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Improving health worker performance: the patient-perspective from a PBF program in Rwanda

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¹ Improving health worker performance:

- ² the patient-perspective from a PBF
- ³ program in Rwanda

4 Abstract

5 The effect of performance-based financing (PBF) on patients' perception of primary health care services 6 in developing countries in not well documented. Data from a randomized impact evaluation in Rwanda 7 conducted between 2006 and 2008 in 157 primary level facilities is used to explore patients' satisfaction 8 with clinical and non-clinical services and quantify the contribution of individual and facility 9 characteristics to satisfaction including PBF. Improvements in productivity, availability and competences of the health workforce following the implementation of PBF have a positive effect on patients' 10 11 satisfaction with clinical services even if patients' satisfaction is not tied to a reward. The positive effect 12 of PBF on non-clinical dimensions of satisfaction also suggests that PBF incentivizes providers to raise patients' satisfaction with non-clinical services if it is associated with future financial gains. It is 13 14 recommended that low and middle income countries build on the experience from high income countries to better listen to patients' voice in general and include an assessment of patients' satisfaction 15 in incentive mechanisms as a way to increase the benefits of the strategy. 16

- 17 Keywords: Rwanda, patients' satisfaction, incentives, health workforce performance, low and
- 18 middle income countries

20 **1. Introduction**

Over recent decades, paying healthcare providers against agreed performance targets has gained momentum in high income countries and more recently in low and middle income countries (LMIC). Financial incentives aim to provide extrinsic motivation so as to improve health workforce performance and contribute to a health system's performance. Poor performance in health systems is a worldwide concern and greater investment in the health sector do not necessarily translate to better health outcomes (World Health Organization, 2000).

Performance incentives are increasingly promoted to enhance health workforce performance. While many terms are being used for performance systems (performance-based incentives, performancebased contracting, results-based financing, Pay-4-Performance) the term Performance-Based Financing (PBF) is adopted in this paper as it is commonly used in LMIC countries. PBF can be defined as "a system approach with an orientation on results defined as quantity and quality of service outputs and inclusion of vulnerable persons (...)" (Cordaid-SINA Health, 2014).

33 PBF is increasingly adopted in LMIC although the reform approach has been criticized on several fronts. Ireland et al. (2011) highlight the lack of rigorous evidence apart from Rwanda and a bias in publishing 34 35 only positive results on PBF. They claim that the strategy has important administrative costs and that it 36 can deter equity in access to services. They also argue that PBF may crowd out intrinsic motivation and 37 encourage gaming within the system. Nevertheless the consensus on the positive effect of the strategy 38 is growing as new evidence becomes available. For instance in Burundi, PBF was found to improve the 39 utilization and quality of most maternal and child health services (Bonfrer, Van de Poel, & Van 40 Doorslaer, 2014). The potential of performance-based financing to address structural problems of health 41 systems is more and more acknowledged. As argued by Meessen, Soucat, and Sekabaraga (2011), PBF 42 can be a reform catalyst. PBF is now recognized as a holistic reform approach comparable to the old

43 paradigms of primary healthcare and the Bamako initiative. The innovative provider payment 44 mechanism is only one dimension of PBF and that the approach is more comprehensive as it entails, 45 among others, health facility autonomy, integrated management of funds, autonomous human resource 46 management, more efficient management of drugs, better quality standards, strengthened governance 47 and accountability (Fritsche, Soeters, & Meessen, 2014).

As opposed to demand side interventions that incentivize the population to use health care services (such as conditional cash transfers or vouchers), this article focuses on a supply side mechanism that incentivizes healthcare providers' to achieve quantitative and qualitative targets in the delivery of services. Such mechanisms usually rely on indicators related to providers' practice with the quality of care traditionally being measured from a clinical viewpoint. Patients' view on their interaction with the health system has often been overlooked in the past. Patients' satisfaction is however a desired outcome of care and an indicator of process quality (Donabedian, 1988).

55 Satisfaction with health services is a multidimensional phenomenon and is categorized in various ways in 56 the literature. Patients' satisfaction results from their perception of service quality including: 57 interpersonal quality, which reflects the relationship between the service provider and the patient; 58 technical quality, which relates to the outcomes achieved and the technical competence of the service 59 provider; environment quality, which corresponds to environmental features that shape consumer 60 service perceptions; and administrative quality, which relates to facilitating (non-health related) services 61 for the delivery and consumption of the health service (Dagger, Sweeney, & Johnson, 2007). The 62 evidence suggests that patients' satisfaction is predominantly determined by the quality of medical care 63 (including competences, infrastructure, health services, diagnostic and therapeutic procedures); 64 information; equity in access; costs; waiting time; cleanliness; and participative approach of care 65 (Mpinga & Chastonay, 2011).

The patient-oriented perspective of this paper is justified on three grounds. First, one cannot ignore the 66 67 impact a strategy has on users' satisfaction as it stands for a critical component of service quality evaluation. Second, patients' satisfaction affects compliance with treatment and is therefore important 68 69 from a public health perspective. Third, satisfied patients will continue using services and recommend 70 services to others. As PBF in LMIC primarily aims to increase utilization of health services, it is critical to 71 ascertain that poor satisfaction with services is not hampering overall utilization. PBF focuses on 72 providers and sets clinical targets: thus, the hypothesis is that PBF will result in improved satisfaction 73 from clinical aspects but will have no effect on satisfaction with non-clinical dimensions. This hypothesis 74 is tested with data from a randomized control trial of the national PBF scheme in Rwanda. In this 75 scheme targeting primary healthcare facilities, incentives were based on the quantity of outputs 76 achieved conditional on the quality of services delivered using 14 maternal and child health output 77 indicators and 13 quality indicators (Basinga et al., 2011). Patients' satisfaction was not measured.

This paper will also aim to verify the reform potential of PBF with a particular focus on patients' satisfaction in quality assurance. The analysis covers satisfaction with prenatal care and with curative care for children and adults. In the subsequent sections, a brief literature review on patients' satisfaction and PBF is presented, followed by methods, results and a discussion with policy recommendations.

83 **2. Background**

Performance incentives across the world were designed to address agency issues resulting from the agent (provider) having different goals and motivations than those of the principal (patient or purchaser of health services). Performance incentives aim to align the objectives of the agent with those of the principal by tying the reward to the achievement of the principals' objectives. The downside, if PBF does not include a complete set of outputs to ensure the full health package is delivered, is that providers may focus on rewarded services and overlook other parts of their activity. One direct implication is that providers will have no incentive to raise patients' satisfaction if they are not rewarded for it. However, as unsatisfied patients' may decide not to visit the facility again, providers may perceive the need to satisfy patients, even in the absence of a reward, in particular for dimensions that determine the most satisfaction and that they can influence.

94 In HIC, patients' satisfaction surveys are regularly used to collect their judgment on the quality of care 95 and P4P schemes include measures of patients' satisfaction (Peterson, Woodard, Urech, Daw, & 96 Sookanan, 2006). This stands for a major difference with traditional LMIC health systems where 97 patients' perception about health services is largely ignored. In LMIC, PBF schemes have tended to 98 adopt a narrow clinical focus with the risk that providers would focus on clinical indicators at the 99 expense of patients' satisfaction. More recent PBF schemes however measure patients' satisfaction 100 (Cordaid-SINA Health, 2014) but the results are not yet reported in the literature. This article thus takes 101 an unusual viewpoint (the patients' one) to assess the effect of PBF on the quality of health services.

In most P4P schemes in HIC, a measure of patients' satisfaction is used, along with process (content of care), outcome (effect of care on patients) and structure measures (facility, personnel, equipment) to calculate the financial incentive (Peterson et al., 2006). The measure generally assesses patients' perception of the quality of care (such as information, cleanliness or privacy) (Rosenthal, Fernandopulle, Song, & Landon, 2004). However, published studies on the effect of P4P focus on a narrow definition of quality (clinical) and do not present the patients' perspective (Campbell et al., 2007; Peterson et al., 2006; Young, Meterko, & Desai, 2000).

Evidence from LMIC is scant. In the Democratic Republic of Congo, Soeters, Peerenboom, Mushagalusa, and Kimanuka (2011) found that patients were more satisfied with the availability of drugs, perceived quality and respect for patients in districts participating in the PBF program. Waiting time was judged

more acceptable in control districts, but the difference with PBF districts was not significant. Other 112 113 evaluations of PBF schemes do not report the impact on patients' satisfaction. Patients' satisfaction in 114 LMIC is studied in relation to the status of health facilities (public or private) with authors arguing that 115 what differs between those facilities is the available financial incentive. In a comparative analysis of 116 patients' satisfaction with family planning services in Tanzania, Kenya and Ghana, Hutchinson, Do, and 117 Agha (2011) found that patients were more satisfied with the process quality in private facility but found 118 less difference on technical quality. Greater satisfaction with family planning services in private facilities 119 was associated with process and structural factors such as reduced waiting time and less stock outs. A 120 systematic review using 80 studies on LMIC also found that drug supply, waiting time, privacy, 121 confidentiality, staff friendliness, communication, dignity and efforts were better in the private sector 122 but that patient satisfaction with care did not differ between public and private providers (Berendes, 123 Heywood, Oliver, & Garner, 2011).

124 **3. Methods**

125 Study design

The empirical study relies on data from the impact evaluation of the national PBF for primary level facilities in Rwanda. It was the first randomized experiment used to rigorously assess the impact of PBF in Africa. It took advantage of the phased PBF implementation over a 23-month period between 2006 and 2008. The 19 rural districts that did not implement a PBF pilot before 2006 were paired and randomly assigned to treatment (12 districts) or control groups (7 districts). The remaining 11 districts that already piloted PBF were excluded from the impact evaluation. The three urban districts of the country were not included; therefore the study focuses on rural districts only.

133 **Data**

134 The study relies on secondary data analysis. The author was not involved in data collection but 135 performed all data analysis. The research protocol for this study was approved by the Rwanda National 136 Ethics Committee. Data was collected from 157 primary level facilities, including 77 treatment facilities 137 and 80 control facilities in 2008, after two years of PBF implementation in treatment facilities. Patient 138 exit interviews were conducted with patients visiting the health center on the day of the interview for 139 prenatal care, child curative care and adult curative care. In the case of children, respondents were the 140 accompanying adult. Eight to twelve patients were interviewed for each service in each facility. 141 Information collected from the patients included: patient characteristics, provider effort and patient 142 satisfaction with services. Patients were asked to rank their satisfaction with medical and non-medical 143 services according to five categories: very unsatisfied, unsatisfied, no opinion, satisfied and very satisfied 144 for a list of ten satisfaction indicators.

145 Variables

146 To facilitate the interpretation of results as one could not present analyses for the ten dimensions and 147 some dimensions might measure similar patterns, an index was constructed from the various 148 dimensions of satisfaction as already done elsewhere (Gerber & Prince, 1999; Rao, Peters, & Bandeen-149 Roche, 2006) The traditional principal component analysis (PCA) method that creates indexes from 150 dummy variables (Filmer & Pritchett, 2001) was not appropriate as satisfaction variables are ordinal. 151 Using dummy indicators in PCA would have introduced fake correlations as there were more than two 152 categories for a variable. Following Kolenikov and Angeles (2009), polychoric correlation, an alternative 153 approach for the analysis of ordinal data using PCA, was used. It assumes that ordinal variables were 154 obtained by categorizing normally distributed underlying variables, and that those unobserved variables follow a bivariate normal distribution. Polychoric correlation corresponds to the maximum likelihoodestimate of that correlation.

The first factor structure derived from polychoric correlation resulted in only one factor having an Eigenvalue over 1 and explaining 88% of the variation. However, waiting time, time with provider and cleanliness were not well captured by the first factor as their uniqueness exceeded their contribution to factor 1 (Table 3-1 and Table 3-2). These variables were thus removed from factor 1 and factor 1 was normalized to facilitate interpretation. As further analysis showed that they could not be combined in an index, they were kept as single measures of satisfaction.

Table 3-1	: Output of i	nitial factor	analysis	Table 3-2: Contribution of variables to factor 1				
Factor	Factor Eigenval Diff. ue		Prop. Cum. (% of (cum		Variables	Factor 1	Uniqueness	
			variation	ulati	Waiting time	0.4164	0.8266	
			explained)	ve varia	Time w/ provider	0.5824	0.6608	
				tion expla	Cleanliness	0.596	0.6448	
				ined)	Privacy	0.684	0.5321	
1	4.28670	3.52473	0.8823	0.882	Staff attitude	0.7362	0.458	
2	0.76197	0.53421	0.1568	1.039	Cost of service	0.6606	0.5636	
3	0.22776	0.08069	0.0469	1.086	Cost of drug	0.6611	0.5629	
4	0.14707	0.0455	0.0303	1.116	Avail. of drugs	0.6659	0.5565	
5	0.10157	0.13436	0.0209	1.137	Explanation	0.6855	0.5301	
6	-0.03279	0.03236	-0.0067	1.130	Overall service	0.7888	0.3778	
7	-0.06515	0.08863	-0.0134	1.117				
8	-0.15378	0.04091	-0.0317	1.085				
9	-0.19469	0.02532	-0.0401	1.045				
10	-0.22001	0	-0.0453	1				

163

164 Four satisfaction measures were retained, including one index corresponding to satisfaction with clinical

services and three measures of satisfaction corresponding to non-clinical services (Table 3-3).

166 Table 3-3: Satisfaction measures retained for analysis

Area	Satisfaction measure	Satisfaction indicators included in the measure
Clinical services	Clinical services index	Privacy during examination, staff attitude, explanation, cost of
		drugs, cost of the service, availability of drugs, overall

		satisfaction
Non-clinical services	Waiting time	Waiting time
	Time with provider	Time with provider
	Cleanliness	Cleanliness

167 Statistical methods

168 Ordinary least squares (OLS) were used for the regression on the clinical satisfaction index for each 169 sample. OLS were compared to a censored model (Tobit) assuming no negative values. Regression 170 outcomes from OLS and Tobit were comparable revealing the robustness of OLS outputs presented in 171 this paper. Independent variables aimed to control for facility characteristics (public or faith-based, PBF 172 treatment or control); individual characteristics (primary education, sex when relevant, age, health 173 insurance); and characteristics of the health service (whether the patient was given a prescription to buy 174 drugs outside or to perform laboratory tests from another health facility). In the sample of pregnant 175 women, controls also included the months of pregnancy and whether it was their first prenatal care 176 visit. In the sample of children, their age was controlled for. For all models, all independent variables 177 were included in the models based on variables' availability and variables that proved to influence 178 satisfaction in the literature. A review of the literature indeed revealed that sicker patients tend to be 179 less satisfied, while older and less educated patients are more satisfied. Evidence on gender, ethnicity 180 and socio-economic status remains unclear (Crow et al., 2002; Hall & Dornan, 1990; Hekkert, Cihangir, 181 Kleefstra, van den Berg, & Kool, 2009; Sitzia & Wood, 1997).

The ordinal measures of satisfaction with non-clinical services (waiting time, time with provider and cleanliness) were modeled with ordered probit regressions. Independent variables included facility characteristics (public of faith-based, PBF treatment or control) and individual characteristics (primary education, sex, age and health insurance). Time spent waiting in the facility was added as a control in the regression on satisfaction with waiting time. As only the sign of coefficients of ordered probit regressions can be interpreted, marginal effects were computed. They measure the impact of change inan independent variable on the expected change in the dependent variable.

189 Robustness checks

Data drawn from the household surveys, which provide information on the utilization of health services collected from 2,145 households in the catchment areas of the 157 primary level facilities of the impact evaluation, was used to control for district level utilization of child curative care and prenatal care services. Following evidence of large regional disparities in utilization of basic health services in Rwanda, robustness checks verify whether the observed effect of PBF on satisfaction varies with a district level utilization of services.

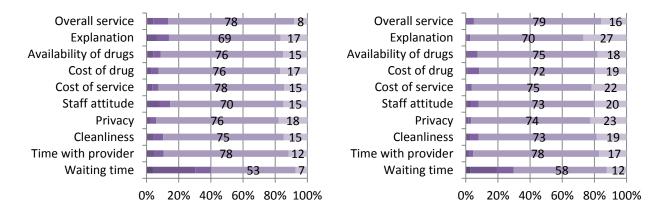
196 **4. Results**

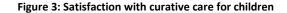
197 **Descriptive analysis**

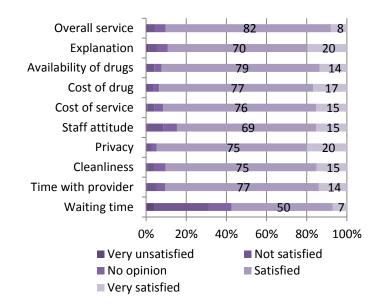
198 The majority of respondents were satisfied with prenatal care and curative care for children and adults. 199 Overall satisfaction (respondent satisfied or very satisfied) with service reached 86% for adult curative 200 care, 90% for child curative care and 95% for prenatal care. Satisfaction with the cost of drugs and 201 services, which occurs in about 90% of cases, is probably due to the fact that most patients benefit from 202 health insurance. Drugs delivered at the facility and medical services are thus free of charge, except for a 203 small financial contribution. Dissatisfaction with waiting time is the largest of the three categories of 204 care as close to half of respondents were not satisfied (Figure 1 to Figure 3). On average, patients 205 waited for two and half hours before seeing a healthcare provider and 20% to 25% had to wait for more 206 than three hours (and some up to eight hours). Descriptive statistics of independent variables included 207 in the models are presented in Annex 1. T-tests reveal overall balance between the treatment and 208 control groups.



Figure 2: Satisfaction with prenatal care







209 **Regression analyses**

210 Adult curative care

Adults seeking care from a facility implementing PBF are more satisfied with clinical services (+2.5%), time spent with provider and cleanliness of the facility compared to patients in control facilities. PBF has no effect on satisfaction with waiting time. Health insurance is the only other determinant of satisfaction with clinical services: insured patients were 6.7% more satisfied with clinical services than 215 non-insured ones. This may reflect that patients' that are more satisfied with services of the health 216 facility are those with health insurance. Patients' characteristics such as age, education or sex have no 217 effect. Similarly, prescribing practices (for drugs or laboratory tests) did not influence adults' satisfaction

218 with clinical services (Table 4-1).

219	Table 4-1: Satisfaction with clinical and non-clinical services for adult curative care
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VARIABLES	Clinical services index	Waiting time	Time with provider	Cleanliness
	OLS	ОР	ОР	OP
Public (=1)	-0.014	-0.025	0.002	-0.170**
	(0.009)	(0.064)	(0.071)	(0.070)
PBF (=1)	0.025***	-0.016	0.119*	0.169**
	(0.008)	(0.061)	(0.068)	(0.067)
Drug prescription (=1)	-0.003			
	(0.008)			
Laboratory tests (=1)	0.024			
	(0.030)			
Has primary education (=1)	0.013	0.044	0.005	-0.013
	(0.008)	(0.065)	(0.072)	(0.072)
Male (=1)	-0.006	-0.180***	0.052	-0.033
	(0.008)	(0.063)	(0.070)	(0.069)
Age	0.000	0.006***	0.002	0.003
	(0.000)	(0.002)	(0.002)	(0.002)
Has health insurance (=1)	0.067***	0.012	0.130	0.304*
	(0.025)	(0.164)	(0.180)	(0.177)
Waiting time (hours)		-0.257***		
		(0.020)		
Observations	1,088	1,324	1,326	1,314

220 Note: Standard errors in parentheses; *** p<0.01, ** p<0.05, * p<0.1

221 OLS= Ordinary Least Squares; OP = Ordered Probit

Marginal effects computed in Table 4-2 show that men were 7% more likely to be unsatisfied or very unsatisfied with waiting time compared to women. A possible explanation could be that the opportunity cost of waiting is higher for men. Adults were also 7% less likely to be satisfied with an additional waiting hour and 3% less likely to be very satisfied. Age is positively associated with satisfaction with waiting time as older patients tend to be more satisfied. PBF has no effect on satisfaction with waiting time but a positive effect on satisfaction with time spent with provider as patients were 2% more likely to be very satisfied in treatment facilities. Patients in PBF facilities were also 4% more likely to be very satisfied with cleanliness. Contrary to waiting time, patients' characteristics did not influence satisfaction with time spent with the provider and the cleanliness of the facility (Table 4-2).

231	Table 4-2: Satisfaction with non-clinical services related to adult curative care (marginal effects)
-----	--

	Very unsat	tisfied	Unsatisfied		No opir	lion	Satisfi	ed	Very satisfied	
	Marginal effect	SE	Marginal effect	SE	Marginal effect	SE	Marginal effect	SE	Marginal effect	SE
WAITING TIME										
Public = 1	0.002	0.004	0.007	0.018	0.001	0.003	-0.007	0.017	-0.003	0.008
PBF = 1	0.001	0.004	0.004	0.017	0.001	0.003	-0.004	0.016	-0.002	0.007
Primary education = 1	-0.003	0.004	-0.012	0.018	-0.002	0.003	0.012	0.017	0.005	0.008
Male = 1	0.012***	0.004	0.051***	0.018	0.007***	0.003	-0.049***	0.018	-0.020***	0.007
Age	-0.000***	0.000	-0.002***	0.001	-0.000***	0.000	0.002***	0.001	0.001***	0.000
Health insurance = 1	-0.001	0.010	-0.003	0.046	-0.001	0.007	0.003	0.045	0.001	0.019
Waiting time (hours)	0.016***	0.002	0.072***	0.006	0.011***	0.002	-0.069***	0.007	-0.030***	0.003
TIME WITH PROVIDER										
Public = 1	-0.000	0.001	-0.000	0.006	-0.000	0.006	-0.000	0.001	0.000	0.014
PBF = 1	-0.002	0.001	-0.010*	0.006	-0.009*	0.005	-0.002	0.002	0.023*	0.013
Primary education = 1	-0.000	0.001	-0.000	0.006	-0.000	0.006	-0.000	0.001	0.001	0.014
Male = 1	-0.001	0.001	-0.005	0.006	-0.004	0.005	-0.001	0.002	0.010	0.014
Age	-0.000	0.000	-0.000	0.000	-0.000	0.000	-0.000	0.000	0.000	0.000
Health insurance = 1	-0.003	0.004	-0.012	0.019	-0.011	0.015	0.002	0.008	0.024	0.030
CLEANLINESS										
Public = 1	0.001*	0.001	0.013**	0.005	0.014**	0.006	0.011*	0.006	-0.040**	0.017
PBF = 1	-0.001	0.001	-0.014**	0.006	-0.015**	0.006	-0.009**	0.004	0.039**	0.015
Primary education = 1	0.000	0.001	0.001	0.006	0.001	0.006	0.001	0.004	-0.003	0.016
Male = 1	0.000	0.001	0.003	0.006	0.003	0.006	0.002	0.003	-0.007	0.016
Age	-0.000	0.000	-0.000	0.000	-0.000	0.000	-0.000	0.000	0.001	0.000
Health insurance = 1	-0.004	0.004	-0.031	0.022	-0.029	0.018	0.004	0.015	0.059**	0.029

232 Note: *** p<0.01, ** p<0.05, * p<0.1

233 **Prenatal care**

Results on satisfaction with prenatal care present some differences when compared to satisfaction levels with curative care for adults. As for adults, pregnant women seeking care from PBF facilities were more likely to be satisfied with clinical services (+1%). However, PBF also positively influenced satisfaction with waiting time which was not the case for adults. Finally, PBF showed no effect on
satisfaction with time spent with provider and cleanliness. Satisfaction with clinical services decreased in
public facilities but increased when women were asked to perform laboratory tests from another facility
(+1%). Satisfaction with care also slightly rose with months of pregnancy (Table 4-3).

241 Table 4-3: Satisfaction with clinical and non-clinical services for prenatal care

	Clinical services index	Waiting time	Time with provider	Cleanliness
	OLS	ОР	OP	ОР
Public (=1)	-0.004*	-0.153**	-0.170**	-0.210***
	(0.002)	(0.068)	(0.080)	(0.075)
PBF (=1)	0.006**	0.199***	-0.029	0.089
	(0.003)	(0.064)	(0.074)	(0.070)
Drug prescription (=1)	-0.001			
	(0.002)			
Laboratory tests (=1)	0.011**			
	(0.005)			
Has primary education (=1)	-0.004	-0.128**	-0.174**	-0.104
	(0.003)	(0.065)	(0.076)	(0.071)
Age	0.000	0.005	-0.012	0.006
	(0.000)	(0.008)	(0.009)	(0.008)
Has health insurance (=1)	0.002	-0.041	0.180	-0.034
	(0.002)	(0.121)	(0.141)	(0.134)
Waiting time (hours)	0.000	-0.174***		
	(0.000)	(0.018)		
Months pregnant	0.001***	0.052***	0.019	0.023
	(0.000)	(0.019)	(0.022)	(0.021)
First prenatal visit (=1)	0.006			
	(0.004)			
Number of children		-0.032	0.005	-0.032
		(0.028)	(0.033)	(0.031)
Observations	683	1,197	1,196	1,192

242 Note: Standard errors in parentheses; *** p<0.01, ** p<0.05, * p<0.1

243 OLS= Ordinary Least Squares; OP = Ordered Probit

facilities compared to the control group. Satisfaction with waiting time decreased by 4% among more

²⁴⁴ Marginal effects associated with the three non-clinical dimensions of satisfaction showed that women 245 were 3% more likely to be satisfied and 4% more likely to be very satisfied with waiting time in PBF

educated women and with time spent waiting (-6% per hour) but this improved with months ofpregnancy. Satisfaction with time spent with providers decreases with primary education (Table 4-4).

Satisfaction with waiting time, time with provider and cleanliness of the facility was consistently greater in faith-based facilities compared to public facilities, with the probability of women being very satisfied increasing from 3% to 6% in faith-based facilities (Table 4-4). As for adults, most individual characteristics did not influence satisfaction with time spent with provider and cleanliness of the facility.

253 Table 4-4: Satisfaction with non-clinical services related to prenatal care (marginal effects)

	Very unsa	tisfied	Unsatisfied		No opir	nion	Satisfi	ed	Very satisfied	
	Marginal effect	SE	Marginal effect	SE	Marginal effect	SE	Marginal effect	SE	Marginal effect	SE
WAITING TIME										
Public = 1	0.007**	0.003	0.032**	0.014	0.012**	0.006	-0.021**	0.009	-0.031**	0.014
PBF = 1	-0.010***	0.004	-0.043***	0.014	-0.016***	0.005	0.030***	0.010	0.038***	0.012
Primary education = 1	0.006*	0.003	0.027*	0.014	0.010*	0.005	-0.020*	0.010	-0.024**	0.012
Age	-0.000	0.000	-0.001	0.002	-0.000	0.001	0.001	0.001	0.001	0.001
Health insurance = 1	0.002	0.006	0.009	0.025	0.003	0.010	-0.006	0.016	-0.008	0.024
Waiting time (hours)	0.009***	0.002	0.037***	0.004	0.014***	0.002	-0.026***	0.004	-0.034***	0.004
Months pregnant	-0.003***	0.001	-0.011***	0.004	-0.004***	0.002	0.008***	0.003	0.010***	0.004
Number of children	0.002	0.001	0.007	0.006	0.003	0.002	-0.005	0.004	-0.006	0.005
TIME WITH PROVIDER										
Public = 1	0.003*	0.002	0.004**	0.002	0.008**	0.004	0.029**	0.015	-0.044**	0.022
PBF = 1	0.001	0.001	0.001	0.002	0.001	0.004	0.005	0.012	-0.007	0.019
Primary education = 1	0.003*	0.002	0.005**	0.002	0.008**	0.004	0.027**	0.012	-0.043**	0.019
Age	0.000	0.000	0.000	0.000	0.001	0.000	0.002	0.001	-0.003	0.002
Health insurance = 1	-0.004	0.004	-0.006	0.005	-0.009	0.008	-0.023*	0.014	0.042	0.031
Months pregnant	-0.000	0.000	-0.001	0.001	-0.001	0.001	-0.003	0.004	0.005	0.006
Number of children	-0.000	0.001	-0.000	0.001	-0.000	0.002	-0.001	0.005	0.001	0.008
CLEANLINESS										
Public = 1	0.002*	0.001	0.011***	0.004	0.016***	0.006	0.030**	0.012	-0.059***	0.022
PBF = 1	-0.001	0.001	-0.005	0.004	-0.007	0.006	-0.011	0.009	0.024	0.019
Primary education = 1	0.001	0.001	0.006	0.004	0.008	0.006	0.013	0.009	-0.028	0.019
Age	-0.000	0.000	-0.000	0.000	-0.001	0.001	-0.001	0.001	0.002	0.002
Health insurance = 1	0.000	0.001	0.002	0.007	0.003	0.010	0.005	0.019	-0.009	0.038
Months pregnant	-0.000	0.000	-0.001	0.001	-0.002	0.002	-0.003	0.003	0.006	0.006
Number of children	0.000	0.000	0.002	0.002	0.003	0.002	0.004	0.004	-0.009	0.008

254 Note: *** p<0.01, ** p<0.05, * p<0.1

255 Child curative care

PBF showed to have the smallest effect on child curative care, as the strategy only influenced satisfaction with clinical services with respondents (accompanying adult) being 2% more satisfied in treatment facilities. PBF had no effect on satisfaction with waiting time, time with provider or cleanliness. As for adult curative care, satisfaction with clinical services improved by 5% among insured respondents and no other individual or service-related factor influenced satisfaction with clinical services (Table 4-5).

VARIABLES	Clinical services index	Waiting time	Time with provider	Cleanline
	OLS	ОР	ОР	OP
Public (=1)	-0.005	0.043	-0.084	-0.189*
	(0.010)	(0.075)	(0.085)	(0.084)
PBF (=1)	0.020**	-0.007	-0.027	0.099
	(0.010)	(0.072)	(0.080)	(0.080)
Drug prescription (=1)	0.001			
	(0.010)			
Laboratory tests (=1)	0.030			
	(0.030)			
Has primary education (=1)	-0.007	-0.172**	-0.018	-0.040
	(0.010)	(0.072)	(0.081)	(0.080)
Male (=1)	-0.005	0.000	0.039	0.001
	(0.013)	(0.126)	(0.141)	(0.140)
Age	0.001	0.003	0.007	-0.002
	(0.001)	(0.005)	(0.005)	(0.005)
Has health insurance (=1)	0.053**	-0.291**	0.195	-0.052
	(0.024)	(0.125)	(0.140)	(0.139)
Age of the child	-0.006	-0.052*	-0.122***	-0.038
	(0.004)	(0.028)	(0.032)	(0.031)
Waiting time (hours)		-0.206***		
		(0.021)		
Observations	750	947	945	940

262 Table 4-5: Satisfaction with clinical and non-clinical services for child curative care

263 Note: Standard errors in parentheses; *** p<0.01, ** p<0.05, * p<0.1

264 OLS= Ordinary Least Squares; OP = Ordered Probit

As for other groups of patients, waiting time was the satisfaction dimension most influenced by individual characteristics. Insured respondents and those with primary education were less likely to be satisfied or very satisfied with waiting time. Their satisfaction also decreased as they spent more time

268 waiting. Satisfaction with time spent with the provider was higher for younger children. Finally, patients

were 4.5% more likely to be very satisfied with cleanliness in faith-based facilities (Table 4-6).

	Very unsa	tisfied	Unsatis	fied	No opii	nion	Satisfi	ed	Very sati	sfied
	Marginal effect	SE	Marginal effect	SE	Marginal effect	SE	Marginal effect	SE	Marginal effect	SE
WAITING TIME										
Public = 1	-0.003	0.005	-0.012	0.021	-0.002	0.003	0.012	0.021	0.005	0.009
PBF = 1	0.000	0.005	0.002	0.020	0.000	0.003	-0.002	0.020	-0.001	0.00
Primary education = 1	0.012**	0.006	0.048**	0.020	0.007**	0.003	-0.048**	0.020	-0.020**	0.00
Male =1	-0.000	0.009	-0.000	0.035	-0.000	0.006	0.000	0.035	0.000	0.01
Age	-0.000	0.000	-0.001	0.001	-0.000	0.000	0.001	0.001	0.000	0.00
Health insurance = 1	0.016***	0.006	0.078**	0.032	0.016*	0.008	-0.069***	0.025	-0.041*	0.02
Age of the child	0.004*	0.002	0.014*	0.008	0.002*	0.001	-0.014*	0.008	-0.006*	0.00
Waiting time (hours)	0.014***	0.002	0.057***	0.007	0.009***	0.002	-0.056***	0.007	-0.024***	0.00
TIME WITH PROVIDER										
Public = 1	0.001	0.001	0.008	0.007	0.005	0.005	0.005	0.006	-0.019	0.01
PBF = 1	0.000	0.001	0.002	0.007	0.002	0.005	0.001	0.004	-0.006	0.01
Primary education = 1	0.000	0.001	0.002	0.007	0.001	0.005	0.001	0.004	-0.004	0.01
Male =1	-0.000	0.002	-0.003	0.012	-0.002	0.009	-0.002	0.009	0.009	0.03
Age	-0.000	0.000	-0.001	0.000	-0.000	0.000	-0.000	0.000	0.001	0.00
Health insurance = 1	-0.003	0.003	-0.020	0.016	-0.013	0.010	-0.003	0.005	0.039	0.02
Age of the child	0.001*	0.001	0.011***	0.003	0.008***	0.002	0.006**	0.003	-0.027***	0.00
CLEANLINESS										
Public = 1	0.003*	0.001	0.013**	0.006	0.015**	0.007	0.015*	0.008	-0.045**	0.02
PBF = 1	-0.001	0.001	-0.007	0.006	-0.008	0.007	-0.006	0.006	0.023	0.01
Primary education = 1	0.001	0.001	0.003	0.006	0.003	0.007	0.002	0.005	-0.009	0.01
Male =1	-0.000	0.002	-0.000	0.010	-0.000	0.011	-0.000	0.009	0.000	0.03
Age	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	-0.000	0.00
Health insurance = 1	0.001	0.002	0.004	0.009	0.004	0.011	0.004	0.011	-0.012	0.03
Age of the child	0.001	0.000	0.003	0.002	0.003	0.003	0.002	0.002	-0.009	0.00

270 Table 4-6: Satisfaction with non-clinical services related to child curative care (marginal effects)

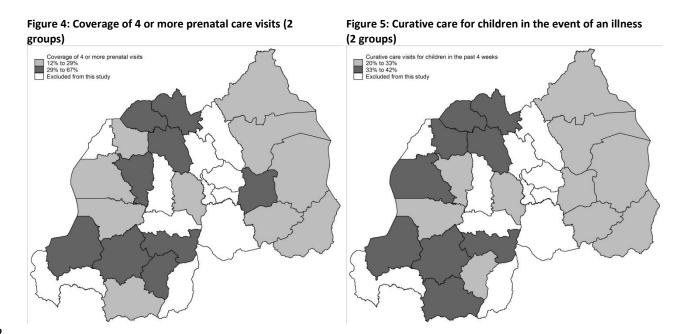
271 Note: *** p<0.01, ** p<0.05, * p<0.1

273 Robustness check

274 Robustness checks were run to see whether satisfaction with clinical services (index) was influenced by 275 regional disparities in the utilization of health services. Utilization of four or more prenatal care visits 276 and of curative care for children in the event of an illness was aggregated at the district level to create

²⁷²

two groups of districts (lower and upper) according to their utilization level. This grouping revealed that the overall coverage of four or more antenatal care visits was larger than that of curative care for children in the event of an illness. Higher utilization of services was observed in almost the same districts for both services (Southern and Northern part of the country) and Eastern districts consistently registered with lower utilization rates (Figure 4 and Figure 5).



282

The robustness checks confirm the positive effect of PBF on patients' satisfaction with clinical services among pregnant women and children under five. They reveal however that PBF has an effect on satisfaction of pregnant women only in districts where utilization of prenatal care is the lowest (+0.5%) and an effect on satisfaction with child curative care in places where utilization is the highest (+3%) (Table 4-7).

288 Table 4-7: Robustness check for prenatal care and child curative care distinguishing district level utilizat	288	Table 4-7: Robustness check for prenatal care and child curative care distinguishing district level utilization	۱
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		nical services index Clinical services inde for prenatal care for child curative car		
	Lower group	Upper group	Lower group	Upper group
Public (=1)	-0.004***	-0.006	0.003	-0.001
	(0.001)	(0.008)	(0.016)	(0.014)

	Clinical se	rvices index	Clinical services index for child curative care			
	for prer	natal care				
	Lower group	Upper group	Lower group	Upper group		
PBF (=1)	0.004***	0.007	0.009	0.026*		
	(0.001)	(0.007)	(0.015)	(0.014)		
Drug prescription (=1)	-0.001	-0.005	-0.015	0.022*		
	(0.002)	(0.004)	(0.014)	(0.013)		
Laboratory tests (=1)	0.007	0.014**	0.059	-0.002		
	(0.005)	(0.007)	(0.039)	(0.027)		
Has primary education (=1)	-0.001	-0.009	-0.016	0.008		
	(0.001)	(0.009)	(0.014)	(0.014)		
Male (=1)			-0.000	-0.020		
			(0.018)	(0.019)		
Age	-0.000	0.001	0.001	0.000		
	(0.000)	(0.001)	(0.001)	(0.001)		
Has health insurance (=1)	0.001	0.003	0.047	0.070***		
	(0.002)	(0.004)	(0.037)	(0.023)		
Waiting time (hours)	0.000	-0.000				
	(0.000)	(0.001)				
Months pregnant	0.001***	0.000				
	(0.000)	(0.000)				
First prenatal visit (=1)	0.004***	0.008				
	(0.001)	(0.009)				
Age of the child			-0.004	-0.008		
			(0.006)	(0.005)		
Observations	386	297	452	298		

289 Note: Standard errors in parentheses; *** p<0.01, ** p<0.05, * p<0.1

290 Limitations

291 This paper has its own limitations, although it is one of the first papers to explore the effect of 292 performance incentives on patients' satisfaction in MLIC. As the instructions given to the survey firm on 293 the number of patients to interview in each facility were misunderstood in 2006, too few interviews 294 were conducted on satisfaction at baseline. Thus, only 2008 (follow-up) data is used in the analysis 295 which does not allow isolating the impact of PBF through difference-in-difference technique. Only causal 296 relationships can be drawn. Nevertheless, the analysis benefits from the randomized design of the study 297 and rigorous evaluation of households' perception of the quality of care in their health facility, measured from the household surveys, showed balance at baseline between treatment and control 298

groups (Basinga, 2009). One can reasonably assume that satisfaction of patients exiting the same facilities was also comparable at baseline and that any difference observed at follow-up can be attributed to PBF.

302

303 **5. Discussion**

This paper adds to knowledge in at least three ways: first, it provides evidence on patients' satisfaction with health services in rural Rwanda. Second, it provides evidence on determinants of patient satisfaction and discusses differences between HIC and LMIC that can serve as policy recommendations. Third, it confirms the PBF reform potential related to quality assurance and patients satisfaction.

308 As observed in other countries (Bernhart, Wiadnyana, Wihardjo, & Pohan, 1999; Sitzia & Wood, 1997), 309 patients interviewed in Rwanda reported high satisfaction levels for clinical and non-clinical services. 310 This contrasts with the suboptimal use of basic health services in the country and suggests a response bias as patients tend to hold back negative views. Respondents show their lack of satisfaction only in the 311 312 case of waiting time probably because it is the most tangible measure and can be easily quantified. PBF 313 has a positive effect on satisfaction with clinical services, as observed in the Democratic Republic of 314 Congo (Soeters et al., 2011), but its effect on non-clinical services varies. This contrasts with Burundi 315 where Bonfrer, Soeters, et al. (2014) were not able to find an effect of PBF on the quality of care as 316 reported by patients although clinical quality significantly improved. Results from Rwanda suggest two 317 interesting patterns: first, PBF primarily influences satisfaction related to the clinical content of care: 318 satisfaction with clinical services improved by 2.5% for adult care, 1% for prenatal care and 2% for child 319 care in PBF facilities suggesting that productivity gains achieved through PBF did not hamper healthcare 320 service quality as perceived by patients. This is a key finding as service quality under pay-for-321 performance schemes is a major concern in the literature (Greene & Nash, 2009; Peterson et al., 2006).

322 Second, PBF can influence non-clinical dimensions of satisfaction if health care providers find an 323 incentive to do so, that is to say if the dimension is somehow compatible with the existing incentives. 324 For instance, with PBF, the proportion of very satisfied adults increases by 2% for time spent with 325 provider and by 4% for cleanliness of the facility whereas those dimensions are not influenced by PBF 326 for pregnant women and children. This may reveal that contrary to pregnant women who primarily pay 327 attention to clinical services as they have no alternative but to visit the health facility, adults that are not 328 satisfied with non-clinical services could have chosen self-medication and thus not visited the facility. As 329 a consequence, health care providers have an incentive to satisfy adults with clinical but also non-clinical 330 dimensions so that they visit the facility again and advise other people to do so, which will have a 331 positive effect of providers' earnings. Interestingly, PBF has no effect on waiting time except for 332 pregnant women: pregnant women are 7% more likely to be satisfied or very satisfied with waiting time 333 in PBF facilities. This suggests that healthcare providers have adopted a coping strategy to raise 334 satisfaction among patients that represent the largest potential financial gain. If pregnant women are 335 very pleased, they may visit the facility again for prenatal care (rewarded service) and institutional 336 delivery (the service with the largest financial reward). This contradicts evidence from the Democratic 337 Republic of Congo where PBF had a negative (but not significant) effect on waiting time (Soeters et al., 338 2011). In the case of adults and children, dissatisfaction with waiting time can reflect the lack of human 339 resources, space and equipment, but also poor responsiveness of healthcare providers which do not 340 have an incentive to reduce waiting times.

Satisfaction with clinical services is greater among insured patients (+7% for adults and +5% for children). Prescribing laboratory tests also influences a pregnant woman's satisfaction as she may feel that the provider is taking good care of her. Interestingly, individual characteristics do not influence patients' satisfaction with clinical services but only satisfaction with non-clinical services. The study finds that women, older patients and less educated patients tend to be more satisfied with non-clinical services in Rwanda, which is in accordance with published evidence on the determinants of patients' satisfaction (Crow et al., 2002; Hall & Dornan, 1990; Hekkert et al., 2009; Sitzia & Wood, 1997). The results also confirm evidence on satisfaction according to the status of facilities (public or private) in LMIC (Berendes et al., 2011) as differences between public and faith-based facilities were found only for non-clinical services.

351 Contrary to HIC, the assessment of patients' satisfaction is not systematic in LMIC and only limited 352 evidence exists. Further, LMIC traditional health systems are not well organized to internalize patient 353 satisfaction. Until recently, performance-based financing schemes did not include a measure of 354 satisfaction. As satisfaction with health services determines future utilization, attention paid to patients' 355 satisfaction is however critical to raise the overall utilization of basic health services in LMIC. While HIC 356 intend to limit the number of contacts between patients and the healthcare system, some basic 357 maternal and child health services remain underutilized in LMIC, particularly by the most vulnerable. 358 Low utilization is a major impediment to patients' becoming a countervailing force because the most 359 unsatisfied patients rarely or never use the services. Results from the robustness check suggest that PBF 360 improves satisfaction with clinical services only from a certain threshold and up to a certain level. For 361 child curative care, where the utilization of services does not exceed one third of cases, PBF could make 362 a difference, but only in districts where utilization is higher. For prenatal care services which are more 363 commonly used, PBF can influence satisfaction, but only in districts with lower utilization. Contrary to 364 high income countries where patients represent a countervailing force and can influence healthcare 365 providers' attitudes, patients from LMIC are not empowered to oppose to healthcare providers.

Three policy recommendations can be drawn from the above analysis. First, health care managers and decision makers in LMIC should consider service quality and patients' satisfaction as important strategic objectives. Measurement of patients' satisfaction should be conducted alongside the traditional

369 monitoring of quality of care to give more weight to patients' voice and incentivize providers to be more 370 responsive. Patients' satisfaction with healthcare services is particularly critical in LMIC where the 371 population lacks trust in health services and where utilization of basic health services is low. Second, 372 designers of PBF schemes in LMIC should integrate satisfaction measures in the incentive mechanism. 373 LMIC should build on the experience from HIC to ensure satisfaction is a component of the quality of 374 care evaluation in general and of performance incentives in particular. In Rwanda for instance, PBF was 375 accompanied by strong reporting and supervision mechanisms (Basinga et al., 2011) that probably 376 contributed to the positive effect of PBF on patients' satisfaction with clinical services. Third, the 377 potential of performance-based financing in addressing structural problems of health systems should be 378 acknowledged. As argued by Meessen et al. (2011), PBF can be a reform catalyst. The Rwanda case 379 shows that although PBF focuses on suppliers of health care services and on the process of care, it can 380 improve patients' experience with health care services and improve their satisfaction with clinical and 381 some non-clinical services. This should further encourage policy makers to explore synergies between 382 PBF and other strategies aimed at improving fuller utilization and higher quality of health services.

383 6. Conclusion

This study provides evidence on patients' satisfaction with primary health care services in LMIC. It contributes in filling a knowledge gap by looking at an unexplored aspect of performance-based financing, taking a patient's perspective to see how PBF affects healthcare services.

This paper supports the hypothesis that PBF succeeds in improving patients' satisfaction levels with health services, in particular for clinical related services. Improvements in staff availability, productivity and competences can result in patients being more satisfied with both clinical and non-clinical services provided. In other words, efficiency gains are not achieved at the expense of a perceived quality of care.

In some instances, PBF can also improve satisfaction with non-clinical dimensions if they can generatefuture financial gains.

The positive effect of PBF on patient satisfaction confirms that PBF is more than a provider payment mechanism because it can contribute in strengthening health systems. As satisfaction with services can improve healthcare utilization and health outcomes, LMIC should build on the experience of high income countries' to respond better to the voice of patients' and include their feedback in quality assessments. As PBF is increasingly implemented in African countries, its reform catalyst potential should further be explored.

	Control group			Treatment group				Total		T-test of
	Obs.	mean	SE	Obs.	mean	SE	Obs.	mean	SE	difference in means
Adult care										
Public	675	63%	0.018	664	66%	0.018	1339	65%	0.010	0.227
Prescription	675	50%	0.019	664	54%	0.019	1339	52%	0.013	0.143
Laboratory test	675	4%	0.007	664	5%	0.008	1339	4%	0.005	0.553
Has primary education	675	39%	0.018	664	35%	0.018	1339	37%	0.013	0.158
Male	675	40%	0.018	664	37%	0.018	1339	38%	0.013	0.035
Age	675	39	0.609	664	39	0.622	1339	39	0.435	0.935
Has health insurance	675	95%	0.008	664	97%	0.005	1339	96%	0.005	0.007
Prenatal care										
Public	666	64%	0.018	693	68%	0.017	1359	66%	0.013	0.107
Drug prescription	666	6%	0.009	693	5%	0.008	1359	5%	0.006	0.594
Laboratory tests	666	1%	0.004	693	2%	0.005	1359	1%	0.003	0.121
Has primary education	666	43%	0.019	693	40%	0.018	1359	41%	0.013	0.222
Age	666	28	0.248	693	28	0.231	1359	28	0.169	0.525
Has health insurance	666	91%	0.010	693	93%	0.009	1359	92%	0.007	0.304
Waiting time (hours)	666	2.25	0.065	693	2.43	0.072	1359	2.34	0.049	0.066
Months pregnant	666	6.04	0.659	693	5.88	0.069	1359	5.96	0.047	0.111
First prenatal visit	666	67%	0.018	693	67%	0.018	1359	67%	0.013	0.868
Number of children	666	2	0.070	693	2	0.067	1359	2	0.048	0.568
Child care										
Public	505	63%	0.021	459	69%	0.020	964	66%	0.010	0.046
Drug prescription	505	39%	0.021	459	52%	0.023	964	45%	0.016	0.000
Laboratory tests	505	3%	0.007	459	7%	0.012	964	5%	0.006	0.001
Has primary education	505	44%	0.022	459	44%	0.023	964	44%	0.160	0.957
Male	505	9%	0.125	459	10%	0.014	964	10%	0.009	0.418
Age of respondent	505	30.2	0.334	459	30.1	0.385	964	30.1	0.253	0.842
Has health insurance	505	88%	0.014	459	91%	0.013	964	90%	0.009	0.102
Age of the child	505	2	0.060	459	2	0.057	964	2	0.042	0.769

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