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Ramsey Properties of Permutations

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Abstract

The age of each countable homogeneous permutation forms a Ramsey class. Thus, there are five countably infinite Ramsey classes of permutations.

Keywords: Ramsey class, permutation, homogeneous structure

1 Introduction

The finite Ramsey theorem [13] states that for any natural numbers a , b and r there is a natural number c such that in any r -colouring of all a -element subsets of the set $\{1, \dots, c\}$ there is a monochromatic b -element subset. This property is usually denoted by the *Erdős–Rado partition arrow*: $c \rightarrow (b)_r^a$. In the following, the least such number c will be denoted by $R(a, b, r)$.

Different variants and generalisations of this result (cf. Nešetřil [12]) have been investigated. The concept of Ramsey classes is perhaps the most general of these. A *Ramsey class* is a class \mathcal{K} of objects such that for each natural number r and each choice of objects $A, B \in \mathcal{K}$ there is an object $C \in \mathcal{K}$ such that in any r -colouring of all subobjects of C isomorphic to A , there is a monochromatic subobject isomorphic to B :

$$C \rightarrow (B)_r^A.$$

We consider classes of finite relational structures, with embeddings as subobjects (for definitions see Section 2). In this case, there is a strong connection between Ramsey classes and homogeneous structures.

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Theorem 1.1 (cf. Nešetřil [8]). *If \mathcal{K} is a hereditary isomorphism-closed Ramsey class with the joint embedding property, then \mathcal{K} is the age of a countable homogeneous structure.*

For investigating Ramsey classes it therefore suffices to consider classes of relational structures that are the age of some homogeneous structure. A classification programme of Ramsey classes was launched by Nešetřil [8], see also [6]. Ramsey classes of graphs had been characterised by Nešetřil [7], and characterisations for tournaments and posets appear in [8], and for posets again in [15, 16].

In this paper, we make a small contribution to this classification programme and determine all Ramsey classes of finite permutations. We establish the following result.

Theorem 1.2. *The age of each countable homogeneous permutation forms a Ramsey class.*

For the proof, we use a characterisation of homogeneous permutations by Cameron [2] (see Theorem 3.1). For the respective ages, we apply the finite Ramsey theorem, the product Ramsey theorem, and the partite construction and amalgamation technique of Nešetřil and Rödl [10, 11] in order to establish Theorem 1.2 (see Sections 4 and 5).

2 Background: Homogeneous structures and Ramsey classes

According to Theorem 1.1 homogeneous relational structures and Ramsey classes are closely connected. In this section we provide the necessary formal definitions.

We consider relational structures with a fixed signature σ . The domain of a structure A is denoted by \underline{A} . An injective mapping $f : \underline{A} \rightarrow \underline{B}$ is an *embedding* of A into B if for every k -ary relation symbol R in σ and any k -tuple $(x_j : j = 1, \dots, k)$ we have $(x_j : j = 1, \dots, k) \in R^A$ iff $(f(x_j) : j = 1, \dots, k) \in R^B$. A is a *substructure* of B if the inclusion mapping is an embedding of A into B ; we write $A \subseteq B$. Moreover, $\binom{B}{A}$ stands for the set of all embeddings of A into B .

Consider a class \mathcal{K} of relational structures that is closed under isomorphisms. For structures $A, B, C \in \mathcal{K}$ and $r \in \mathbb{N}$ the *Erdős-Rado partition arrow* $C \rightarrow (B)_r^A$ denotes the following: For each partition $\binom{C}{A} = \mathcal{A}_1 \cup \dots \cup \mathcal{A}_r$ there exist $i \in \{1, \dots, r\}$ and $B' \subseteq C$ such that $B' \cong B$ and $\binom{B'}{A} \subseteq \mathcal{A}_i$. We also call B' a *monochromatic* copy of B in C and the partition of $\binom{C}{A}$ a *colouring*. For $A \in \mathcal{K}$ we say that \mathcal{K} is *A-Ramsey* if for every $B \in \mathcal{K}$ and $r \in \mathbb{N}$ there exists a $C \in \mathcal{K}$ such that $C \rightarrow (B)_r^A$. \mathcal{K} is a *Ramsey class* if it is *A-Ramsey* for every $A \in \mathcal{K}$.

A relational structure B is called *homogeneous* if every isomorphism $f : A \rightarrow A'$ between finite substructures A and A' of B can be extended to an automorphism of B . For a structure B , the *age* of B is the class of all finite structures that can be embedded into B .

Let \mathcal{K} be a class of relational structures. Then \mathcal{K} is *hereditary* if $A' \in \mathcal{K}$ and $A \subseteq A'$ imply $A \in \mathcal{K}$. We say that \mathcal{K} has the *joint embedding property* if for any $B, B' \in \mathcal{K}$ there is $C \in \mathcal{K}$ such that both B and B' are embeddable in C . We say that \mathcal{K} has the *amalgamation property* if for every $A, B, B' \in \mathcal{K}$ and every embedding $f : A \rightarrow B$ of A into B and every embedding $f' : A \rightarrow B'$ of A into B' there exists $C \in \mathcal{K}$ and embeddings $g : B \rightarrow C$ and $g' : B' \rightarrow C$ such that $g \circ f = g' \circ f'$. If the intersection of $g(\underline{B})$ and $g'(\underline{B}')$ is equal to $g \circ f(\underline{A})$, we say that the amalgamation (or the joint embedding) is *strong*.

Because of Theorem 1.1 we are interested in ages of countable homogeneous relational structures. A classical result of Fraïssé gives a necessary and sufficient condition for a class of finite structures to be the age of such a structure.

Theorem 2.1 (Fraïssé [4]). *A class \mathcal{K} of finite relational structures is the age of a countable homogeneous relational structure \mathcal{U} iff the following five conditions hold:*

1. \mathcal{K} is closed under isomorphism,
2. \mathcal{K} has only countably many isomorphism classes,
3. \mathcal{K} is hereditary,
4. \mathcal{K} has the joint embedding property,
5. \mathcal{K} has the amalgamation property.

For a class \mathcal{K} that satisfies the conditions 1.–5. of Theorem 2.1, the countable homogeneous structure \mathcal{U} is unique up to isomorphism and is called the *Fraïssé limit* of \mathcal{K} .

3 Permutations

From now on we will be concerned with the proof of Theorem 1.2. We first need to introduce some notation. We adopt the definition of permutation following Cameron [2]: as a relational structure with two linear (total) orders. This definition applies to both finite and infinite permutations. Its advantage over viewing a permutation as a bijective mapping of a set to itself is that it makes the notion of subpermutation clearer.

Formally, a *permutation* $P = (X, <_0, <_1)$ consists of a set X and two linear orders $<_0$ and $<_1$ of X where $<_0$ is called the *natural order* on X and $<_1$ is arbitrary. An example is shown in Figure 1. A *subpermutation* of P is a permutation $P' = (X', <'_0, <'_1)$ with $X' \subseteq X$ such that $<'_i$ is the restriction of $<_i$ to X' . Let $P = (X, <_0, <_1)$ be a finite permutation with $|X| = x$ and consider a sequence $(p_i : i = 1, \dots, x)$ of pairwise distinct numbers $p_i \in \{1, \dots, x\}$. Then we call $(p_i : i = 1, \dots, x)$ the *pattern* of P if P is isomorphic to the permutation $P' = (\{1, \dots, x\}, <, <'_1)$ with $i < j$ iff $p_i <'_1 p_j$ for $i, j \in \{1, \dots, x\}$.

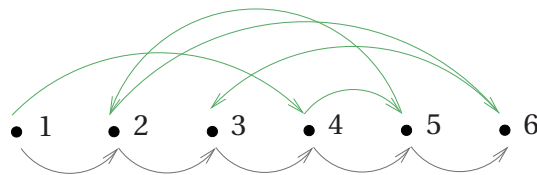


Figure 1: The permutation with pattern 1,4,5,2,6,3 (transitive arcs are omitted).

The countable homogeneous permutations were characterised by Cameron [2]. Let $\mathbf{1}$ be the unique permutation on one point. An *identity permutation* is a permutation of the form $(X, <_0, <_0)$ and a *reversal* is a permutation of the form $(X, <_0, >_0)$. An *increasing sequence of decreasing sequences* is a permutation that contains no subpermutation with pattern 2,3,1 and no subpermutation with pattern 3,1,2. *Decreasing sequences of increasing sequences* are defined analogously (no 2,1,3 and no 1,3,2).

Theorem 3.1 (Cameron [2]). *The age of each countable homogeneous permutation is one of the following classes of finite permutations:*

1. $\{1\}$,
2. *the class of identity permutations,*
3. *the class of reversals,*
4. *increasing sequences of decreasing sequences,*
5. *decreasing sequences of increasing sequences,*
6. *the class of all permutations.*

The corresponding countable homogeneous permutations (Fraïssé limits), described by Cameron [2] as well, are the following:

1. $\mathbf{1}$,
2. $(\mathbb{Q}, <, <)$,
3. $(\mathbb{Q}, <, >)$,
4. $(\mathbb{Q}^2, <_0, <_1)$, where $<_0$ is the lexicographic ordering and $<_1$ is the lexicographic ordering reversed within blocks,
5. $(\mathbb{Q}^2, <_0, <_1)$, where $<_0$ is the lexicographic ordering and $<_1$ is the lexicographic ordering reversed between blocks,
6. $(\mathbb{Q}^2, <_0, <_1)$, where $(x, y) <_0 (u, v)$ if $xa + yb < ua + vb$, and $(x, y) <_1 (u, v)$ if $xc + yd < uc + vd$; a, b, c, d are fixed real numbers such that b/a and d/c are distinct irrationals satisfying $b/a + d/c \neq 0$.

Our main theorem, Theorem 1.2, claims that each of the classes of Theorem 3.1 forms a Ramsey class (and hence, because of Theorem 1.1, these are the only Ramsey classes of permutations). As a direct consequence of the finite Ramsey theorem we have the following.

Fact. *The class of identity permutations and the class of reversals are Ramsey classes.*

In the rest of this paper we thus study the remaining three classes from Theorem 3.1.

4 Increasing and decreasing sequences and the product Ramsey theorem

First, we investigate the class of increasing sequences of decreasing sequences and the class of decreasing sequences of increasing sequences. For showing that they are Ramsey we make use of the *product Ramsey theorem* of Graham, Rothschild and Spencer [5, Chapter 5.1]. Let X be a set. We write $\binom{X}{k}$ for the set of all k -element subsets of X .

Theorem 4.1 (product Ramsey theorem). *For all $r, t, n \in \mathbb{N}$ and $p \in \mathbb{N}^t$ there exists $N \in \mathbb{N}$ such that the following holds: If*

- X_1, X_2, \dots, X_t are sets such that $|X_i| \geq N$ for all $1 \leq i \leq t$, and
- we r -colour $\binom{X_1}{p_1} \times \dots \times \binom{X_t}{p_t}$,

then there are $Y_i \subseteq X_i$ with $|Y_i| \geq n$ for all $1 \leq i \leq t$ such that $\binom{Y_1}{p_1} \times \dots \times \binom{Y_t}{p_t}$ is monochromatic.

In the following let $PR(r, p, t, n)$ denote the least integer N such that the assertion of this theorem holds for ‘input’ r, p, t, n .

Next, we use the product Ramsey theorem in order to deduce the following variant for sets $A \subseteq \mathbb{N}^2$ of ordered pairs, which applies more directly to our problem. Two finite sets $A \subseteq \mathbb{N} \times J$ and $A' \subseteq \mathbb{N} \times J'$ with $J = \{j_1, \dots, j_n\} \subseteq \mathbb{N}$ and $J' = \{j'_1, \dots, j'_{n'}\} \subseteq \mathbb{N}$ where $j_1 < \dots < j_n$ and $j'_1 < \dots < j'_{n'}$ are *isomorphic* if $n = n'$ and $|A \cap (\mathbb{N} \times \{j_i\})| = |A' \cap (\mathbb{N} \times \{j'_i\})|$ for all $1 \leq i \leq n$. Moreover, A' is a *substructure* of B if it is a subset of B , and $\binom{B}{A}$ contains all substructures A' of B that are isomorphic to A .

Theorem 4.2. *For all $r, t(A), t(B) \in \mathbb{N}$ and all $a \in \mathbb{N}^{t(A)}, b \in \mathbb{N}$ there exist $t(C), c \in \mathbb{N}$ such that the following holds: If*

$$\begin{aligned} A &= \{(x, j) : x \in \{1, \dots, a_j\}, j \in \{1, \dots, t(A)\}\}, \\ B &= \{(x, j) : x \in \{1, \dots, b\}, j \in \{1, \dots, t(B)\}\}, \\ C &= \{(x, j) : x \in \{1, \dots, c\}, j \in \{1, \dots, t(C)\}\}, \end{aligned}$$

and we r -colour $\binom{C}{A}$, then there is a monochromatic copy of B in C .

Observe that, crucially, while the sets B and C in this theorem can be written as the Cartesian product of two sets of integers, the first coordinate of pairs from A may assume different ranges depending on the second coordinate. We will need this property for our result concerning permutations.

Proof. Set $t(C) := R(t(A), t(B), r)$ and $\gamma := \binom{t(C)}{t(A)}$. Moreover, define $c(1) := PR(r, a, t(A), b)$ and $c(i+1) := PR(r, a, t(A), c(i))$ for $i > 1$ and let $c := c(\gamma)$. Let

$$\begin{aligned} A &= A_1 \cup \dots \cup A_{t(A)} && \text{with } A_i = \{(x, i) : x \in \{1, \dots, a_i\}\} \text{ for } 1 \leq i \leq t(A), \\ B &= B_1 \cup \dots \cup B_{t(B)} && \text{with } B_i = \{(x, i) : x \in \{1, \dots, b\}\} \text{ for } 1 \leq i \leq t(B), \\ C &= C_1 \cup \dots \cup C_{t(C)} && \text{with } C_i = \{(x, i) : x \in \{1, \dots, c\}\} \text{ for } 1 \leq i \leq t(C), \end{aligned}$$

and consider a colouring χ of $\binom{C}{A}$.

We establish the theorem by finding sets $\tilde{C}_j \subseteq C_j$ of cardinality b for $1 \leq j \leq t(C)$ such that $\tilde{C} = \tilde{C}_1 \cup \dots \cup \tilde{C}_j$ has the following property: For all distinct $i_1, \dots, i_{t(A)} \in \{1, \dots, t(C)\}$ all copies of A in $\tilde{C}_{i_1} \cup \dots \cup \tilde{C}_{i_{t(A)}}$ have the same colour. This uniquely determines an r -colouring of the set \mathcal{S} of all $t(A)$ -element subsets of the integers $1, \dots, t(C)$. By the choice of $t(C)$ and the finite Ramsey theorem we find a monochromatic $t(B)$ -element subset in \mathcal{S} and accordingly a monochromatic copy of B in C .

To find the sets \tilde{C}_j we repeatedly apply the product Ramsey theorem. The idea is to do the following step for each choice of distinct $i_1, \dots, i_{t(A)} \in \{1, \dots, t(C)\}$. Consider $C^* := C_{i_1} \cup \dots \cup C_{i_{t(A)}}$ and choose the largest monochromatic subset (with respect to χ) of C^* . For the next step, restrict each set C_{i_j} to $C_{i_j} \cap C^*$. Then continue with the next choice of $i_1, \dots, i_{t(A)}$. By the choice of c and the product Ramsey theorem we will get subsets \tilde{C}_j of C_j with $|\tilde{C}_j| \geq b$ at the end of this procedure. \square

This immediately implies the desired result.

Corollary 4.3. *The class of increasing sequences of decreasing sequences and the class of decreasing sequences of increasing sequences are Ramsey classes.*

Proof. Let A, B be two increasing sequences of decreasing sequences. Set $t(A)$ to be the number of decreasing sequences in A and let a_j be the length of the j th decreasing sequence. Furthermore set $t(B)$ to be the number of decreasing sequences in B and let b be the maximum length of a decreasing sequence in B . Apply Theorem 4.2 and let C be the increasing sequence of $t(C)$ decreasing sequences of length c . The proof for decreasing sequences of increasing sequences is analogous. \square

5 The class of all permutations

To show that the class of all permutations is a Ramsey class we use the amalgamation technique, which was introduced by Nešetřil and Rödl [10, 11] (see also Nešetřil [12]). This technique consists of two main parts: the *partite lemma* and the *partite construction*. We start with some definitions.

Let $a \in \mathbb{N}$. An a -partite permutation $P = (X_1 \cup \dots \cup X_a, <_0, <_1)$ is a permutation on the union of disjoint sets X_1, \dots, X_a such that $x <_0 y$ for $x \in X_i$ and $y \in X_j$ whenever $i < j$, and $x <_0 y$ iff $x <_1 y$ for $x, y \in X_i$ (an example is provided in Figure 2). The sets X_i are called the *parts* of P . An a -partite permutation is *transversal* if each part is of size one. As subpermutations of P we now consider only a -partite subpermutations $P' = (X'_1 \cup \dots \cup X'_a, <'_0, <'_1)$ (where the X'_i are possibly empty). The *trace* of an a -partite permutation $P = (X_1 \cup \dots \cup X_a, <_0, <_1)$ is given by $\{i : X_i \neq \emptyset\}$.

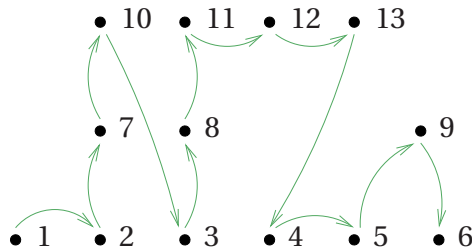


Figure 2: The 3-partite permutation with pattern 1, 2, 7, 10, 3, 8, 11, 12, 13, 4, 5, 9, 6.

5.1 The Partite Lemma

Following the strategy of Nešetřil and Rödl we first formulate a Ramsey lemma concerning copies of a -partite transversals in arbitrary a -partite permutations. In our case this lemma asserts the following.

Lemma 5.1 (partite lemma). *Let A be an a -partite transversal and B an arbitrary a -partite permutation. Then for any $r \in \mathbb{N}$ there exists an a -partite permutation C such that $C \rightarrow (B)_r^A$.*

For the proof of this lemma we use the following class of special permutations. An *a*-snake $P = (X_1 \cup \dots \cup X_a, <_0, <_1)$ of length $\xi = \xi(P)$ is an *a*-partite permutation with parts

$$X_i = \{(i-1)\xi + x : 1 \leq x \leq \xi\} =: \{1_i, \dots, \xi_i\}$$

such that $x_i <_1 x_j$ for $0 \leq i < j \leq a$ and $1 \leq x \leq \xi$, and $x_a <_1 y_1$ for $y = x + 1$ and $1 \leq x < \xi$ (see Figure 3).

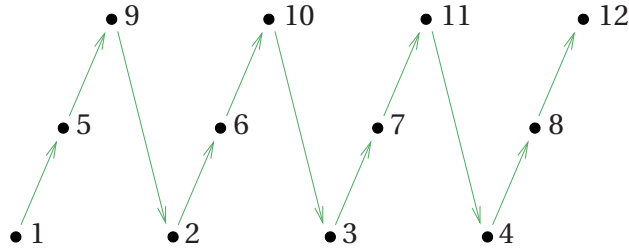


Figure 3: The 3-partite snake of length 4.

Fact. Each *a*-partite permutation $P = (X_1 \cup \dots \cup X_a, <_0, <_1)$ is a subpermutation of the *a*-snake of length $\sum_i |X_i|$.

For an arbitrary *a*-partite permutation P let $\xi(P)$ be the length of the shortest *a*-snake that contains P as a subpermutation. By the previous fact the partite lemma, Lemma 5.1, is a direct consequence of the following lemma.

Lemma 5.2. Let A be an *a*-partite transversal and B an arbitrary *a*-snake. Then for any $r \in \mathbb{N}$ there exists an *a*-snake C such that $C \rightarrow (B)_r^A$.

Proof. We proceed by induction on *a*. The base case $a = 1$ is trivial. For the induction step let $\xi(r, A', B')$ be the length of a snake C such that the lemma holds for r colours and $(a-1)$ -partite A' and B' . Now, consider an *a*-partite transversal A and an *a*-snake B and assume that the first element of A is in part \underline{A}_1 . The $(a-1)$ -partite permutation induced on $\underline{A} \setminus \underline{A}_1$ is denoted by A^* (and the trivial 1-partite permutation induced on \underline{A}_1 by A_1). Let C be the *a*-partite snake of length

$$\underbrace{\xi(r, A^*, \xi(r, A^*, \xi(\dots(r, A^*, \xi(r, A^*, A^*) + 1) \dots) + 1) + 1)}_{(r-1)\xi(B)+1 \text{ times}}$$

We claim that C has the desired properties. Indeed, consider a colouring χ of $\binom{C}{A}$. Let \underline{C}_1 be the part of C that corresponds to \underline{A}_1 , let C_1 be the 1-partite permutation induced on \underline{C}_1 , and C^* the $(a-1)$ -partite permutation induced on $\underline{C} \setminus \underline{C}_1$. Choose the first element c_1 of C_1 and consider copies of A in C that start in c_1 . These copies of A are in one to one correspondence with copies of A^* in C^* . Let χ^* be the corresponding colouring of $\binom{C^*}{A^*}$, i.e.,

$\chi^*(A^*) := \chi(A^* \cup c_1)$. By the choice of C and the induction hypothesis we find an $(a - 1)$ -snake in C^* that is monochromatic under χ^* and has length

$$\underbrace{\xi(r, A^*, \xi(r, A^*, \xi(\dots(r, A^*, \xi(r, A^*, A^*) + 1) \dots) + 1) + 1)}_{(r-1)\xi(B) \text{ times}}.$$

We continue by restricting C to the elements of this snake and appropriate intermediate elements in C_1 and repeat this process $(r - 1)\xi(B) + 1$ times. Let \tilde{C} be the a -partite snake that has in part \underline{C}_1 all the elements that were chosen as c_1 in this process. By construction, $\xi(\tilde{C}) = (r - 1)\xi(B) + 1$. Observe, moreover, that the colour of a copy of A in \tilde{C} depends only on its element in A_1 . By the pigeon hole principle we therefore get a monochromatic copy of B in \tilde{C} . \square

5.2 The Partite Construction

For the partite construction we additionally need the following lemma.

Lemma 5.3. *For each $a \in \mathbb{N}$ the class of a -partite permutations has the amalgamation property.*

Proof. It is easy to see that the class of all permutations has strong amalgamation (recall the definition from Section 2). Hence, to amalgamate a -partite permutations, amalgamate the underlying permutations strongly in such a way that within one part the two orderings coincide. Membership in parts is preserved. \square

With this we are now ready to deduce from the partite lemma via the partite construction that the class of all permutations is Ramsey.

Theorem 5.4. *The class of all permutations is a Ramsey class.*

Proof. Let $r \geq 2$ be an integer and A and B be arbitrary permutations of sizes a and b , respectively. We need to show that there is a permutation C with $C \rightarrow (B)_r^A$. For this purpose let $q = R(a, b, r)$ and $\gamma = \binom{q}{a}$. In the partite construction we will recursively define permutations P_0, \dots, P_γ and P_γ will be the permutation C in quest.

We consider A as an a -partite permutation and B as a b -partite permutation. Let P_0 be a q -partite permutation such that any arbitrarily chosen b parts of P_0 induce a copy of B . Such a permutation can easily be constructed, for instance, by concatenating $\binom{q}{b}$ copies of B ‘spread’ over the right parts.

Let $\binom{\{1, \dots, q\}}{a} = \{M_1, \dots, M_\gamma\}$. For $i \geq 1$, each permutation P_i is then obtained from P_{i-1} by performing the following construction: Let D_i be the permutation induced on the parts enumerated by M_i . According to the partite lemma, Lemma 5.1, there is a b -partite permutation E_i such that $E_i \rightarrow (D_i)_r^A$. Construct P_i by taking $\left| \binom{E_i}{D_i} \right|$ copies of P_{i-1} and amalgamating them along the copies of D_i in E_i . This is possible by Lemma 5.3.

Then $P_\gamma \rightarrow (B)_r^A$. This is shown by backward induction: Consider a colouring χ of P_γ and let $P_\gamma^* = P_\gamma$. From P_{i+1}^* choose a copy P_i^* of P_i such that all copies of A in the corresponding

copy of D_i have the same colour. P_i^* exists by construction (because $E_i \rightarrow (D_i)_r^A$). Eventually, we obtain a copy P_0^* of P_0 with the following property: The colour of a copy of A in P_0^* depends only on its trace. Accordingly the colouring χ of P_0^* induces a colouring of subsets of size a of the first q positive integers. By the choice of q and the Ramsey theorem we therefore find a monochromatic copy of B in this copy of P_0 . \square

6 Concluding remarks

We have recently been informed that some of our results have independently been obtained by Sokić [14, 17]. An alternative proof of our results, using topological properties of automorphism groups, can be obtained as an application of Bodirsky's recent manuscript [1].

The class of all structures consisting of 3 or more total orders is also a Ramsey class; this can be proved either by a modification of our proof or by the cross-construction of Sokić [14]. However, at present the classification of all homogeneous structures with k total orders is open for $k \geq 3$.

The partite construction seems to be a promising method for proving Ramsey properties of more general amalgamation classes. The applications we have in mind include, e.g., classes of structures defined by forbidding the existence of a homomorphism from a set of finite connected structures. Such classes were studied by Cherlin, Shelah and Shi [3]. For finite sets of forbidden structures, the solution was announced by Nešetřil [9].

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