Salvador, Gabon, Gambia, Ghana, Greece, Guatemala, Haiti, Honduras, Hungary, Indonesia, Iran, Iraq, Jamaica, Jordan, Kenya, Kuwait, Laos, Lesotho, Liberia, Libya, Malawi, Malaysia, Mali, Mauritania, Mexico, Mongolia, Myanmar, Nepal, Nicaragua, Niger, Pakistan, Panama, Paraguay, Peru, Philippines, Poland, Portugal, Rwanda, Saudi Arabia, Senegal, Sierra Leone, Singapore, South Africa, Spain, Swaziland, Switzerland, Syria, Tanzania, Thailand, Togo, Trinidad and Tobago, Tunisia, Turkey, Uganda, and Zambia. The countries used in the Democ analysis differed from this list. ³⁴ This approach goes some way to addressing concerns about heteroscedasticity and autocorrelation. We estimate standard errors (and hence p-vaues) to allow for intragroup correlation, thereby relaxing the assumption

that the observations are independent. For more information see: Rogers (1993) and Huber (1967).

³⁵ The bias has been noted briefly by Vanhanen, but with no attempt at rectification. See Vanhanen, 'New Dataset', 255.

³⁶ By way of illustration, and given the varying age structures indicated by the MA values in Table 1, in 2005-10 the value of ID is typically doubled to produce the value of AID.

³⁷ To be precise, we added an explanatory variable that was the lag of the dependent variable for the period five years prior to the measurement of the dependant variable. This approach may also take some account of the non-stationary properties of the data. Although the extent to which this is true depends on whether the data are stationary after taking first-differences. For a more detailed discussion, see: Keele and Kelly (2006).

³⁸ Clearly, proximate mechanisms would vary between societies. One might speculate on how the features of demographic transitions affect the characteristics of movements towards democracy (other things equal). However, that is not attempted here.

³⁹ See Dyson, 'On Demographic and Democratic Transitions'.

⁴⁰ Thus, as noted, democracies were often established at decolonization, and western governments have sometimes tied development assistance to political reform. That said, democracy has also been exported through the media and education.

⁴¹ See Lutz, Cuaresma, and Abbasi-Shavazi, 'Demography, Education, and Democracy'.

⁴² See Lutz, Butz, and KC, World Population and Human Capital.

⁴³ See Livi-Bacci, A Concise History of World Population.

⁴⁴ For research suggesting that the causal link runs more from fertility to education see, Cheng and Nwachukwu, 'The Effects of Education'.

⁴⁵ See Barro, 'Determinants of Democracy', S159.

⁴⁶ See, for example, Acemoglu et al., 'Income and Democracy'; Londregan and Poole, 'Does High Income';

Lutz, Cuaresma, and Abbasi-Shavazi, 'Demography, Education, and Democracy'.

⁴⁷ See United Nations, World Population Prospects

⁴⁸ For the population projections see United Nations, *World Population Prospects*. For the education projections see Lutz, Butz, and KC, *World Population and Human Capital*.

Tables and figures







Figure 2: Change in the index of democracy (ID) versus change in median age (1970-2005)



Figure 3: Change in the age-adjusted index of democracy (AID) versus change in median age (1970-2005)

change in median age (1970-2005)



Figure 4: Age-adjusted index of democracy (AID) versus median age, 2005

median age 2005

Region	Death rate (per 1,000)	Birth rate (per 1,000)	Rate of natural increase (percent)	Life expectancy at birth (years)	Total fertility (births per woman)	Median age (years)
Africa	11.8	36.7	2.5	55.6	4.9	19.2
Asia	7.0	18.5	1.2	70.3	2.3	28.8
Latin America	5.9	19.3	1.3	73.4	2.3	27.3
Europe	11.3	10.8	-0.1	75.3	1.5	40.3
North America	8.1	13.7	0.6	78.4	2.0	37.3

Table 1: Demographic estimates for world regions around 2005-10

Note: Death rates and birth rates refer to 2005-10 and are expressed per 1000 population. The rates of natural increase (i.e. birth rate minus death rate) are expressed as percentages. The life expectancy and fertility estimates refer to 2005-10, while the median ages refer to 2010. Source: United Nations (2013).

Table 2: Correlation coefficients between MA and other demographic variables for 154 countries with a population larger than 1 million in 2005

	Median age (2005)	Life expectancy (2000-05)	Total fertility rate (2000-05)	Population growth (2000-05)
Median age (2005)	1.00			
Life expectancy (2000-05)	0.78	1.00		
Total fertility rate (2000-05)	-0.87	-0.84	1.00	
Population growth (2000-05)	-0.73	-0.52	0.78	1.00

Source: United Nations (2013)

Table 3: Models of the relationship between the age-adjusted index of democracy (AID) and median age (MA): All initially autocratic countries with estimates of all measures, 1970-2005

	Model 1		Model 2		Model 3	
	Estimate	p-value	Estimate	p-value	Estimate	p-value
Median age (MA)	0.678	(0.000)	0.678	(0.011)	0.385	(0.001)
Log GDP per capita	0.162	(0.885)	0.162	(0.942)	-0.642	(0.496)
Labour force in agriculture (%)	-0.075	(0.113)	-0.075	(0.420)	-0.027	(0.491)
Education (% aged 25+ with secondary)	0.161	(0.002)	0.161	(0.041)	0.030	(0.472)
Urban population (%)	-0.003	(0.944)	-0.003	(0.969)	0.018	(0.615)
Lag AID (5-year lag)					0.673	(0.000)

	Model 4		Mod	lel 5
	Estimate	p-value	Estimate	p-value
Median age (MA)	0.610	(0.044)	0.064	(0.890)
Log GDP per capita	-2.233	(0.215)	0.467	(0.848)
Labour force in agriculture (%)	-0.239	(0.019)	-0.080	(0.623)
Education (% aged 25+ with secondary)	0.209	(0.011)	0.059	(0.656)
Urban population (%)	0.247	(0.041)	-0.001	(0.997)
1975			-0.644	(0.629)
1980			0.076	(0.967)
1985			2.898	(0.217)
1990			6.721	(0.010)
1995			11.997	(0.000)
2000			10.375	(0.005)
2005			12.660	(0.002)

Note: The application of all models involved 77 countries, with 8 observations per country (and therefore 616 observations) for all models except model 4, which had 7 observations per country (and 539 overall). Sources: See text for the derivation of AID and notes 23 and 28.

	Model 1		Model 2		Model 3	
	Estimate	p-value	Estimate	p-value	Estimate	p-value
Median age (MA)	0.123	(0.000)	0.123	(0.073)	0.063	(0.010)
Log GDP per capita	0.558	(0.047)	0.558	(0.330)	-0.050	(0.803)
Labour force in agriculture (%)	-0.037	(0.002)	-0.037	(0.134)	-0.012	(0.153)
Education (% aged 25+ with secondary)	0.053	(0.000)	0.053	(0.013)	0.007	(0.418)
Urban population (%)	-0.041	(0.000)	-0.041	(0.042)	-0.007	(0.353)
Lag Democ (5-year lag)					0.790	(0.000)

Table 4: Models of the relationship between the Polity IV (Democ) and median age (MA): All initially autocratic countries with estimates of all measures, 1970-2005

	Model 4		Mod	lel 5
	Estimate	p-value	Estimate	p-value
Median age (MA)	0.224	(0.002)	0.056	(0.687)
Log GDP per capita	-0.312	(0.497)	0.470	(0.519)
Labour force in agriculture (%)	-0.070	(0.005)	-0.023	(0.645)
Education (% aged 25+ with secondary)	0.049	(0.009)	0.007	(0.848)
Urban population (%)	-0.001	(0.978)	-0.072	(0.222)
1975			-0.057	(0.834)
1980			0.487	(0.225)
1985			0.982	(0.067)
1990			2.167	(0.001)
1995			3.173	(0.000)
2000			3.511	(0.000)
2005			3.807	(0.000)

Note: The application of all models involved 72 countries, with 8 observations per country (and therefore 576 observations) for all models except model 4, which had 7 observations per country (and 504 overall). For consistency the analysis was limited to countries with an ID estimate of less than 15 in 1970. Sources: See notes 23 and 28.