

**HANS STEINMÜLLER**

Max Planck Institute for Social Anthropology

# Communities of complicity:

## Notes on state formation and local sociality in rural China

**ABSTRACT**

In this article, I deal with the tension in rural China between vernacular practice in local sociality and official representations related to processes of state formation and with the ways in which this tension is revealed and concealed through gestures of embarrassment, irony, and cynicism. Such gestures point toward a space of intimate self-knowledge that I call a “community of complicity,” a concept derived from Michael Herzfeld’s outline of “cultural intimacy.” I illustrate how such communities are constituted with examples involving Chinese geomancy (*fengshui*), funerary rituals, and corruption. I contrast this approach with arguments made about “state involution” in China. [*rural China, state formation, state involution, cultural intimacy, fengshui, corruption*]

People do not fight for abstract perfection but for the intimacies that lie behind it.

—Michael Herzfeld

The inauguration of a newly built house is a rather important event in rural China. In the past, it was celebrated as *shang liang*: the raising of the ridge pole. Where I did fieldwork in 2006–07 in Zhongba village in the Enshi region of Hubei province,<sup>1</sup> a lot of construction activity and, hence, a lot of these celebrations were taking place. The roofs of new houses are no longer built of lumber but of bricks and concrete, so the celebration is no longer called “raising the ridge pole” but “pouring the concrete” (E. *dao ban*’r<sup>2</sup>). But, just as in former times, relatives, neighbors, and friends are invited for the event, where they are supposed to eat a meal and give small gifts of cash to the homeowner.

The celebration for the pouring of the concrete roof of Pan Dejun’s new house was set for early August 2006. Over time, I had become a regular visitor at the Pan household, which was just a five-minute walk from the village administration building where I was living. Pan Dong, the family’s 14-year-old son, had informed me well before the event that I should come to the new house on day so and so for the inauguration. At the celebration, I rarely saw his father, Pan Dejun, and when I did see him, he looked rather grim and nervous. His arm was bandaged: He had broken it when he fell from the scaffolding at the construction site. After dinner, the guests went to the side rooms of the house to play mahjong, and I was dragged along as well to sit down and play. We played until late in the night; only then did I realize that the concrete for the roof would not be poured until midnight. Pan Dejun had hired a contractor to do it, and he arrived with a team of ten workers at 11 p.m. They prepared their machines and started to carry the concrete in buckets on shoulder poles up onto the formwork for the second floor. I found it incomprehensible that they would start this work under the light of their headlamps at midnight, the most inconvenient time one could think of. When I asked Pan Dejun to explain, he told me that the workers

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4 had had another job during the day. But later, his son and  
5 an uncle told me in passing that the time was chosen be-  
6 cause the geomancer they had consulted had told them  
7 they should do it at exactly this hour. It is still general prac-  
8 tice in the village to have a geomancer determine the ex-  
9 act hour for starting this work, to ensure astrological conso-  
10 nance and future prosperity.

11 The accident in which Pan Dejun had broken his arm  
12 was, in this context, extremely significant. Pan was wor-  
13 ried that it could have been an ominous sign, and this had  
14 made him even more anxious to take ritual precaution. The  
15 day after the inauguration, Pan Dong told me that the ge-  
16 omancer (*fengshui xiansheng*) and a Daoist priest (*daoshi*)  
17 had performed several incantations and rituals in the new  
18 house, of which Pan Dong did not understand much. He  
19 just remembered that the Daoist priest had killed a rooster  
20 and then sprayed its blood around the new house. Pan Dong  
21 understood that this was meant to protect the new house  
22 against evil influences and ghosts. He added, “The people  
23 here believe quite a lot of superstitions (*mixin*).”

24 Pan Dong is a very bright boy and had just started  
25 higher middle school when I left Zhongba in 2007. In  
26 December 2006, I had gone with him to the New Year  
27 show staged by the middle school of Bashan township.  
28 He had been given the main part in a comedy sketch, of  
29 which he was very proud. The sketch that followed his was  
30 about a sick old man who believes that a healer-geomancer  
31 (*yinyang xiansheng*) can cure his illness. Against the advice  
32 of his children, who know that the healer is really a charla-  
33 tan, the old man entrusts himself to the healer’s treatment.  
34 In the end, the old man dies from the treatment. Performed  
35 by teenagers, the whole piece was supposed to be comical,  
36 and, in fact, the audience burst into laughter throughout  
37 it. Its obvious intent was to mock the credulity of people  
38 who hire healers and geomancers. One teacher explained  
39 to me that the moral was to warn children and their par-  
40 ents against the dangers of “feudal superstition” (*fengjian*  
41 *mixin*), of which such charlatans and geomancers are prime  
42 representatives.

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45 This article is about what it means to live a local social-  
46 ity if a good part of this sociality is continuously devalued  
47 in official discourse. In it, I attempt to explain why someone  
48 would admit that “people here believe in superstition” and  
49 why such statements are often uttered as asides, stealthily,  
50 sometimes with overtones of embarrassment, cynicism, or  
51 irony.

52 The differences between official and vernacular, cen-  
53 ter and periphery, and public and private are crucially im-  
54 portant in any social space and, so, are not unique to con-  
55 temporary rural China. I start with my impression that the  
56 contradictions between official representation and vernac-  
57 ular practice sometimes assume extreme proportions, as

exemplified in the story of Pan Dong. What one hears in the  
news, what one is taught in school, and what is said in gov-  
ernment announcements are generally quite different from,  
and sometimes diametrically opposed to, what people say  
and do at home. This is perhaps most apparent in connec-  
tion with those things that fall in the category of “supersti-  
tion,” such as the rituals of Daoist priests or the activities of  
Chinese popular geomancy (*fengshui*). Similar ambiguities  
characterize family celebrations such as funerals and wed-  
dings and the relationships between officials and ordinary  
people.

These ambiguities appear particularly salient when  
people are confronted with an outsider who wants to in-  
quire about just such things. Covertly, embarrassment,  
cynicism, and irony are communicative strategies that  
make it possible for them to acknowledge both sides of  
the contradiction, to avoid confrontation, and to maintain  
communication. They are ways of doing “face-work,” as de-  
scribed by Erving Goffman (1955): actions that help one  
avoid inconsistencies between the face one presents—the  
positively attributed representation of a social person—and  
what one actually does. Doing ethnography implies learn-  
ing how to do face-work, that is, learning the conventional  
ways of concealing and revealing such inconsistencies. In  
my fieldwork, this meant, for instance, my learning how  
to talk about such issues as geomancy, family celebrations,  
and corruption. Countless awkward situations produced  
by my foolish questions and clumsy behavior assisted this  
learning process.

Yet the communicative strategies people employed to  
deal with the ambiguities in outside representations of local  
sociality point toward something beyond the awkwardness  
of doing ethnography, something that I describe by refer-  
ence to Michael Herzfeld’s concept of “cultural intimacy.”  
Herzfeld defines “cultural intimacy” as “the recognition of  
those aspects of a cultural identity that are considered a  
source of external embarrassment but that nevertheless  
provide insiders with their assurance of common sociality”  
(2005:3).

A “coded tension” exists between official representa-  
tions, generally linked to nation and state, and vernac-  
ular forms in face-to-face communities; this tension ex-  
presses itself locally in embarrassment, cynicism, or irony.  
Such are the reactions when “cultural intimacy” is exposed,  
and they can both confirm the official representation and  
satirize it. Inasmuch as these expressions are shared and  
common, they bind people together in intimate spaces of  
self-knowledge.

The distinction between official and vernacular does  
not coincide with social or political inequality: The power-  
ful as well as those without power have a sense of cultural  
intimacy. Ethnographic and anecdotal accounts show how  
shared metaphors of “the state” and “the people” are strate-  
gically used by both bureaucrats and ordinary citizens.

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4 These “practical essentialisms” employed in social inter-  
5 action exemplify what Herzfeld means by “social poetics,”  
6 people’s creative deployment of allegedly stereotypical cul-  
7 tural attributes to achieve specific effects.

8 With this concept, Herzfeld draws heavily on the  
9 study of rhetoric and semiotics, and so one might wonder  
10 whether the social poetics based on cultural intimacy are  
11 not “merely” symbolic. At least one reviewer of Herzfeld’s  
12 construct submits this predictable criticism: It focuses on  
13 cultural and symbolic representation of state and nation,  
14 but “is that enough? Are there no ‘objective-correlatives’? If  
15 there are, how on earth do we find them? Where nation and  
16 state coincide, the answer may be, ‘in the objective power of  
17 the state’” (Cohen 1998:8). In other words, the gap between  
18 official representations and local sociality that is character-  
19 istic of cultural intimacy might be a consequence of pro-  
20 cesses of state formation that increase the “objective power  
21 of the state.”

22 Now, power is rather difficult to measure “objectively.”  
23 Political scientists have attempted to do so by focusing  
24 on the efficiency of state power and assessing “good” and  
25 “bad” governance. In the case of the modern Chinese state,  
26 various observers have phrased the problems of state power  
27 and government efficiency in terms of “state involution”  
28 (Duara 1987; Lü 2000; Murphy 2007; Siu 1989a, 1989b; Wang  
29 1991). These observers deal with different periods of state  
30 making in modern Chinese history and reach slightly dif-  
31 ferent conclusions. But they all share a basic line of argu-  
32 ment: Efforts toward the formation of a modern state from  
33 the republican era onward have not resulted in an efficient,  
34 formal bureaucracy and a transparent state machine but,  
35 rather, have reproduced and reinforced traditional modes  
36 of operation and patrimonialism. Although, on the outside,  
37 a shiny façade of formal rationality is constructed, inside a  
38 personalistic cancer is growing. In all of these accounts, the  
39 state or society is somewhere it actually should not be. To  
40 argue in this vein necessitates a vantage point from which  
41 one actually knows where each should be, and this vantage  
42 point is usually a Eurocentric concept of the nation-state.

43 Most of these approaches are inspired by Clifford  
44 Geertz’s (1963) description of “agricultural involution” in In-  
45 donesia. The concept of “involution” has also been widely  
46 used in the economic history of China (Elvin 1973; Huang  
47 1990). In her outline of an alternative history of the “rice  
48 economies” of East Asia, the historian Francesca Bray calls  
49 for approaches that go beyond the “language of failure”  
50 implicit in concepts such as “involution” or “growth with-  
51 out development” (Elvin 1973). Both notions imply either  
52 an attribution of essential Otherness or a negative ac-  
53 count of Chinese history, measured in terms of what was  
54 not achieved when compared with European history (Bray  
55 1994:xiv). I take as a point of caution that concepts of “invo-  
56 lution,” when applied to the state, might lead to the analyt-  
57 ical pitfalls of Eurocentrism and orientalism.

In fact, the condemnation of traditionalist and person-  
alistic ties is not only characteristic of state-involution ap-  
proaches but it is also the most common public represen-  
tation of corruption in the People’s Republic. Toward the  
end of this article, I argue that the ways in which this invo-  
lutionary cancer is officially denigrated and locally recog-  
nized are just as productive of shared commonality as are  
practices related to “superstition” and fengshui. Those who  
share a sense of the same intimacies form what might be  
aptly called a “community of complicity.”

These communities of complicity cut across the  
boundaries of state and society. The involution approaches  
all emphasize that state and society are deeply entangled; I  
go one step further and envision an intimate state, one that  
is “a constitutive force at the heart of the social world,” in  
Eric Mueggler’s words (2001:5). Instead of correlating this  
sense of intimacy with the “objective power of the state,”  
I try to relate it to a history of state formation in everyday  
lives. My examples are stories told about fengshui, about a  
Confucian discourse of “manners and etiquette” (*li*) at a fu-  
neral, and about putatively corrupt local officials.

## Fengshui

Fengshui is the traditional Chinese practice of geomancy,  
a popular cosmology that connects astrological signs and  
cosmological elements with the shape of the lived land-  
scape. Interpreting the particular fengshui of a place aims  
to take advantage of and to adjust its positive “energy.” Most  
laypeople hire an expert geomancer (fengshui xiansheng or  
yinyang xiansheng) for this work. Although the practice has  
long been suppressed by Chinese governments as “feudal  
superstition” (fengjian mixin), it has remained immensely  
popular, especially in the countryside. In Zhongba, as of  
2007, almost everyone who prepared to build a new house  
or a tomb consulted a geomancer, who helped to situate the  
house or grave according to the fengshui of its site.

Fengshui is closely linked to the fate (*mingyun*) of a  
family and a household, but sometimes it is extended and  
linked to a lineage, a hamlet, or even an entire township.  
One of the main aims in Chinese geomancy and divination  
is to influence and manipulate, to some extent, the cosmo-  
logical forces at work in people’s lives. The knowledge of  
fengshui can, thus, be instrumentalized by the powerful to  
impinge on the aspirations of others. In what follows, I re-  
count three stories about powerful representatives of the  
state who used fengshui. These stories take place right at  
the interface of official and vernacular discourses and ex-  
emplify the ambiguities of the state and its representatives  
in local society.

The first story is about the fengshui of a small val-  
ley that opens into the northwestern side of the triangular  
Bashan plain. Over the centuries, the stream in this valley  
has formed a deep meandering channel between rugged

4 hills and rocks. Where one enters the valley, coming from  
5 the plain, one passes the Chicken Branch hill on the left  
6 side. People say that, long ago, this hill was much higher,  
7 that it had rocks on top, and that it provided the valley be-  
8 low it with very good fengshui. The Gong family lived in sev-  
9 eral hamlets in the valley and was said to prosper because  
10 of its good fengshui. Talent and ability (*rencai*) abounded  
11 in the valley, the sons of the Gong family became high of-  
12 ficials, and the Gong family altogether was very wealthy. At  
13 the time of the Qianlong emperor, the prosperity and suc-  
14 cess of the family aroused the envy of a mandarin in the  
15 capital of the prefecture, Shinan (now Enshi). Hence, he had  
16 his henchmen demolish the rocks on top of Chicken Branch  
17 and dig a hole (*quekou*) into the summit of the hill. There-  
18 after, no member of the Gong family succeeded in the im-  
19 perial exams, and the family declined.

20 The story of the Gong family might have been told in al-  
21 most the same way in imperial China. In fact, many stories  
22 of this kind have been documented in southeastern China  
23 and Taiwan. They generally speak of the ambiguous rela-  
24 tionship between center and periphery, as an outside power  
25 destroys the cosmological order of a locality. Yet, at the same  
26 time, local levels of “civilization” and ancestral wealth are  
27 presented in terms of the center, that is, success in the im-  
28 perial examinations.

29 Like other stories about the distant past, the story of the  
30 Gong family was told to me with a certain folkloristic fla-  
31 vor: It did not really matter how truthful this story was, and  
32 I could not sense that it had much connection with the cur-  
33 rent state of the Gong family. Because such stories were sit-  
34 uated in the distant past, no one found them embarrassing  
35 in any sense.

36 The style and tone of narration change very much when  
37 a story comes closer to the concerns of the present. An ap-  
38 parently true story about the same valley is somewhat simi-  
39 lar to the legend of the Gong family. In the past, after strong  
40 rainfalls, the stream in this valley often flooded the fields  
41 that bordered it. During one campaign of the Cultural Revo-  
42 lution,<sup>3</sup> the cadres of two production brigades had the idea  
43 of opening several tunnels into the area’s rock formations,  
44 so that the stream would flow in a straight line and the  
45 fields would remain dry. With the help of dynamite deto-  
46 nations, three long tunnels were opened through the rocks,  
47 and the river left its old bed and flowed through them. Lo-  
48 cals initially opposed the tunnel project, arguing that it was  
49 not worth the effort. But the most serious disadvantage, in  
50 their view, was that it would destroy the fengshui of the val-  
51 ley.<sup>4</sup> Yet no one ever directly admitted this to me. During  
52 the spring festival period in 2006, I accompanied a family  
53 from Zhongba on a visit to relatives in the Gong family. We  
54 spent all afternoon in conversation, and one man related in  
55 much detail the story of the three tunnels. Everyone agreed  
56 that their excavation had been a waste of manpower and re-  
57 sources, but no one spoke about the negative influence the

project might have had on the fengshui of the valley. Only  
one elderly uncle quietly mentioned that “in the past they  
said that it might have destroyed the fengshui.” I asked fur-  
ther and got the answer that “back in those days, they ob-  
viously could not have said that.” Later on, the friend I was  
with confirmed to me that many old people in the valley are  
convinced that their bad luck comes from the bad fengshui  
of the valley. A minor official in his thirties, he talked about  
these beliefs in a rather disapproving way, half condemning  
such “superstition,” half mocking it.

The ambiguities of fengshui have a long history. In fact,  
local governments in imperial times were often suspicious  
of popular cosmologies, potential heterodoxies, and here-  
sies (Bruun 2003:ch. 2). Yet the imperial state almost never  
went so far as to directly intervene in local practices; in-  
stead, it favored gentle guidance by “correction” and “exem-  
plar” (Hamilton 1989). Since the beginning of the 20th cen-  
tury, practices like fengshui have been broadly devalued by  
educated elites, but they have remained common among  
ordinary people. In the Maoist era, local “superstition” was  
fervently denounced and systematically attacked.

The legend about the malicious mandarin who des-  
troyed the fengshui of the Gong family hamlets exempli-  
fies the common assumption that the powerful and wealthy  
tried to manipulate fengshui and the belief that they ac-  
tually must have done so rather successfully—“if not, how  
could they have become powerful and wealthy in the first  
place?” This kind of circular argument sometimes assumed  
ironic or even grotesque proportions: Repeatedly, older  
peasants told me that the power and success of Mao Zedong  
and other leaders was really due to their intimate knowl-  
edge and versatile manipulation of fengshui. One famous  
story relates that during the civil war between Nationalists  
and Communists, Chiang Kai-shek, the leader of the Na-  
tionalist Party, tried to find the tomb of Mao Zedong’s father  
so that he could dig out the remains and demolish the tomb.  
In this way, he could destroy the fengshui of the Mao family  
and ensure the future misfortune of Mao Zedong in partic-  
ular. But when the neighbors of the Mao family heard about  
the plan, they removed the name badges from the tombs  
in the village so that Chiang Kai-shek’s soldiers could not  
find the tomb. In anger, the soldiers wildly destroyed several  
tombs in the village, a most outrageous and horrible action,  
as devastating a family tomb equals destroying the integrity  
and prosperity of a family line altogether. The fengshui sur-  
rounding the tombs of the Mao family, however, was pre-  
served and continued to provide excellent fortune for the  
family. By contrast, the infamy of digging out the graves of  
innocent families led to misfortune for, and the eventual de-  
feat of, Chiang and the Nationalists.

In recent years, fengshui has become a respected ob-  
ject of study for architects, historians, and even design-  
ers in mainland China. Many books and experts have tried  
to prove that fengshui is really “scientific” (*kexue*) and,

therefore, does not contradict the scientific worldview promulgated by party and state. Yet, in the countryside, people never publicly make references to fengshui and related practices like astrology and divination. They speak about them only in private and almost always with a somewhat ironic undertone. In the Maoist era, exposing the fengshui practices of others would have amounted to serious denunciation, and many people still relate to them in secretive, partly embarrassed, ways.

Another practice that was severely controlled and partly forbidden under Maoism was staging huge banquets for family celebrations, in particular, weddings and funerals. These events are closely linked to geomantic practices. At a wedding, the bowing of a couple in front of the ancestral tablets in the main room of the bridegroom's house confirmed and reenacted the central axis of the house, which, ideally, was adjusted to the fengshui of its surroundings. At a funeral, the coffin was placed along this central axis, and the tomb was also located according to fengshui. Everything people did on these ritual occasions, from eating at the banquet tables to offering presents of goods and cash to the hosts, was supposed to conform to the rules of propriety and etiquette, which Confucian texts call "li." The propriety of li, however, is nowadays sometimes just as embarrassing as fengshui.

### The embarrassment of li

One of the first terms that I learned in the local dialect was *chijiu*, pronounced "qi jiu," which literally means "to eat wine," that is, to attend a banquet. More specifically, it means to attend another's family celebration, like a wedding, a funeral, a housewarming party, the birth of a child, a birthday, or a celebration for passing the entry examinations to the university or the army. Of these, the most important life-cycle events are weddings and funerals, called "red and white celebrations" (*hongbai xishi*), according to the symbolic colors for happiness (red) and mourning (white). Such celebrations are held at the house of the host family or, for families in the market town of Bashan or in the city of Enshi, in a restaurant. Attending a banquet implies not only sharing an abundant meal but also offering the host a present, which nowadays is usually cash. The family that is "hosting the wine" (E. *zhengjiu*) has to prepare well in advance. Generally, the day of the celebration is chosen with the help of a diviner, who calculates the most propitious date and hour, according to the "eight characters" (*ba zi*) of the year, month, day, and hour of the births of the main participants. Before the celebration, the host invites neighbors and friends to "help" (*bang mang*) in hosting the event.

All these actions necessitate proper behavior and formality. The established rules (*guiju*) and etiquette (*liyi* or *lijie*) at such occasions are particular forms of li, or ritual. Similar to the difficulty of defining *ritual*, *li* eludes easy

definition. In contemporary Chinese, *li* can mean many things. It is part of the expressions for politeness (*limao*), etiquette and custom (*liyi*, *lijie*, or *lisu*), worship (*libai*), and gift (*liwu*). In the popular expression "courtesy demands reciprocity" (*lishang wanglai*), *li* means also the propriety inherent in reciprocal relationships. Just like the practices of fengshui, the expressions of li are characterized by ambiguities. Here I give an example related to the funeral of the father of a high official.

Sun Jundong was one of the most successful officials in Bashan township. After three years as the party secretary of the township, he was elevated to vice party secretary in a neighboring county, the next higher rank in the hierarchy of party and government. In the spring of 2007, I had the opportunity to attend the funeral of his father. Two neighbors in Zhongba told me that they would play the shawm (*suona*) and drums in a funeral band and asked me if I wanted to join them.

The home of the Sun family is in one of the most remote villages of Bashan township, about two-hours drive by car into the mountains from the market of Bashan. On the dirt road, we saw numerous sport utility vehicles and the black Audis and Volkswagens that are used by government officials and businessmen. We attended the last night of the funeral, and from the moment we arrived at 5 p.m. until late in the evening, the popping noise of firecrackers did not cease for a single moment. According to local custom, about three-dozen neighbors and relatives help prepare the food and host the guests at a funeral. At this funeral, however, there were about one hundred helpers, who all had their names listed on a beautiful painted poster on one wall of the house. On another wall, a program was posted detailing the performances and rituals for the evening, all written in fine calligraphy. According to local tradition, the coffin is laid out in the central room of the house, which is called the "hall of filial piety" (*xiaotang*) during the funerary period. The hall in this case was richly ornamented with carved bamboo sticks and colored paper, various paintings, and several huge tablets that described the life of the deceased in poems. All this was the work of teacher Lei, a well-known "man of culture" (*wenren*) and former head of the "office for culture" in a neighboring township. He also led the performances and rituals in the evening, which included speeches in classical Chinese; a mourning ceremony, during which 24 music bands marched and played; songs and couplets sung to all close relatives and important guests; mourning songs for the deceased and his family; mourning dances around the coffin; and lion dances.

This was by far the biggest and most lavish funeral that I had seen during my time in Bashan. It was also a huge show of power and money. Normally at a Chinese funeral, the guests give presents and money to the host, and these gifts are listed in a red book. People always stress the importance of reciprocity in these exchanges: When donors host

4 their own future family celebrations, they expect to receive  
5 equivalent amounts from those to whom they have previ-  
6 ously given. At the funeral of Sun Jundong's father, around  
7 70 thousand yuan was given,<sup>5</sup> according to the red book.  
8 That amount is immense compared with the conventional  
9 sums that ordinary farmers deal with: Ten thousand yuan is  
10 an above-average annual income for a family in the region,  
11 and it is also the average sum of money received at a wed-  
12 ding or a funeral.

13 Such celebrations were the targets of Maoist policies  
14 against "feudal superstition" throughout the revolutionary  
15 era, and their suppression has only slowly loosened since  
16 the 1980s. Since then, there have been huge increases in  
17 the sums of money and presents given at funerals and  
18 weddings. Intermittently, the government wages campaigns  
19 against the "waste" of the practice of giving monetary  
20 presents. In the spring of 2007, for instance, the city gov-  
21 ernment of Enshi engaged in an intensive campaign to curb  
22 the "waste" of money and the "immorality" of such "back-  
23 ward" customs. Local television programs disparaged ex-  
24 cessive gift giving, and all party members had to partici-  
25 pate in education sessions about the "backwardness" of this  
26 practice.

27 My attendance at the Sun family funeral surely pro-  
28 duced some uncertainties and awkwardness. On the one  
29 hand, I was a foreign guest, and everyone in the local gov-  
30 ernment and most ordinary people in the surrounding vil-  
31 lages knew about my presence in the area and that I walked  
32 around the villages, observing, talking to, and asking odd  
33 questions of local residents. On the other hand, I was not  
34 invited to this celebration, and at some points during the  
35 evening it was not clear to me whether I was welcome. My  
36 neighbors who were playing music had said that I should go  
37 and pay my respects to the former secretary of Bashan. But  
38 Sun Jundong himself did not say a single word to me dur-  
39 ing the night, even after I had given a short funeral oration  
40 at the invitation of the ceremonial coordinator. Some rela-  
41 tives of the Sun family, businessmen in Enshi and Wuhan,  
42 were extremely friendly to me and told me I should film the  
43 event. A cameraman among the helpers was already filming  
44 it. When I asked him if it would be possible for me to get a  
45 copy of his film, he said that, unfortunately, it was reserved  
46 for the members of the Sun family.

47 Clearly, Sun Jundong faced several dilemmas here. On  
48 the one hand, he had to present himself as the impeccable  
49 leader, representative of the high morals of the party and the  
50 government. In this case, he had to present this image not  
51 only to locals but also to a foreigner. On the other hand, he  
52 was expected to live up to the demands of filial piety (*xiao*),  
53 and he and his family were expected to engage in what was  
54 considered proper (*li*) and virtuous (*daode*) behavior after  
55 the death of his father. He obviously tried to do both and  
56 to represent his family in both respects. In addition, the fu-  
57 neral gave him the opportunity to receive huge amounts of

money from officials trying to establish relationships of pa-  
tronage with him. After all, he was the highest official in the  
township and is now a powerful cadre at the county level—  
a most important man for all in the lower government  
offices to know. Last, but not least, the funeral was the occa-  
sion for many officials, friends, relatives, and business part-  
ners to reciprocate for the gifts that he had given them in  
the past.

When the funeral went through Bashan's gossip mills,  
the accusation that, by holding such a massive funeral, Sun  
Jundong was really engaging in "feudal" and "corrupt" prac-  
tices frequently came up. A week after the funeral, the topic  
was mentioned among the officials of Zhongba village, and  
Tan Tao, the then party secretary of the village, asked me  
in front of some other officials if I had gone to the funeral.  
Later, when we were having a cigarette together, Tan Tao  
asked again, "How was it at the funeral? Don't you think that  
it was really luxurious and decadent (*haochi*)?" Not waiting  
for my answer, he switched into dialect and said to the vil-  
lage accountant that "people of Sun's rank should not en-  
gage in these kind of activities." My impression was that Tan  
Tao could not hide some Schadenfreude about Sun having  
exposed himself to my prying presence.

A couple of days later, I met teacher Song and had  
a long conversation with him about the funeral. Teacher  
Song is retired and works now as the janitor of the pri-  
mary school. Most of the day he sits in a little lodge next to  
the gate of the school and reads classical novels or books  
about the heroes of the revolution. This afternoon, I told  
him about my impressions of the funeral and also about  
my insecurity regarding the reaction of the Sun family to  
my presence. He said that everyone in Bashan knew that the  
Sun funeral was surely the biggest one that Bashan had seen  
in its history—"since the beginning of the world" [*pangu  
kai tian yilai*], he said, smiling. He was not referring to  
the pomp that I had seen, the banquet and the musicians,  
which I thought was the measurement. He knew of other fu-  
nerals at which about forty bands of musicians had played,  
compared with only 24 at Sun Jundong's house. The bands  
are only sent by the daughters and sons-in-law of the de-  
ceased's family and, hence, show how many affines some-  
one has. What people in Bashan were really talking about  
these days, teacher Song said, was the amount of money  
given. Most of the secretaries and higher-level officials in  
Bashan had given presents; and most of them surely had  
not appeared at the funeral itself, but only those closest to  
the family, teacher Song estimated. Accordingly, much more  
money must have been given than the roughly 70 thousand  
yuan recorded in the red book of presents. Song said that, in  
the past, "the thunder was big and the rain was little," but  
now "the thunder is little, and the rain is big" [*lei xiang xiao,  
yu lai da*], meaning that now there is less noise and pomp  
but more of the rain of money. Song confirmed that Sun  
Jundong would have been worried about my coming and

1 watching all that money flow, fearing that I could report it.  
 2 And then he told me about several cases of officials in simi-  
 3 lar situations who had been convicted of corruption.

4 The funeral of Sun Jundong's father illustrates that cul-  
 5 tural intimacy is something quite different from the cultural  
 6 resistance of the subaltern. The one who was embarrassed  
 7 in this case was one of the most powerful local leaders. Both  
 8 local villagers and government officials shared a common  
 9 discourse about propriety and a common understanding  
 10 that one's representation to outsiders and the local practice  
 11 of holding family celebrations stand in a tense relationship.  
 12 What is crucial is how the contradictions are negotiated. In  
 13 their demeanor and speech at the funeral, Sun Jundong and  
 14 his guests enacted local ideals of proper behavior: in this  
 15 case, the ritual of a funeral, which is one of the most impor-  
 16 tant services children provide to their parents, expressing  
 17 their filial piety (*xiao*). Hosts and guests are supposed to be-  
 18 have according to rules and etiquette that can be summa-  
 19 rized under the header of Confucian "propriety" (*li*). But in  
 20 the privacy of everyday talk, both villagers and fellow cadres  
 21 would point out how corrupt this behavior is.

22 In the conclusion to her book *Gifts, Favors, and Ban-*  
 23 *quets*, Mayfair Mei-hui Yang (1994:317–320) tells a very  
 24 similar story of a rural cadre named Zhao. After the huge fu-  
 25 nerary celebration for Zhao's father, a rival official accuses  
 26 Zhao of "corrupt and feudal" activities. Zhao is arrested  
 27 and subjected to party discipline. But the locals all support  
 28 him and plead his case in front of higher government  
 29 officials. For Yang, this is an example of ritual being "a  
 30 self-organizing Vehicle of the Minjian" (1994:317). In her  
 31 interpretation, the *minjian*, or the popular realm of *renqing*  
 32 (human feeling) ethics and *guanxi* (relationship) produc-  
 33 tion, is an emerging independent social realm opposed to  
 34 the anonymous exertion of state power. Let me quote at  
 35 length from her interpretation of the events following the  
 36 funeral of Zhao's father:

37 From the people's point of view, the funeral was not a  
 38 "backward" or "feudal" institution, nor was Zhao using  
 39 his position to extort money and gifts from them, but  
 40 it provided an important social occasion for repaying  
 41 debts owed or initiated a new round of debt relation-  
 42 ship with Zhao and his family. The local people sought  
 43 to transform their relationship with Cadre Zhao from  
 44 an impersonal, administrative, ruler-to-governed top-  
 45 down relationship into a personal *renqing* relationship  
 46 of giving and repaying. It is noteworthy that, counter  
 47 to the official policy of de-emphasizing and sometimes  
 48 banning rituals, the local people attach much social  
 49 significance to funerary rituals and feasts. The ritual  
 50 provides a staging ground for the practice of *renqing*  
 51 with an official, . . . so that there is a clear association  
 52 between ritual or feast and *renqing*, and between rit-  
 53 ual, *renqing*, and good government.

54 Zhao himself is a cadre whose attitude toward his job  
 55 is tinged with a heavy *renqing* perspective and who al-  
 56 lows himself to enter into debt relationships with the  
 57 people. That is why when he got in trouble with his su-  
 periors, so many people organized themselves to sup-  
 port him. In this action the people were not just de-  
 fending Zhao's personal integrity, but also a certain  
 approach to government and the importance of ritual  
 in their social life. In rural Wenzhou, *minjian* forces  
 have begun to organize themselves and even to prevail  
 against the state, and these forces include the discourse  
 and practice of *renqing* and ritual. [Yang 1994:319–320]

Note how Yang makes use of the category of "the  
 people" to denote a unified body who fight for a "certain  
 approach to government and the importance of ritual in  
 social life." This approach is characterized by personalized  
 relationships of *renqing* and *guanxi*, and it is diametrically  
 opposed to the impersonal administrative relationships of  
 the state. In my example, the people did not have such a  
 uniform judgment of the high official who staged a huge  
 funeral. Both the popular realm (which Yang calls by its  
 Chinese name, "minjian") and the state discourse are much  
 more fragmented. Both ordinary people and government  
 officials use elements of vernacular and state discourses.  
 I also subscribe to a binary opposition, but it is not that  
 between "the local people" and "the state" but that be-  
 tween an official, outside representation and a vernacular,  
 inside practice. At the funeral of Sun Jundong, local villagers  
 and officials were partaking in both discourses: Some locals  
 were admiring the pomp of the funeral, whereas others were  
 criticizing it and denouncing the corruption of local offi-  
 cials. Similarly, officials were divided on the ways in which  
 the funeral should be interpreted; whereas many had to of-  
 fer monetary presents, some were also quite cynical about  
 Sun, especially when talking in private.

Most of the practices identified as "Confucian propri-  
 ety" (*li*) had been thoroughly condemned during the Maoist  
 era. The "Confucian doctrine" (*lijiao*) was an instrument of  
 landlords and capitalists to oppress the peasants. Hence, all  
 of these practices were tightly controlled until the 1980s.  
 Although political control is much looser now, a consider-  
 able propaganda effort still attempts to curb such "waste"  
 (*puzhang langfei*) linked to "backward" (*luohou*) practices.  
 The condemnation of this waste ties in well with heightened  
 concerns about cadre corruption.

### Communities of complicity

The era of "reform and opening" that started in the 1980s  
 has been characterized by growing concerns about corrup-  
 tion. The corruption of local officials was a favorite topic  
 of villagers in their conversations with me, second only to  
 the weather. Taxes and fees levied on private enterprises,

4 several government enterprises, and, recently, land expropriations close to the township where a “development district” (*kaifa qu*) is being built provide high revenue for the local government. Locals frequently alleged that the responsible officials have pocketed part of this revenue.

9 During the 1980s and 1990s, the general agricultural tax and the countless fees collected from farmers had led to many conflicts and, in some regions, to collective protests (Lü 1997; Perry 1999). In recent years, these taxes and fees have been widely reduced, and in 2003 the general agricultural tax was completely abolished. National policies have turned now toward paying subsidies to farmers. In Bashan, one particular support payment is given to tea farmers via the “turn farmland into forest” (*tuigeng huanlin*) program. Tea plantations conveniently count as “productive forest,” so farmers who have dried out their paddy fields and planted tea shrubs receive a subsidy for a certain period. That is but one minor part of all the support payments that local governments in recognized “poor districts” (*pinkun diqu*) receive from the provincial and national levels. All this is well known among local farmers, and the most common local commentary about it is that the government hierarchy, from the central government downward, works like a funnel with several sieves. At every level, officials enrich themselves from the lump sums that the central government is investing, and, therefore, almost nothing reaches the farmers.

31 Connected to this way of seeing the governmental hierarchy is the idea that the higher up one looks on the governmental ladder, the better the officials are. Frequently, local villagers pointed out to me that the central government, represented by Chairman Hu Jintao and Premier Wen Jiabao, does actually care about the peasants and promotes good policies. Yet further down the government ladder, officials get increasingly worse. In many people’s view, the worst ones are the cadres of the township level—the officials that are in closest everyday contact with ordinary people. Everyday interactions with township officials are tied to economic and social interests, whereas the relationship of farmers with the central state is more symbolic and moral and is mediated at various levels. Guo Xiaolin (2001:436ff.) has described this as a “bifurcated state,” in which people keep placing hope and confidence in the central government, even when local government becomes increasingly predatory.

50 Even though people often complain about local officials and have very pointed opinions about them, they need to approach them about identification cards, marriage certificates, permits to build houses, family planning issues, land conflicts, and so on. When complicated issues are at stake, locals prefer a personal relationship and contact with an official. My neighbor Liu Dawei, for instance, often lectured me on the “art of relationship making” (*guanxi xue*). When he was planning to build a new house in the sum-

mer of 2007, the village officials initially opposed his plan. He then invited the vice secretary of the township government for several meals at the best restaurant in Bashan and offered him several boxes of expensive cigarettes. Liu Dawei also pointed out to me that he had chosen to cultivate a relationship with this secretary because he shares the same surname with him, even though he is not a direct relative. The village government eventually granted Liu Dawei permission to build his new house.

Contrary to such personal ties with officials, government propaganda continuously emphasizes impartiality and formal equality. Concomitantly, party education programs focus on raising the “quality” (*suzhi*) of cadres and their behavior (Murphy 2007). At the same time, locals like Liu Dawei, or the neighbors and officials offering cash to Sun Jundong, are clearly aware that it is important to have a personal bond with officials, in which human feeling (*renqing*) is properly expressed through gifts. From the perspective of some academic observers, such phenomena are aspects of “state involution” in contemporary China (Lü 2000; Siu 1989a 1989b; Wang 1991). Lü Xiaobo, for instance, points out an “organizational involution” within the Chinese party state. He defines this involution as

a process whereby a revolutionary party, while adopting and expanding many “modern” (i.e., rational, formal, impersonal) structures, . . . fails to adapt itself to, and be transformed by, the routinization and bureaucratization that characterize modern bureaucracy; at the same time, it is unable to maintain its original distinctive competence and identity. Its members make adjustments and adaptations neither through revolutionary ideologies nor modern institutions and practices, but through reinforced and elaborated traditional modes of operation. [2000:22]

Lü adds that such “neotraditionalism” produces “disillusioned, status-conscious, and undisciplined cadres, who in the manner of pre-revolutionary . . . local officials, put the interests of more intimate secondary and primary groups above those of the regime” (2000:23). Rachel Murphy has recently used Lü’s framework to analyze how party education campaigns work to maintain this “organizational involution”: The campaigns deflect systemic critique and, instead, blame the problems of corruption on the ethical misbehavior of individuals. She concludes that the “ritualization” of the education campaigns reproduces the top-down party hierarchy and the personalistic networks within this hierarchy (Murphy 2007).

It is not only academic observers who see personalistic networks and informal dealings fulfilling systemic functions in a supposedly impersonal bureaucracy. Both villagers and cadres are well aware that one’s personal relations with officials are the most important and reliable ones. But they do not pronounce that in public. People share a



1  
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3  
4 knowledge of the “involutionary cancer” of personalistic  
5 and informal relations; if cadres admit that these relations  
6 exist, they do so rather ruefully. The embarrassed or ironic  
7 ways in which they make such admissions indicate shared  
8 understandings of local mechanisms of power. Such local  
9 knowledge (e.g., of li) is transfigured into stereotypes and  
10 essentialisms both by ordinary people and by academics.  
11 Yet social actors make strategic use of these essentialisms  
12 and sometimes reject them forthrightly.

13 What emerges behind this symbolic struggle is an  
14 intimate space of common knowledge, or a “commu-  
15 nity of complicity.” Belonging to such a community de-  
16 pends on the skill with which a social actor can make  
17 use of practical essentialisms. Only the most unskilled ac-  
18 tor straightforwardly and earnestly admits that “we believe  
19 in superstition.” The moral, capable social actor, however,  
20 uses gestures of embarrassment, of irony, and of cynicism  
21 to prove his or her belonging to those who know.

22 I do not mean the notion of “communities of com-  
23 plicity” to imply that the object of this intimate knowledge  
24 and complicity is a true neotraditionalism, more “true”  
25 than the official representation of a rationalized political  
26 system and a modern citizenry. Indeed, the logical conse-  
27 quence of the involution argument is that the modern and  
28 rational is only a false mask, barely concealing the neo-  
29 traditionalist “truth.” In my argument, both the modernist  
30 representation and traditionalist self-knowledge are true.  
31 Communities of complicity are formed by those who share  
32 an intimate knowledge of the boundaries—the faces—of  
33 modernism and traditionalism.

### 34 35 36 Conclusion

37 I started with the apparent contradiction between official  
38 representation and vernacular practice in the case of Pan  
39 Dong, whose father invited a geomancer for the inaugura-  
40 tion of the family’s new house and who laughed together  
41 with his classmates about a healer–geomancer at the school  
42 show. This tension between the official and the vernacular  
43 leads to a rueful recognition of local sociality that I call, with  
44 Herzfeld, “cultural intimacy.” The stories told about feng-  
45 shui illustrate a certain ambiguity between peripheral local-  
46 ity and center characteristic of both late-imperial and con-  
47 temporary China. But the sense of cultural intimacy linked  
48 by fengshui and other superstitions was hugely intensified  
49 by the state formation processes of the 20th century, in par-  
50 ticular during the Maoist era. In the embarrassment of li,  
51 or ritual, are the remnants of a Maoist discourse that vi-  
52 olently denigrated Confucianism. Cultural intimacy is not  
53 something limited to the little people or the subaltern—  
54 it is also felt by officials, like the county secretary, Sun  
55 Jundong. Just like ordinary villagers, the powerful also en-  
56 gage in social poetics, that is, in the practical and strategic

use of essentialisms. My examples have shown how peo-  
ple used essentialisms of fengshui, of li, and of corruption.  
I have pointed out that, in fact, a knowledge of and fam-  
ilarity with practices that others might call “corruption”  
or “involution” is common among officials and villagers  
and that this shared knowledge defines communities of  
complicity.

The valuation and control of much everyday action  
is now characterized by a tension between powerful out-  
side representations, promulgated in schools and govern-  
ment offices and by modern media (in particular, newspa-  
pers and the Internet but also the “Maoist modern” of wall  
posters, scrolls, and loudspeaker announcements) and an  
intimate space of local sociality that more or less contra-  
dicts what is written and announced in those representa-  
tions. Because of this tension, local socialities are felt to be  
“intimate” spaces. If the lived contradictions are pointed  
out, or made visible, a local’s first reaction can be an awk-  
ward smile. With a fellow insider, it is just as possible to be-  
come ironic: The existence of such lived contradictions is  
actually quite clear to most participants and to the initiated.  
However, embarrassment, irony, and “un-plain” speech and  
expression are not understood by everyone and so repro-  
duce the boundaries of an intimate space. Yet, sometimes,  
awkwardness and irony can turn into utter frustration. This  
occurs when the tension between outward representation  
and intimate practice becomes unbearable. What, more  
than anything else, characterizes the attitudes and posi-  
tions toward practices like geomancy, ritual, and corrup-  
tion is a discursive uncertainty. And this is perhaps the  
biggest difference from earlier times: I imagine that, up  
to the 1930s, it was close to impossible in the villages of  
Bashan for someone to crack a joke about fengshui or about  
the principle of li. Now these topics have to be treated  
with irony.

My interpretation of spaces of intimacy produced by  
the relationship between local sociality and the state is also  
based on many embarrassing experiences I had in China.  
Just like any outsider trying to adapt to an unfamiliar so-  
cial environment, I often felt that I had to be careful and  
cautious to avoid awkwardness arising out of a conflict  
of perceptions. The moments of potential embarrassment  
and felt intimacy were acute—at least in my experience—  
when I broached issues related to so-called superstition,  
participation in family celebrations, and dealing with lo-  
cal cadres, not to mention the topics of human rights,  
democracy, and corruption. In all these instances, I very  
much felt the necessity to be sensitive and cautious in my  
actions.

Of course, the arrival of a foreigner often leads to situ-  
ations of awkwardness, embarrassment, and irony. Ethnog-  
raphy is, after all, an intrusion into the intimate spaces of  
others, and not only of individuals but also of nation-states.

Yet I believe that such anxieties were not merely relevant to my own interactions with people in Zhongba. Villagers who consult diviners and geomancers but laugh at their portrayal in comedy sketches; leaders who are torn between local notions of propriety and a modernist state discourse; and lower-level officials who extol impartiality and formal rules but recognize the importance of personal relationships, which political scientists describe as “involutionary cancer”—for all these people, the boundaries between state and society are made by exposing and hiding spaces of cultural intimacy; and this everyday practice reproduces both local sociality and the state itself. It creates communities of complicity, communities of those who share an awareness of intimate spaces that are marked by embarrassment, irony, and cynicism at their boundaries.

### Notes

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1. All names of persons and of places below the prefectural level are pseudonyms.

2. Throughout the text, I italicize Chinese words in the standard pinyin form. Words in the Enshi dialect that differ markedly in pronunciation and meaning from standard Mandarin Chinese are marked with an *E*. All other Chinese words in italics are part of the vocabulary of standard Mandarin.

3. People in Bashan remembered it as part of the national “Learn from Dazhai in agriculture” (*nongye xue dazhai*) campaign. In 1963, Mao Zedong issued this slogan to encourage all rural communes in the People’s Republic to emulate the achievements of the Dazhai commune in Shanxi province, in particular, in land reclamation through hard manual work. On this campaign and agrarian radicalism during the 1960s and 1970s, see Zweig 1989.

4. According to fengshui beliefs, a stream is a dragon (*long*), and, in general, a meandering stream is more auspicious than a straight one.

5. The exchange rate in 2006–07 was approximately eight yuan to \$1, so this would have been an equivalent of \$8,750.

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Dr. Hans Steinmüller  
Max Planck Institute for Social Anthropology  
Advokatenweg 36  
06114 Halle/Saale  
Germany

7  
8 *accepted February 1, 2010*  
9 *final version submitted February 1, 2010*

steinmuller@eth.mpg.de

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