# 1 Community-based monitoring as an early warning system: Detecting and countering

## risks in government-driven COVID-19 response

3

2

- 4 Nimesh Dhungana, Humanitarian and Conflict Response Institute (HCRI), University of
- 5 Manchester, Ellen Wilkinson Building, Manchester, M15 6JA, UK,
- 6 nimesh.dhungana@manchester.ac.uk
- 7 Flora Cornish, Department of Methodology, London School of Economics and Political
- 8 Science (LSE), Houghton Street, London, WC2A 2AE, UK, <u>f.cornish@lse.ac.uk</u>

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

#### Abstract:

During the fast-paced climate of the COVID-19 pandemic and as the official responses suffered from major inconsistencies and dysfunctions, volunteers and civil society activists initiated a range of strategies to monitor, gather, and disseminate risk information, going beyond the traditional top-down and expert-led interpretation of and approaches to risk mitigations. The current paper draws on growing scholarly recognition that official or government-led responses to disasters can often cause further risks, harms, and inequalities in communities (the 'second disaster'), sparking community-based action to monitor and tackle such risks and harms. In so doing, it seeks to bridge the conversation between two distinct yet interrelated fields of community-based early warning systems and community-based monitoring of public goods. Drawing on an exploratory scoping review of peer-reviewed and grey literature, the paper examines the functioning of community-based monitoring of official responses to COVID-19 globally. Our analysis distinguishes four distinct functions served by community-based monitoring: (1) tackling misinformation to enable the public to take protective action; (2) improving access to health services through service monitoring; (3) exposing and challenging misuse and abuse of authority and; (4) spearheading inquiries and probes to hold governments to account. Possibilities and limitations of such collective action are discussed, in light of what we know from existing disaster risk reduction (DRR) scholarship. The paper concludes by recasting the focus on risk, taking it beyond the conventional realm of disaster preparedness and mitigation to cover early response and recovery, while drawing the DRR community's attention to the risk of violation of rights in the name of disaster response. It underscores the role of community-based monitoring in the wake of emergencies as an evidence-driven early warning system, raising the possibility of developing a more democratic and inclusive understanding of risk and protecting and promoting the rights of those who face the disproportionate burden of disasters.

Keywords: Covid-19, Early Warning Systems, Community, Monitoring, Risk, Response.

## Significance Statement

As disasters have become more unpredictable, and the concerns over inaccurate and misleading official warnings have grown, evidenced in the course of the COVID-19 pandemic, there is a growing emphasis on community-driven risk communication and mitigation. However, relatively little attention has been given to understanding the practical potential of "spontaneous", community-led early warning systems (EWS) in the context of a health emergency. Analysing community-based monitoring of governmental responses to COVID-19, this paper presents a 4-fold typology of models of community-led risk monitoring. It brings into dialogue both practical and scholarly debates on people-centred early warning systems and community-based monitoring of public goods in the interest of promoting an evidence-based and inclusive understanding of risk and responses to disasters.

### INTRODUCTION

Monitoring and communication of risks and hazards through Early Warning Systems (EWS) is considered a key pillar of Disaster Risk Reduction (DRR). While early investigation of EWS focused on understanding expert-driven and technocratic approaches to risk monitoring and communication, as disasters have become more complex, and the concerns over inaccurate and misleading warnings have grown, there is a growing emphasis within the DRR community on context-specific and community-centric approaches to communication of risk that leverage pre-existing social networks, experiential knowledge and mutual trust (Mileti & Peek, 2000; International Federation of Red Cross and Red Crescent Societies, 2013; de Leon, 2014; Morena, Lara & Torres, 2019; West et al., 2022). Attention to informal, spontaneous, public-driven, or community-led EWS has grown as the formal warning systems have been found to suffer from delayed and unclear warnings, forcing communities, especially those with pre-existing vulnerabilities and limited resources, to bear the burden of disasters (Fussell, 2015; Morena, Lara & Torres, 2019).

Recent research also shows that preventing loss of lives and livelihoods from recurring disasters means forging a partnership between communities and authorities over mutually agreeable appraisals of risk, evidenced in the commitment to listen to communities, transparency in the communication of risk, and concerted action to mitigate risks (Cochrane et al., 2023; West et al., 2022). Scholarly interest has also gone into understanding the heterogeneous nature of technologies (e.g. geospatial techniques, crowd-sourcing and mobile applications) and how disaster-prone communities leverage such technologies to act as "sensors" in monitoring disaster risk (Laituri and Kodrich, 2008). Others have used the term "safety valves", in referring to individual citizens' spontaneous and informal feedback in locating and expressing safety-critical information missed or even suppressed by organisations (Gillespie and Reader, 2022). In general, monitoring multi-hazards through wider community participation has gained a prominent position within the international disaster

risk reduction discourse (United Nations, 2015), and more recently, under the theme of 'early warnings for all' that emphasises the principles of 'community engagement' and 'community response capabilities' in DRR (World Meteorological Organization, 2022).

80

81

82

83

84

85

86

87

88

89

90

91

92

93

94

95

96

97

98

99

100

101

102

77

78

79

Beyond technical and infrastructural approaches to risk monitoring and forecasting, recent scholarship on risk communication also emphasises socio-political aspects of warning systems that involve, according to Perera et al (2020), "response capabilities" of disasterprone communities. This shift towards response capabilities mirrors the renewed calls to treat risk communication as part of the efforts and struggles of local communities to not just identify risk but also force decision-makers to take timely action against the identified risk (West et al., 2022). Action-oriented risk communication aligns with what Lejano, Haque and Berkes (2021) call the "democratisation of risk knowledge" (p.4). According to this perspective, monitoring and identification of risks, and how they are responded to are not just limited to the realm of experts and authorities; rather, the general public is actively involved in interpreting the nature of risk and devising risk mitigation strategies. Attention to risk-mitigation through public vigilance also signals that technical and formal channels of risk monitoring and communication are important but may be insufficient. In such instances, informal or peer-to-peer communication, together with people's memories and shared narratives about coping strategies can not only save lives but also help mitigate misinformation and rumours that complicate longer-term recovery from disasters (Mileti & Peek, 2000; Morena, Lara & Torres, 2019). At the same time, technical aspects of risk monitoring and communication need to be sufficiently backed by standards of accountability and responsibility that, in turn, offer an environment of trust for local communities to engage in official disaster management structures (Garcia & Fearnley, 2012). When considering members of the public as risk monitors, the interest is not only in increasing public awareness of disaster risks, but also in improving the collective response capabilities of the public.

As part of the growing emphasis on people-centred risk communication (e.g. United Nations, 2015), the recent scholarly and practical attention to the collective capabilities of the communities is a welcome shift. Yet, the conceptualisation of 'warning' within this shifting terrain of community-based early warning systems is mainly centred on the technical or informational capabilities of communities in preparing themselves against the direct loss of lives and livelihoods resulting from natural hazards. In other words, communities are primarily valued from a technical or utilitarian perspective; relatively less attention has gone into the political agency and activism of communities in their struggle to defend their rights under difficult circumstances while preventing the exacerbation of socio-political exclusion and marginalisation through the disaster response and recovery processes. Indeed, official responses to disaster can themselves cause further risks, harms, injustices and inequalities in communities, sometimes termed the "second disaster" (Cuny, 1994, p.3), eroding communities' ability to anticipate and avert future disasters. The post-disaster environment often involves authorities downplaying and undermining the right of the affected communities to know the root causes of disasters, subjecting them to further psycho-social harm (Xu, 2017), while also stifling the potential for locally-driven recovery efforts (Klein, 2007).

This paper approaches the concept of 'warning' from a political perspective. It shows that, beyond the utilitarian focus on saving immediate lives and livelihoods through community intelligence and wisdom, community-based monitoring embodies a rights-based ethos, alerting and activating communities against the erosion of rights and entitlements in the name of disaster response. In making this argument, we draw on the long-standing literature on social and anthropological approaches to disasters that show how disasters or the threat of disasters often trigger and consolidate 'disaster communities' (Matthewman and Uekusa, 2021), which may begin as spontaneous activism in attending to humanitarian suffering, going on to build on that experience to demand the attention of the authorities to the structural deficiencies that produce disaster risks, and how such risks are disproportionately borne by

marginalised communities (Eyre & Dix, 2014). Disasters, according to Solnit (2010), are fertile ground for the "rebirth of civil society" (p.143). The transformative possibilities of civil society have been theorised both in terms of their potential to exert pressure on authorities and as instantiating alternative, utopian relationships, in developing ethics of care, solidarity and rights in the context of adversities (Cretney, 2019; Curato, 2019; Cornish, 2021; Firth, 2022).

Furthermore, the role of the collective voice in locating and highlighting the risk of misgovernance, inefficiencies and wastages in the provision of relief aid has long been recognised (Drèze & Sen, 1989). Through their extensive research on hunger and famine, Drèze and Sen have called attention to the role of the wider public (i.e. media, civil society and even political opponents) in acting as early warning agents against an imminent disaster. They argue, in a relatively pluralistic and democratic system, investigative reporting, free press, and citizen inquiries can play a critical role in compelling the authorities to respond to the risk of disasters. Beyond its role as an informal public warning system, community-driven monitoring is considered to have the potential to challenge and reverse the deep-seated cultures of denialism and inaction that often exacerbate disasters (Button, 2016; Pasman, 2021).

Beyond the expert-driven and technical approaches to early warning, this paper's aim is to examine the role of the emergent phenomenon of community-based monitoring as a form of early warning system in disaster response and recovery. Attention is drawn to the potential and challenges inherent in such monitoring in holding authorities to account for their failures to ensure a timely, transparent and just response to COVID-19. We use the term "community-based monitoring" (hereafter CBM) to refer to monitoring of government-driven responses, initiated and led by members of the public acting in a personal or activist capacity, not as employees of an organisation responsible for formal disaster response. We use the term "community" to signal that the monitoring is done by affected communities, or those representing the affected communities (not by official responders, auditors or regulators), and to reflect the collective nature of such monitoring, which tends to enact the values of rights-

based disaster response. While community-based and community-led are terms often interchangeably used in both health and DRR literature, we consider community-based to be a more inclusive concept, covering a spectrum of rights-based efforts led by communities at risk of disasters but also those involving civil society and activist groups who assume the role of 'watchdogs' on behalf of communities (IFRC, 2013). As its empirical foundation, the paper builds on the growing recognition that COVID-19 has intensified the risk of exclusion of marginalised communities, triggering citizen-led movements to counter such marginalisation. More specifically, the paper draws inspiration from the notion of monitorial activism (Keane, 2021), to show how various forms of bottom-up mechanisms of monitoring have emerged in the wake of COVID-19 to track and counter misgovernance in the delivery of public goods. Possibilities and limitations of such monitoring as a form of early warning system are discussed, in the interest of furthering the debates on community-driven DRR.

### **COVID-19**, risk environment and collective action

The COVID-19 crisis has been responded to by governments across the world as an exceptional emergency, warranting extreme use of executive powers. The use of emergency powers is evident in the forms of strict enforcement of one-size-fits-all lockdowns, tracing and testing, physical distancing measures, and border closures. The implementation of such powers has been found to interfere with the basic well-being of historically marginalised communities such as informal workers and labour migrants (Dhungana, 2020a; Sengupta & Jha, 2020). Globally, the response to the pandemic has also suffered from unimaginative and unplanned responses to early warnings (Kelman, 2020), and has been marred by misinformation and disinformation, often compounded by a deep-seated culture of denialism and inaction (Abazi, 2020; Pasman, 2021). The magnitude of social suffering inflicted by the pandemic, especially that experienced by disadvantaged communities, reveals dysfunctions, deficiencies, and structural inequalities that have long plagued democratic institutions (Keen, 2021), a situation that challenges Drèze and Sen's (1989) assertion that democratic openness and 'public action' act as an early warning system against disasters. Governments' responses

to COVID-19 have also sparked scholarly and public concerns that transparency and administrative accountability have been undermined and sidelined in the name of emergency response (Sian & Smyth 2022).

190

191

192

193

194

195

196

197

198

199

200

201

202

203

204

205

206

207

208

209

210

211

187

188

189

At the same time, as is common in the aftermath of a major disaster, the COVID-19 pandemic has triggered heterogeneous forms of solidarity and collective movements as competing frames for health justice (Firth, 2022). In response to governments' failures to protect the welfare of poor and disadvantaged communities, protests erupted, challenging various aspects of the COVID-19 responses in many countries (Ward, 2020), with some scholars dubbing them a new social movement in the making (Della Porta, 2020). Many of these initiatives aimed to improve people's access to everyday health and basic services, while others have emerged to hold governments accountable for their failure to protect and uphold people's fundamental right to health. For example, Diab (2021) has argued that during the COVID-19 crisis, conventional and formalised systems of accountability were insufficient, and the role of holding the government to account has been better met by the actions of citizens and civil society. Ghosh (2021) highlights the 'monitorial' role of gender non-conforming communities in India, who first identified the community's needs unmet by the government and then organised mutual aid efforts. Their activism is reminiscent of 'disaster communitas' (Matthewman & Uekusa, 2021), wherein political alliances are forged among marginalised groups in the fight against the conditions that expose these communities to risks. In other instances, health care professionals acted as investigators and whistle-blowers, challenging the State's effort to suppress the truth about the looming virus (Abazi, 2020), or exposing the misappropriation in the use of public resources (Teichmann and Falker, 2021). These represent emergent forms of community-based early warning initiatives, informing the public about the frontline realities of the COVID-19 response and prompting the global health community to take evidence-driven precautionary action.

While the emergence of mutual aid networks (e.g. Diab, 2021) and localised or spontaneous monitoring action (e.g. Ghosh, 2021) to COVID-19 have been subject to scholarly inquiry, in this paper, we take as our empirical entry-point the notion of "monitorial activism" as a form of community-based EWS for DRR. Conceptually, through the lens of monitorial activism, the paper aims to bridge the conversation between two distinct yet interrelated fields of community-based monitoring (CBM) and community-centric early warning systems (EWS). Monitorial activism here is defined as a form of collective movement, independent of and often in opposition to the formal systems of disaster response and recovery, which focuses on gathering and communicating information to a) expose the insufficiencies within the formal response to the disaster, b) defend the rights of citizens, and/or c) prevent the risk of abuse of authority in the name of disaster response.

Beyond this interdisciplinary conceptual lens, our paper also departs from existing literature on community-driven responses to COVID-19 in terms of applying a distinct research design (i.e. exploratory scoping review) and demonstrating the plural landscape of monitorial activism that transcends specific temporal-spatial boundaries, as documented by related studies (e.g. Ghosh, 2021). Such activism serves, we argue, as the early-detectors of risks of insufficiency, injustice or corruption in the official response. In so doing, we draw on theoretical insights from participatory and democratic governance of public goods, and particularly on the notion of "monitory invention", defined as the political potential of citizens to serve as the scrutinisers of government's power, and counter the misuse and abuse of such power (Keane, 2011). As a form of participatory movement, monitorial invention or activism has emerged in response to the inadequacies of representative institutions and officials in delivering on their promises to implement supportive measures to promote the well-being of the public. Monitoring of the performance of powerholders may vary in their nature and orientation, ranging from public hearings, audit committees, citizen inquiries and petitions to consumer protection campaigns. While interest in the monitorial role of citizens has grown, as discussed below, the potential

and challenges facing such activism in the context of a global health emergency, with notable exception (Ghosh, 2021), are less known.

243

244

245

246

247

248

249

250

251

252

253

254

255

256

257

258

259

260

261

241

242

Furthermore, we draw on and situate our analysis within the growing literature on the potential and limits of CBM of public goods in conditions of chronic power imbalances. In the context of health governance, CBM is increasingly viewed as having the potential to shift the balance of power from the authorities to service-recipient communities, with the latter taking an active role in tracking health service outcomes (Björkman & Svensson, 2009). As a form of collective action, CBM recognises the agency of local communities in gathering information with which to monitor the delivery and quality of health services, identify inefficiencies and wrongdoings, and thus hold local authorities to account (Fox, 2015). As part of the wider participatory movement, CBM may involve communities assuming the role of an 'alarm system' (Brown & Fox, 1998), or as 'watchdogs' (Joshi & Houtzager, 2012), tackling potential abuses of power. In other cases, CBM initiatives work in tandem with formal or state-sponsored accountability and participation mechanisms such as public hearings, social audits, etc, to scrutinise the provision and distribution of essential public services (Sanyal & Rao, 2018). Such initiatives typically target slow delivery of health services, absenteeism of health workers, lack of health inventory, and misappropriation and corruption in health resources, among others. CBM activities range from reporting on healthcare provision through in-person investigation of the delivery and quality of health services, identification of discrimination in service provisions to building larger coalitions and networks aimed at questioning and influencing policy decisions.

262263

264

265

266

267

268

Based on the above debates, for the purposes of this paper, we argue that CBM represents a form of an evidence-driven initiative that is geared at protecting and promoting the rights and well-being of the communities who face the disproportionate burden of disasters. As such, the focus on 'warning' within CBM may transcend the traditional realm of disaster preparedness and mitigation, to cover early response and recovery, detecting of and alerting the public about the violation of rights in the name of disaster response and recovery.

### **EXPLORATORY SCOPING REVIEW: PURPOSE AND METHODOLOGY**

The paper relies on an exploratory scoping review based on the following set of questions: How have the communities (COVID-19 affected people, civil society actors and ordinary citizens) pursued 'monitorial activism' to challenge and shape the response to the COVID-19 pandemic? What possibilities and challenges do such initiatives entail in response to the COVID-19 pandemic?

Scoping reviews can help "to identify key characteristics or factors related to a concept" (Munn et al., 2018, p.2). Following Rumrill et al (2010, p.404), our scoping review sought to "identify broad themes and patterns" and to offer "foundations for future study". Furthermore, scoping reviews often use a range of research and non-research materials, when an area of investigation is new, complex, and when it would benefit from conceptualisation. The review included both peer-reviewed articles (given the recency of the phenomenon, a small body), and 'grey' literature (including reports, organisation statements, and webpages produced by monitorial activists). The goal of the review is theory-building, making the qualitative exploratory review a suitable methodological choice, as used elsewhere in analysing the impacts of community-based health monitoring (Fox, 2015).

### Search strategies

We aimed to search widely and inclusively. For academic literature, we first searched Google Scholar and Web of Science databases, using combinations of search terms informed by our literature review: community-based monitoring; community-led monitoring; right to information; accountability and transparency; citizen monitoring; and COVID-19. The time period covered was March 2020 to January 2023, coinciding with the main duration of COVID-19 globally, and associated official measures to curtail the virus.

To identify relevant grey and web-based literature, we took a purposive sampling approach, scanning information produced by various civil society groups. Our initial sampling approach also drew on our prior academic knowledge, targeting organisations and contexts likely to spur community-based initiatives. A goal of comprehensiveness could not drive this search because of the recency of the crisis and the information was written by diverse community activists and civil society activists, using diverse terminology. We arrived at a targeted aim to review approximately 15-25 initiatives to balance a manageable amount of information with a purposive sample. Searches were restricted to the English language, given the language limitations of the two authors. The unit of analysis for our review is the initiative. One initiative could be mentioned in more than one source.

Inclusion/exclusion criteria

Although PRISMA is a widely used method for ensuring objectivity, quality and rigour in conducting systematic literature reviews, the exploratory and theory-building aim of the paper meant that more flexible and less structured criteria for the inclusion and exclusion of materials were necessary. Our main unit of analysis was CBM initiatives. Initiatives were eligible for inclusion in our review if they detailed examples of community-based monitoring involving collection, documentation and scrutiny of COVID-19 related official responses. We also focused on advocacy efforts driven by groups or organisations, focusing on those acting independently of emergency responders who represent the government or formal emergency management. The inclusion criteria also sought to maintain diversity in the selection of initiatives, representing a range of global South and global North contexts.

The term "community-based monitoring" is used inconsistently across grey and peerreviewed literature. Hence, three exclusion criteria were used to maintain our focus. First,
reports were excluded if they did not provide case material on an example of an existing
community monitoring effort (e.g. papers only presenting theory, reflections or
recommendations). Second, initiatives directed by major service providers, government

bodies, or universities were excluded (e.g. using peers to monitor transmission, as intelligence for health services). Third, documents using the term monitoring for activities not focused on information-gathering were excluded (e.g. initiatives that focused primarily on providing peer-to-peer support, or influencing health behaviour.

#### Data extraction

We extracted key data into a spreadsheet, as a basis for analysis and comparison. We recorded geographical locations, and brief textual information on (i) monitorial actors (who is engaged in monitoring); (ii) monitorial aims (what is being monitored); and (iii) monitorial action (what means or activities were used). Some initiatives were not new, and we recorded the prior activities where initiatives had a history of engaging in community-based interventions, to further inform the discussion section about the challenges and opportunities facing such efforts.

#### Reduction of error and bias

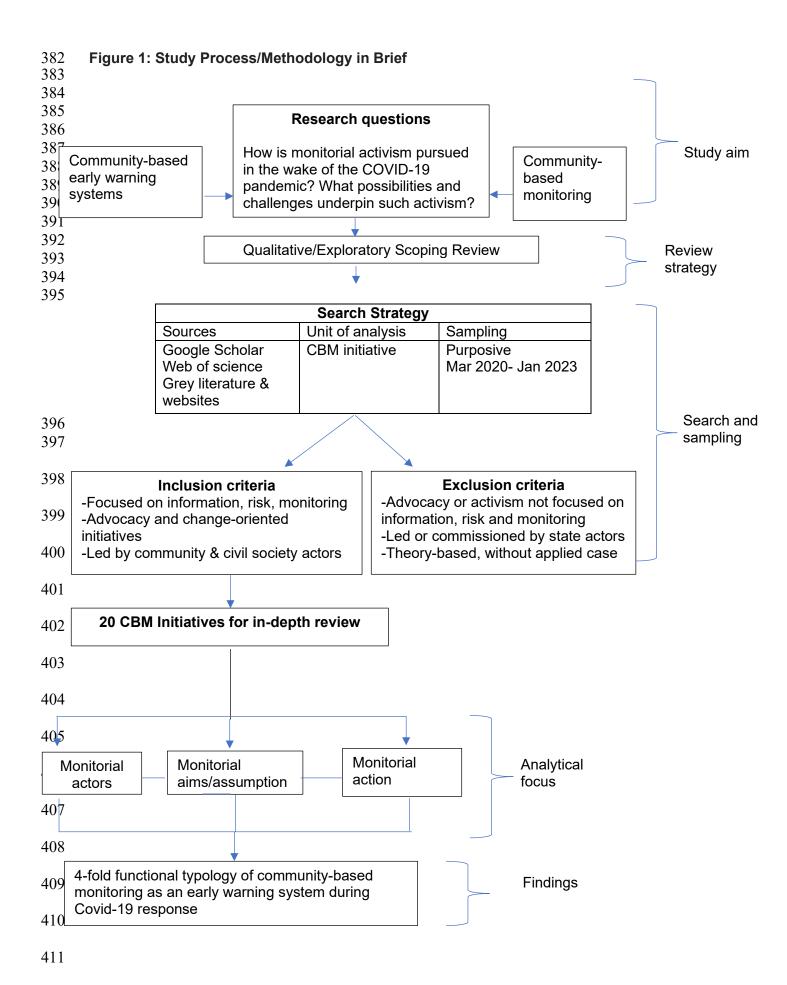
According to Mackieson, Shlonsky and Connolly (2019), establishing rigour in qualitative literature review goes beyond the process of concrete development, testing and implementation of research protocols and analytical codes. Rigour and the prospect for reproducibility in exploratory and interpretive review starts at the process of identification of research gaps and is further demonstrated through transparent documentation of the research process, targeted review of a range of sources, and commitment for interresearcher exchange and learning (Dixon-Woods et al. 2006; Mackieson, Shlonsky & Connolly, 2019). To conduct the search, one author took responsibility for the review of academic literature (FC), and the other author (ND) for the grey and web-based literature. Each author conducted their initial search, applying the inclusion and exclusion criteria, to produce a list of initiatives involving monitoring, collection and sharing of information. The other author checked the application of the inclusion and exclusion criteria. Each author

conducted initial data extraction and interpretation for their shortlisted initiatives, which was checked by the other author. Any disagreements were discussed and resolved, with reference to our criteria and aims.

## Analysis

Having extracted key data, we produced a typology of the functions served by community-based monitoring. While all initiatives gathered and disseminated information to question and improve official responses, diverse underlying "theories of change" were in operation. The theory of change here means an explicit reference to the change-oriented aims and assumptions driving the examined community-based initiatives. Such stated aims were gleaned from the concerned organisations' websites and/or other associated materials and served as the main source of analysis, as mentioned above, together with the associated actions/activities. Figure 1 below shows the summary of the research methodology.

Upon further interpretation, inter-author appraisal and situating our emerging findings to our original literature review, a typology of 4 distinct functions was proposed as being served by monitory activism: (1) tackling misinformation to enable the public to take protective action; (2) improving access through service monitoring; (3) exposing and challenging misuse and abuse of authority (4) spearheading inquiries and probes to hold governments to account. Our findings below discuss each of these in turn, teasing out the possibilities and limitations that underpin a select sample of initiatives, while further situating them within the existing literature on health and DRR in the Discussion section. Annexed as Table 1, the paper contains further details about the four models of community-based monitoring of COVID-19 response. 



### **FINDINGS**

# Tackling misinformation to enable the public to take protective action

Information gaps and misinformation in a global health emergency can create conditions for unsafe behaviour, public uncertainty and misdirected actions, or unequal access to social safety measures (Yamanis, 2020). In the case of COVID-19, monitorial activism emerged to provide ordinary citizens with valid and scientific information as a basis for protective action, often in the absence of credible information or appropriate signposting from governments or non-governmental health authorities. Our review identified several initiatives that centred on fighting a lack of information, misinformation, disinformation and rumours that increased vulnerable communities' risks of health and social harms. As much as disinformation is often manufactured with the intention to maintain the status quo, discredit science, and blame the vulnerable (Jaiswal, LoSchiavo, & Perlman, 2020), our review shows that disinformation is also being actively fought by activists.

Several of the reviewed initiatives are grounded on the principle of people's 'right to know' about not only the status of the virus outbreak, but also the decisions of the health authorities concerning the procurement of essential health commodities and accompanying service provision. G-Watch, a civil society group in the Philippines organised what they termed the 'Local Multi-Stakeholder Consultation Series' to promote people's awareness of social protection programmes in the wake of COVID-19, combined with efforts to independently assess the deployment and delivery of such services (G-Watch, 2020). In the Indian state of Kerala, Ulahannan et al (2020) have shown how local communities assumed the role of citizen scientists, collecting data and creating user-friendly data visualisation to make people aware of the risks of the outbreak. Compared to G-Watch, the citizen-scientists' campaign in Kerala is more spontaneous and focused on the narrower aim of spreading credible information to the public.

In Nepal, Accountability Lab, a youth-based organisation, organised the Coronavirus CivActs Campaign (CCC), to equip labour migrants with credible information regarding the coronavirus and debunk rumours ranging from unverified claims about COVID-19 treatment to misinformation about government's unemployment benefits (Accountability Lab, 2020). As part of the campaign, local activists, termed Community Frontline Associates (CFAs), listened to the members of the migrant communities, capturing prevailing rumours and misinformation. and informed them of appropriate health-protective behaviours. In a similar vein, in India, an online platform, Altnews.in, spearheaded a 'fact-checking' initiative, debunking COVID-19 misinformation (Shaikh & Satani, 2020). Their campaign, among other aims, sought to counter rumours which undermined international efforts to curb the pandemic, or which stigmatised minority communities. More recently, Campaign for Accountability, a US-based non-profit watchdog that uses "research, litigation, and aggressive communications to expose misconduct and malfeasance in public life," sought to publicise the role of technology platforms such as Apple Podcasts in distributing risk-promoting communications through programmes that, for example, discuss ingestion of bleach as a Covid treatment, make unverified claims about dangers of vaccines, and denounce COVID-19 frontline workers (Campaign for Accountability, 2022). The campaign, through evidence-based investigative reporting, is geared at countering conspiracy claims that pose a serious risk to the public and undermine scientific efforts to tackle the pandemic. The availability of digital information through online dashboards, and through peer-to-peer sharing of both misinformation and verified information sought to create opportunities for online citizen scientists to gather, analyse and share information in a highly distributed way, sometimes by people who had no prior involvement in civil society organising (Ulahannan et al, 2020).

461462

463

464

465

466

439

440

441

442

443

444

445

446

447

448

449

450

451

452

453

454

455

456

457

458

459

460

# Improving access through service monitoring

Globally, the response to COVID-19 was marred by an acute disruption in and de-prioritisation of routine health services. Under such conditions, locally embedded monitors have sought to trace, challenge and change the scarcity and misappropriation of health services under the

broader rubric of 'service monitoring'. Service monitoring took the form of a community-driven approach to investigating the availability and the disruption in the delivery of health services at the local level. Such efforts involved community members organising physical or virtual visits to the health services to examine whether or how health workers are complying with the standards of health care. Community members have also focused on identifying and documenting contextual barriers to health access, for example, cases of discrimination facing disadvantaged communities. Treatment Action Group (TAG) in South Africa initiated a campaign 'monitoring the response to HIV and TB', as such health services were de-prioritised in the wake of COVID-19 (Treatment Action Campaign, n.d.), identifying and reporting on hospitals and hotspots where people's inherent right to access other routine health services is undermined. As physical distancing measures made in-person monitoring difficult, TAG activists took the monitoring online to check in on staff and medicinal stocks at health facilities. In Uganda, community-based monitors, supported by an international non-profit, World Vision International, led a similar campaign to identify women who faced severe disruption in maternal and reproductive health services as the priorities shifted to COVID-19 response (Mpepo, n.d.). Such shifts in priority mean vulnerable groups such as pregnant women face increased health risks due to restricted access to health facilities. Local monitors in Uganda, thus, identified those women and alerted the local health authorities to make provisions for local pregnant women to have the option to deliver at health facilities.

486

487

488

489

490

491

492

493

494

467

468

469

470

471

472

473

474

475

476

477

478

479

480

481

482

483

484

485

In other contexts, citizen activism has transcended the realm of service monitoring to tackling the rise of exploitative activities under the condition of weakened government oversight. In Nepal, for instance, Covid Action Team Nepal visited and monitored hospitals in Birgunj, a city bordering India, where complaints about the mistreatment of patients were rife, and blackmarketing of medicines thrived under limited government oversight (Himalayan News Service, 2021). The team also undertook mutual aid efforts, distributing relief aid to those whose lives were disrupted by the pandemic while demanding political leaders respond to the larger problems of shortages of oxygen. As seen in other disasters, where governments failed to

address risk-enabling practices in the context of inadequate regulatory oversight, local people regularly step in to share resources and information to address such risk.

## **Exposing and challenging misuse and abuse of authority**

The 'state of exception' sparked by the pandemic enabled governments to impose 'stay at home' orders, restrictions on mobility and social contact, and border controls, which came to be termed 'lockdowns'. In many instances, the lockdowns were preceded by a period of dismissals and downplaying of emergent risk by the authorities. In many contexts, when the lockdown was imposed, it was quick and harsh, without giving enough time for vulnerable communities such as informal workers, daily wage earners, migrants, or sex workers to devise self-protective strategies (Alliance India, 2021). State-sponsored social protection measures were limited, forcing communities to face severe economic deprivation, made worse by chronic inadequacy and questionable deployment of relief packages (Dhungana, 2020a; Sengupta & Jha, 2020).

In response to the risk of socio-economic marginalisation facing local communities, monitorial activists sought to expose and challenge the arbitrary use of state power. Early on during the COVID-19 crisis, Alliance India, a network of community-based health activists, led a campaign that exposed the authorities' failures to prioritise relief aid, and later worked to ensure vaccine access to marginalised communities such as sex workers and sexual minorities (Alliance India, 2021). Their efforts centred on holding state actors accountable to their core responsibility to ensure, as the campaign claimed, 'right to health' and 'dignity' in health for all. Their campaign also has sought to counter stigmatisation, policing and harassment of individuals who were seen as defying the government's quarantine rules. Likewise, behind Taiwan's globally recognised 'success' in managing the pandemic lies, according to Ho (2020), the critical yet constructive role of pressure groups that compelled the government to adhere to the principles of the right to information and also challenge the abuse of data (privacy and confidentiality) in the name of pandemic governance. In Afghanistan,

Integrity Watch, a civil society group, conducted a series of independent monitoring exercises of the government relief interventions titled 'Relief Efforts for Afghan Communities and Households (REACH)'. Through investigative reporting in the form of policy briefs, they aimed to expose the lack of transparency in the release of the aid, made worse by the lack of engagement of local representatives, and inadequate system of redressal mechanisms for vulnerable communities excluded from aid distribution. Taken together, these examples represent the possibilities inherent in civil society and public-led monitoring in questioning, challenging and changing the misuse and abuse of authority within the COVID-19 response.

# Spearheading inquiries and probes to hold governments to account

Our review shows a fourth model of monitorial activism that goes beyond tracking everyday deficiencies in health sector performance. It is focused on documenting governments' failures and demanding accountability and justice mainly involving and on behalf of COVID-19 victims, survivors and bereaved families. Much of such monitorial activism has focused on forging a larger coalition of activists and advocates to hold powerholders accountable for misguided governance of the pandemic, disregard for fiscal discipline and associated abuse of power and authority (e.g., International Budget Partnership, 2021).

The Good Law Project UK, for instance, has been involved in preparing lawsuits, forging collaboration between legal activists and survivors of the pandemic. Working with the Doctors Association UK, who represent the National Health Service (NHS) doctors, they have sought to expose failures to procure and provide adequate PPE for NHS and other care workers ('the PPE Issue') resulting in death and serious illnesses (Good Law Project, 2020). An online petition organised by activists from the Good Law Project demanded transparency and accountability from the government regarding the procurement and outsourcing of Personal Protective Equipment (PPE). The petition garnered over 170,000 signatures, which demonstrates that the principles of openness and transparency are valued by the public even during emergencies. The Good Law Project pushed for an independent inquiry into the

reasons for the failures, while parallel efforts by the bereaved families compelled the UK government to set up and pursue an independent inquiry into the government response to COVID-19.In Zimbabwe, the Zimbabwe Democracy Institute, through in-depth investigation has exposed how the government's response was marred by inconsistency in vaccine administration (Zimbabwe Democracy Institute, 2021). Through closer inquiry into the government's action, it found not only serious irregularities in the distribution of the Sinopharm vaccine donated by China but also fraudulent awarding of tenders for the procurement and supply of COVID-19 PPE, test kits and other medical consumables to companies linked to high profile government officials. Several of such efforts to document and expose irregularities are led by survivors and bereaved families. For instance, in Brazil, the Coordination of Indigenous Organizations of the Brazilian Amazon, one of the largest regional indigenous organizations in Brazil, came up with a technology-enabled documenting of deaths (Fellows et al., 2021). Through a mobile application called "the Covid Indigenous alert', members of this group counted and verified the numbers of deaths of indigenous people and in the process, countered official statistics, which actively downplayed the number of deaths. The group's activism was not limited to tracking deaths but also leveraging media campaigns and legal support to hold the government accountable to the unequal impacts of the disaster on indigenous communities. In the US, Marked by Covid has emerged as a nationwide grassroots coalition of people who are impacted by Covid (Marked by Covid, n.d.). Among other issues, their work has focused on what they term "restitution", spearheading inquiries and evidence-based activism in favour of victims and survivors of COVID-19 for the wilful negligence of the authorities, and demanding

573574575

576

577

578

551

552

553

554

555

556

557

558

559

560

561

562

563

564

565

566

567

568

569

570

571

572

## **DISCUSSION: POSSIBILITIES AND CHALLENGES**

fair compensation for essential workers for their service and sacrifice.

In recent years, scholarly and practical interest in people-centred approaches to EWS, aimed at preventing the loss of lives and livelihoods from disasters. Going beyond preparedness, our review draws attention to CBM as an emergent and distinct model of

warning system within disaster response and recovery, identifying risks in the formal response, acting to mitigate those risks, and holding governments accountable to address those risks. In the context of a global disaster, risks are not just those associated with immediate loss of lives and livelihoods but what Tierney (2014) terms the "potential for loss" (p.6). This view aligns with the recent DRR policy discourse, notably the Sendai Framework for DRR, where risk is linked to people's rights to be protected from various forms of losses and disruption (United Nations, 2015). The role of CBM as an early warning system, thus, should be viewed in terms of its rights-based orientation to tackle two forms of risks or potential losses. First, CBM in response to the COVID-19 is aimed at tackling risks of deprivation of routine health services. This includes both the risks of failure to serve the general public and risks of deprivation facing marginalised communities such as migrants, informal workers and ethnic minorities. As a form of collective early warning system, CBM can serve as an important source of situational awareness for disaster professionals, where members of the public serve as "sensors" (Laituri and Kodrich, 2008) or "safety valves" (Gillespie and Reader, 2022) in identifying areas of deprivation and scarcity. Second, CBM addresses fundamental risks of entrenchment of democratic deficits and lack of accountability under the conditions of the state of exception. Although the social cost of the pandemic is made worse by longstanding democratic dysfunctions, combined with the gradual erosion the public health system (Keen, 2021), the emergence of CBM means such democratic and systemic dysfunctions have not gone unchallenged. As Figure 2 below summarises, the four models of CBM in the Covid-19 response jointly show its potential to advance three mutually reinforcing goals: a) improvement in people's access to information b) greater availability of routine health services and c) protection and promotion of the values of accountability and justice. With its focus on collective anticipation, oversight and evidence-based action, CBM shows promise to counter secrecy in decision-making and abuse of power in the official response to COVID-19, promoting a more inclusive and democratic response to disaster.

606

579

580

581

582

583

584

585

586

587

588

589

590

591

592

593

594

595

596

597

598

599

600

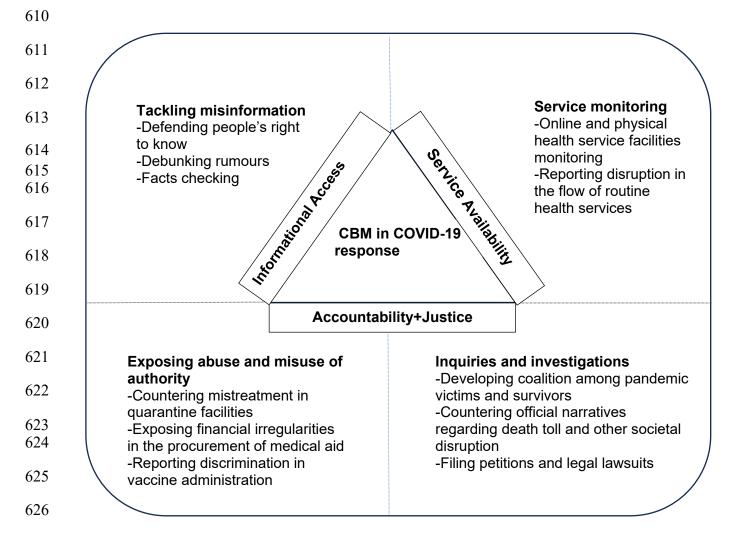
601

602

603

604

Figure 2: Functional typologies of community-based monitoring as an early warning system during COVID-19 response



Despite the above possibilities, it would be unwise to uncritically embrace and celebrate the role of CBM's potential in disaster response. While the immediate outcomes of some of the aforementioned models, such as health facility monitoring, may be found in terms of improved availability of and access to health services, our analysis should be viewed with caution in terms of their longer-term impacts. What forms of policy and systemic changes, if any, do 'monitorial activists' achieve? What risks and vulnerabilities are missed by such forms of activism? How do vulnerable communities see the role of CBM or monitorial activists? How are authorities responding to these emergent forms of collective efforts? These are questions that merit further scholarly and practical attention by the DRR community.

More specifically, we draw attention to three challenges facing contemporary CBM in disaster response: uncertainty over the substantive impacts of monitorial activism; questions of sustainability of voluntary and emergent activities; and threat of civic vigilantism in the guise of rights protection.

First, while much of the focus of the wide-ranging monitorial activism in response to COVID-19 has sought to promote public voice and demands, the capacity and willingness of formal state institutions to respond to such voiceremains questionable (Fox. 2015; Dhungana, 2021b). Citizens may informally discover and expose valid information as they monitor the provision of health services or the unequal impacts of government policies, but they may lack a formal route to hold government service providers accountable. It is difficult to make concrete and discern the accountability outcomes of some of these initiatives, particularly those with longer-term or more fundamental goals. On the one hand, the DRR community may value the short-term impacts of monitorial activism in informing peers and sharing information to promote protective behaviour. On the other, some forms of monitorial activism (e.g. Marked by Covid) follow a longer-term goal of truth-seeking and 'restitution' for Covid-19 victims. One can argue that the process of truth-seeking and truth-telling itself is accountability-driven and valuable in keeping the memories of the victims and survivors alive, which may also serve as an alert system against future disasters (Yu, 2021). What these examples jointly suggest is that the nature of the aims that these activists represent are not always uniform and linear but are more complex and multidimensional and require a longer-term empirical orientation.

Second, and related to the above, future research should also consider the scalability and sustainability of the different forms of such activism. Several of the CBM initiatives that our review found are limited to specific geographic locations. While localised approaches to health sector scrutinising, such as that carried out by the Treatment Action Campaign, may have enforceability potential in terms of forcing the local authorities to respond to the immediate health risks facing vulnerable groups, the question of scalability of such action to address the

structural and policy neglect facing marginalised groups can be a challenge. In some instances, civil society actors, building on pre-existing networks and alliances, have been able to successfully scale-up the campaign across different countries. A case in point is the Accountability Lab's Coronavirus CivicActs Campaign, whose activism on tracking and debunking rumours has expanded across several countries in Asia and Africa. Together with the issues of scalability and sustainability, the internal organising capacity of the activists, and how the authorities view and approach such activism merit further scholarly attention. Disaster research has shown that spontaneous activism tends to emerge in the wake of disaster, but it also runs the risk of being silenced, co-opted, or dissolved as it faces neglect and obstacles from authorities (Cornish, 2021). These questions surrounding the scalability and sustainability of activism are not new in the field of participatory governance, calling for indepth and longitudinal studies to trace the contextual dynamics and consequential outcomes of such activism, and locate them within the broader societal struggles to prevent disasters.

Third, as a form of social practice, CBM is not separate from but closely intertwined with the issues of power and power politics. Who acts as monitors, under what conditions, and how, are questions deserving critical questioning. As ordinary citizens and communities exercise the power to tackle the threat of COVID-19 outbreak, there is a risk of marginalised communities being targeted as vectors of the virus, fuelling stigma, discrimination and outright hostility towards racial minorities (Choi, 2021). There have been cases of the rise of a sceptical public and even civic vigilantism in the name of the protection and promotion of rights and freedom against state excesses. In the UK, Ahearne & Freudenthal (2021) argue that the 'state of exception' prompted citizen vigilantism in the form of reporting on neighbours, calling them 'covidiots' as a way to shame and stigmatise people for failing to follow prescribed health behaviour. In the guise of bottom-up or citizen-led monitoring, vulnerable communities such as ethnic minorities and migrants may be targeted and blamed as the vectors of the disease, while government's failures are overlooked (Keen, 2021).

In the context of anti-vaccination politics, the 'monitoring' and dissemination of accounts of vaccine-related harms have played a role in anti-vaccination movements' claims that government vaccination efforts are infringements of individual freedoms (Megget, 2020). In short, gathering information to make claims on government is not done only by citizen monitors with egalitarian intent or advancing good public health practice, but it can be done with the opposite intentions to maintain and entrench an unequal or discriminatory *status quo*. Fuelled by the proliferation of social media, public interpretation of risks is taking many forms, resulting in highly polarised contestations over information, truth, and good practice. Citizen monitors may not always get it "right", from a public health or disaster response point of view, but even when they get it "wrong", monitoring by aggressive peers or "anti-vaxxers" that stands to do potential harm may be an informative early warning of social and political tensions requiring the DRR community's attention.

### CONCLUSION

Moving beyond the expert-driven and technocratic models of EWS, in this paper we have sought to shed light on the role of CBM as an early warning system, identifying and countering risks and failures in the official responses to the COVID-19 pandemic. The typology of monitorial activism discussed in this paper calls for rethinking of the notion of early warning and risk to include risks identified, monitored and acted on by the public (Wood et al., 2012). In addition to the conventional understanding of risk mitigation as protection from loss of people and property through disasters, the paper suggests that the potential of monitorial activism by the public should be viewed in terms of the democratisation of the understanding and communication of risk (Lejano, Haque and Berkes, 2022). Across both natural hazards and health emergencies, the overlap between CBM and EWS lies in their shared focus on monitoring, or early detection and communication of risks that threaten human well-being. They also align in their growing emphasis on the wisdom and intelligence of local communities (de Leon, 2014; United Nations, 2015), together with the emphasis on turning risk information into action or

responsiveness from the authorities (West et al., 2022). However, there are two important differences between the two concepts that the paper underscores. First, while most EWS concentrate on preparedness, our paper utilises CBM to demonstrate the practice of community-driven 'alert systems' in response to and recovery from a disaster, calling for the DRR community to consider the value of CBM not just in disaster preparedness but also in rights-based disaster response and recovery. Second, beyond EWS' utilitarian logic that often values community involvement primarily for their local knowledge about disaster risk, CBM represents plural forms of exercising of the political agency by or on behalf of the communities. The focus on political agency means that CBM has the potential to redefine the rights of communities as both the vehicle for and outcome of sustainable DRR, as envisioned in recent policy discourse, including under 'priority 2' of the Sendai Framework for DRR (United Nations, 2015). The paper urges the DRR community to pay further attention to the monitorial role of communities, particularly in terms of their political potential to serve as both 'protective' and 'promotional' layers of societal responsiveness to disasters (Drèze & Sen, 1991). And while the four models of CBM or monitorial activism identified in this paper range across the spectrum from collaborative to conflictual approaches vis-a-vis the official responses, we argue that CBM should not be seen as being in opposition to the state governance of disaster, but as part of a pluralistic democratic accountability process, where a sceptical orientation to the state's tendency to neglect and downplay the risk of disasters is a positive contribution to disaster governance. Finally, the paper also seeks to distinguish monitorial activism from other forms of citizen vigilantism that, instead of promoting and protecting the rights, have the potential to interfere with and violate the rights of vulnerable communities. Community-based monitoring as an early warning system foregrounds the rights and welfare of those least protected in the face of a major crisis. To what extent do these efforts have enforceability potential in bringing longer-term policy and systemic reforms? And are they scalable and sustainable? This exploratory study has set the foundation for further scholarly attention to these questions.

720

721

722

723

724

725

726

727

728

729

730

731

732

733

734

735

736

737

738

739

740

741

742

743

744

745

746

# 748 Data Availability Statement

The study made use of publicly available and purposively sampled data on initiatives involved in the monitoring of COVID-19 responses. Most of the data used during the study appear in the submitted paper under Table 1 and are available from the corresponding author by request.

## 754 **REFERENCES:**

- 755 Abazi, V. (2020). Truth Distancing? Whistleblowing as Remedy to Censorship during COVID-
- 756 19. European Journal of Risk Regulation, 11(2), 375–381.
- 757 <u>https://doi.org/10.1017/err.2020.49</u>
- 758 Accountability Lab. (2020). The Lab's Coronavirus CivActs Campaign. Accountability Lab.
- 759 https://accountabilitylab.org/coronavirus-civacts-campaign/
- 760 Alliance India. (2021). Scripting Change: Alliance India's COVID Response. India HIV/AIDS
- 761 Alliance. <a href="https://allianceindia.org/wp-content/uploads/2021/12/Scripting-Change-Alliance-">https://allianceindia.org/wp-content/uploads/2021/12/Scripting-Change-Alliance-</a>
- 762 <u>Indias-COVID-Response.pdf</u>
- 763 Ahearne, G., & Freudenthal, R. (2021). The health/power/criminality-nexus in the state of
- exception. *Journal of Contemporary Crime, Harm, and Ethics*, 1(1), Article 1.
- 765 <u>https://doi.org/10.19164/jcche.v1i1.1159</u>
- 766 Björkman, M., & Svensson, J. (2009). Power to the People: Evidence from a Randomized Field
- 767 Experiment on Community-Based Monitoring in Uganda\*. The Quarterly Journal of
- 768 Economics, 124(2), 735–769. https://doi.org/10.1162/qjec.2009.124.2.735
- 769 Brown, D. L., & Fox, J. A. (1998). Assessing the impact of NGO advocacy campaigns on World
- 770 Bank Projects and Policies. In D. L. Brown & J. A. Fox (Eds.), *The struggle for accountability:*
- 771 The World Bank, NGOs, and grassroots movements. MIT Press.
- 772 Button, G. (2016). Disaster Culture: Knowledge and Uncertainty in the Wake of Human and
- 773 Environmental Catastrophe. Routledge.
- 774 Campaign For Accountability. (2022). Covid Misinformation, Dangerous Treatments Thrive on
- 775 Apple Podcasts (Tech Transparency Project). Campaign For Accountability.
- 776 https://www.techtransparencyproject.org/articles/covid-misinformation-dangerous-
- 777 <u>treatments-thrive-apple-podcasts</u>
- 778 Choi, S. (2021). "People look at me like I AM the virus": Fear, stigma, and discrimination during
- the COVID-19 pandemic. Qualitative Social Work, 20(1–2), 233–239.
- 780 https://doi.org/10.1177/1473325020973333

- 781 Cochrane, K., Cornish, F., Murphy, A., Denton, N. & Bracken, L. (2023) Inclusive recovery
- 782 planning for incremental systemic change: A methodology, early outcomes, and limitations,
- 783 <u>from the Falkland Islands' Covid-19 recovery planning experience</u>. *Journal of Contingencies*
- 784 <u>and Crisis Management, 31(2): 185-197.</u>
- 785 Cornish, F. (2021). 'Grenfell changes everything?' Activism beyond hope and despair. Critical
- 786 Public Health, 31(3), 293-305.
- 787 Cretney, R. (2019). "An opportunity to hope and dream": Disaster Politics and the Emergence of
- Possibility through Community-Led Recovery. *Antipode*, *51*(2), 497-516.
- 789 Curato, N. (2019). Democracy in a time of misery: From spectacular tragedies to deliberative
- 790 action. Oxford University Press.
- 791 Cuny, F. C. (1994). *Disasters and Development*. Intertect Press.
- 792 de Leon, JCV. (2014). People-centred early warning. In: Lopez-Cerresi, A., Fordham, M.,
- 793 Wisner, B., Kelman, I. & Gaillard, JC. (eds). *Disaster Management. International lessons in*
- 794 risk reduction, response and recovery. Routledge: London. Pp. 64-81.
- 795 Della Porta, D. (2020). Social movements in times of pandemic: another world is needed.
- 796 OpenDemocracy. <a href="https://www.opendemocracy.net/en/can-europe-make-it/social-">https://www.opendemocracy.net/en/can-europe-make-it/social-</a>
- 797 movements-times-pandemic-another-world-needed/
- 798 Dhungana, N. (2020a). Human dignity and cross-border migrants in the era of the COVID-19
- 799 pandemic. World Development, 136, 105174.
- 800 <u>https://doi.org/10.1016/j.worlddev.2020.105174</u>
- 801 Dhungana, N. (2020b). Doing Civil Society-Driven Social Accountability in a Disaster Context:
- 802 Evidence from Post-Earthquake Nepal. *Politics and Governance*, 8(4), 395–406.
- 803 https://doi.org/10.17645/pag.v8i4.3154
- 804 Diab, A. (2021). The accountability process during the time of Covid-19 pandemic and the
- 805 emerging role of non-profit associations. Academy of Strategic Management Journal. 20(1),
- 806 9.
- 807 Drèze, J. and Sen, A. (1989) *Hunger and public action*. Reprint, 2002. Oxford: Oxford University
- 808 Press.

- 809 Drèze, J., & Sen, A. (1991). Public Action for Social Security: Foundations and Strategy\*. In E.
- 810 Ahmad, J. Drèze, J. Hills, & A. Sen (Eds.), Social Security in Developing Countries (pp. 2-
- 40). Oxford University Press. https://doi.org/10.1093/acprof:oso/9780198233008.003.0001
- 812 Eyre, A., & Dix, P. (2014). Collective Conviction: The Story of Disaster Action. Liverpool
- 813 University Press.
- 814 Fellows, M., Paye, V., Alencar, A., Nicácio, M., Castro, I., Coelho, M. E., Silva, C. V. J.,
- 815 Bandeira, M., Lourival, R., & Basta, P. C. (2021). Under-Reporting of COVID-19 Cases
- 816 Among Indigenous Peoples in Brazil: A New Expression of Old Inequalities. *Frontiers in*
- 817 Psychiatry, 12. https://www.frontiersin.org/articles/10.3389/fpsyt.2021.638359
- 818 Firth, R. (2022). Disaster Anarchy: Mutual Aid and Radical Action. Pluto Press.
- 819 Fox, J. A. (2015). Social Accountability: What Does the Evidence Really Say? World
- 820 Development, 72, 346–361. https://doi.org/10.1016/j.worlddev.2015.03.011
- 821 Fussell, E. (2015). The Long-Term Recovery of New Orleans' Population After Hurricane
- 822 Katrina. American Behavioral Scientist, 59(10), 1231–1245.
- 823 <u>https://doi.org/10.1177/0002764215591181</u>
- 824 Garcia, C., & Fearnley, C. J. (2012). Evaluating critical links in early warning systems for
- natural hazards. Environmental Hazards, 11(2), 123–137.
- 826 <u>https://doi.org/10.1080/17477891.2011.609877</u>
- 827 Ghosh, B. (2021). Capsizing the gaze: Gender non-conforming communities as monitorial
- citizens. Contemporary South Asia, 29(4), 532–545.
- 829 https://doi.org/10.1080/09584935.2021.1996539
- 830 Gillespie, A., & Reader, T. W. (2022). Online patient feedback as a safety valve: An automated
- language analysis of unnoticed and unresolved safety incidents. Risk Analysis, n/a(n/a).
- 832 https://doi.org/10.1111/risa.14002
- 833 Good Law Project. (2020, July 6). The PPE Fiasco. Good Law Project.
- 834 https://goodlawproject.org/update/the-ppe-fiasco/

- 835 G-Watch. (2020, July 24). Time to Account the COVID-19 Response and Chart a Citizen-
- 836 Centered 'New Normal' [Text]. G-Watch Philippines | Government Watch Philippines.
- 837 <a href="https://www.g-watch.org/news-release/time-account-covid-19-response-and-chart-citizen-">https://www.g-watch.org/news-release/time-account-covid-19-response-and-chart-citizen-</a>
- 838 <u>centered-%E2%80%98new-normal%E2%80%99</u>
- 839 Himalayan News Service. (2021, May 18). Hospitals in Birgunj being monitored. *The Himalayan*
- 840 Times. https://thehimalayantimes.com/nepal/hospitals-in-birgunj-being-monitored
- 841 Ho, M. (2020). Watchdogs and Partners: Taiwan's Civil Society Organizations. In R. Youngs
- 842 (Ed.), Global Civil Society in the Shadow of Coronavirus (pp. 11–16). Carnegie Endowment
- 843 for International Peace.
- 844 Integrity Watch Afghanistan. (2021, June 25). Civil Society: COVID-19 Aid Distribution Package
- Lacks Accountability. Integrity Watch Afghanistan. https://integritywatch.org/blog/news/civil-
- 846 <u>society-covid-19-aid-distribution-package-lacks-accountability/</u>
- 847 International Federation of Red Cross and Red Crescent Societies. (2013). Community early
- warning systems: Guiding principles. https://doi.org/10.1163/2210-7975 HRD-9813-
- 849 2015012
- 850 Jaiswal, J., LoSchiavo, C., & Perlman, D. C. (2020). Disinformation, Misinformation and
- 851 Inequality-Driven Mistrust in the Time of COVID-19: Lessons Unlearned from AIDS
- 852 Denialism. AIDS and Behavior, 24(10), 2776–2780. https://doi.org/10.1007/s10461-020-
- 853 <u>02925-y</u>
- 854 Joshi, A., & Houtzager, P. P. (2012). Widgets or Watchdogs?: Conceptual explorations in social
- 855 accountability. *Public Management Review*, 14(2), 145–162.
- 856 <u>https://doi.org/10.1080/14719037.2012.657837</u>
- 857 International Budget Partnership. (2021). Open Budget Survey 2021 [8th Edition]. International
- 858 Budget Partnership. https://internationalbudget.org/wp-content/uploads/Open-budget-survey-
- 859 <u>2021-1.pdf</u>
- 860 Keane, J. (2011). Monitory Democracy. In S. Alonso, J. Keane, & W. Merkel (Eds.), The Future
- of Representative Democracy (pp. 2012–2035). Cambridge University Press.

- 862 Keen, D. (2021). Does democracy protect? The United Kingdom, the United States, and Covid-
- 863 19. Disasters, 45(S1), S26–S47. https://doi.org/10.1111/disa.12527
- 864 Kelman, I. (2020). COVID-19: What is the disaster? Social Anthropology, 28(2), 296–297.
- 865 <u>https://doi.org/10.1111/1469-8676.12890</u>
- 866 Klein, N. (2007). The Shock Doctrine: The Rise of Disaster Capitalism. Knopf.
- 867 Laituri, M., & Kodrich, K. (2008). On Line Disaster Response Community: People as Sensors of
- High Magnitude Disasters Using Internet GIS. Sensors, 8(5), Article 5.
- 869 https://doi.org/10.3390/s8053037
- 870 Lejano, R. P., Haque, C. E., & Berkes, F. (2021). Co-production of risk knowledge and
- 871 improvement of risk communication: A three-legged stool. *International Journal of Disaster*
- 872 Risk Reduction, 64, 102508. https://doi.org/10.1016/j.ijdrr.2021.102508
- 873 Mackieson, P., Shlonsky, A., & Connolly, M. (2019). Increasing rigor and reducing bias in
- qualitative research: A document analysis of parliamentary debates using applied thematic
- analysis. Qualitative Social Work, 18(6), 965–980.
- 876 https://doi.org/10.1177/1473325018786996
- 877 Marked by Covid. (n.d.). Marked By Covid. Marked By Covid. Retrieved 15 September 2022,
- from https://www.markedbycovid.com
- 879 Matthewman, S., & Uekusa, S. (2021). Theorizing disaster communitas. Theory and Society,
- 880 50(6), 965–984. https://doi.org/10.1007/s11186-021-09442-4
- 881 Megget, K. (2020). Even covid-19 can't kill the anti-vaccination movement. BMJ, m2184.
- 882 <u>https://doi.org/10.1136/bmj.m2184</u>
- 883 Mileti, D. S., & Peek, L. (2000). The social psychology of public response to warnings of a
- nuclear power plant accident. *Journal of Hazardous Materials*, 75(2–3), 181–194.
- 885 https://doi.org/10.1016/S0304-3894(00)00179-5
- 886 Moreno, J., Lara, A., & Torres, M. (2019). Community resilience in response to the 2010
- tsunami in Chile: The survival of a small-scale fishing community. *International journal of*
- 888 disaster risk reduction, 33, 376-384.

- 889 Mpepo, B. (n.d.). A light in the COVID-19 darkness: Social Accountability. Retrieved 26 August
- 890 2022, from https://www.wvi.org/opinion/view/light-covid-19-darkness-social-accountability
- 891 Munn, Z., Peters, M. D. J., Stern, C., Tufanaru, C., McArthur, A., & Aromataris, E. (2018).
- 892 Systematic review or scoping review? Guidance for authors when choosing between a
- systematic or scoping review approach. BMC Medical Research Methodology, 18(1), 143.
- 894 https://doi.org/10.1186/s12874-018-0611-x
- 895 Pasman, H. J. (2021). Early warning signals noticed, but management doesn't act adequately
- or not at all: A brief analysis and direction of possible improvement. *Journal of Loss*
- 897 *Prevention in the Process Industries*, 70, 104272. <a href="https://doi.org/10.1016/j.jlp.2020.104272">https://doi.org/10.1016/j.jlp.2020.104272</a>
- 898 Perera, D., Agnihotri, J., Seidou, O., & Djalante, R. (2020). Identifying societal challenges in
- flood early warning systems. *International Journal of Disaster Risk Reduction*, *51*, 101794.
- 900 <u>https://doi.org/10.1016/j.ijdrr.2020.101794</u>
- 901 Rumrill, P. D., Fitzgerald, S. M., & Merchant, W. R. (2010). Using scoping literature reviews as
- a means of understanding and interpreting existing literature. *Work*, *35*(3), 399–404.
- 903 <u>https://doi.org/10.3233/WOR-2010-0998</u>
- 904 Sanyal, P., & Rao, V. (2018). Oral Democracy: Deliberation in Indian Village Assemblies.
- 905 Cambridge University Press. <a href="https://doi.org/10.1017/9781139095716">https://doi.org/10.1017/9781139095716</a>
- 906 Sengupta, S., & Jha, M. K. (2020). Social Policy, COVID-19 and Impoverished Migrants:
- 907 Challenges and Prospects in Locked Down India. *The International Journal of Community*
- 908 and Social Development, 2(2), 152–172. https://doi.org/10.1177/2516602620933715
- 909Shaikh, S., & Satani, S. (2020, March 13). Sci-check: Coronavirus advisory falsely attributed to
- 910 UNICEF viral on social media—Alt News. Sci-Check: Coronavirus Advisory Falsely
- 911 Attributed to UNICEF Viral on Social Media. https://www.altnews.in/sci-check-coronavirus-
- 912 <u>advisory-falsely-attributed-to-unicef-viral-on-social-media/</u>
- 913 Sian, S., & Smyth, S. (2022). Supreme emergencies and public accountability: The case of
- 914 procurement in the UK during the Covid-19 pandemic. Accounting, Auditing & Accountability
- 915 *Journal*, 35(1), 146–157. https://doi.org/10.1108/AAAJ-08-2020-4860

- 916 Solnit, R. (2010). A paradise built in hell: The extraordinary communities that arise in disaster.
- 917 Penguin.
- 918 Smith, T. C., & Reiss, D. R. (2020). Digging the rabbit hole, COVID-19 edition: Anti-vaccine
- 919 themes and the discourse around COVID-19. *Microbes and Infection*, 22(10), 608–610.
- 920 <u>https://doi.org/10.1016/j.micinf.2020.11.001</u>
- 921 Teichmann, F., & Falker, M.-C. (2021). Public procurement and corruption during Covid-19:
- 922 Self-monitoring and whistleblowing incentives after Srebrena Malina. SEER, 24(2), 181–206.
- 923 https://doi.org/10.5771/1435-2869-2021-2-181
- 924 Tierney, K. J. (2014). The social roots of risk: Producing disasters, promoting resilience.
- 925 Stanford University Press.
- 926 Treatment Action Campaign. (n.d.). Resilient Advocacy in a Time of a Pandemic: The
- 927 Treatment Action's Journey During Covid-19. Treatment Action Campaign. Retrieved 25
- 928 August 2022, from <a href="https://www.tac.org.za/wp-content/uploads/TAC-Resilient-Advocacy-">https://www.tac.org.za/wp-content/uploads/TAC-Resilient-Advocacy-</a>
- 929 Report.pdf
- 930 Ulahannan, J. P., Narayanan, N., Thalhath, N., Prabhakaran, P., Chaliyeduth, S., Suresh, S. P.,
- 931 Mohammed, M., Rajeevan, E., Joseph, S., Balakrishnan, A., Uthaman, J., Karingamadathil,
- 932 M., Thomas, S. T., Sureshkumar, U., Balan, S., Vellichirammal, N. N., & the Collective for
- 933 Open Data Distribution-Keralam (CODD-K) consortium. (2020). A citizen science initiative for
- open data and visualization of COVID-19 outbreak in Kerala, India. *Journal of the American*
- 935 Medical Informatics Association, 27(12), 1913–1920. https://doi.org/10.1093/jamia/ocaa203
- 936 United Nations. (2015). Sendai Framework for Disaster Risk Reduction 2015—2030. United
- Nations. https://www.preventionweb.net/files/43291 sendaiframeworkfordrren.pdf
- 938 Ward, A. (2020). Anti-lockdown protests aren't just an American thing. They're a global
- 939 phenomenon. Retrieved from https://www.vox.com/2020/5/20/21263919/anti-lockdown-
- 940 protests-coronavirus-germany-brazil-uk-chile
- 941 West, J., Davis, L., Bendezú, R. L., Álvarez Gandía, Y. D., Hughes, K. S., Godt, J., & Peek, L.
- 942 (2022). Principles for collaborative risk communication: Reducing landslide losses in Puerto

- 943 Rico. Journal of Emergency Management (Weston, Mass.), 19(8), 41–61.
- 944 https://doi.org/10.5055/jem.0547
- 945 Wood, M. M., Mileti, D. S., Kano, M., Kelley, M. M., Regan, R., & Bourque, L. B. (2012).
- 946 Communicating Actionable Risk for Terrorism and Other Hazards\*. Risk Analysis, 32(4),
- 947 601–615. <a href="https://doi.org/10.1111/j.1539-6924.2011.01645.x">https://doi.org/10.1111/j.1539-6924.2011.01645.x</a>
- 948World Meteorological Organization. (2022, July 11). Early Warnings for All Action Plan
- 949 unveiled at COP27. https://public.wmo.int/en/media/press-release/early-warnings-all-action-
- 950 plan-unveiled-cop27
- 951 Yamanis, T. (Nina). (2020). Clear, consistent health messaging critical to stemming epidemics
- 952 and limiting coronavirus deaths. The Conversation. http://theconversation.com/clear-
- 953 consistent-health-messaging-critical-to-stemming-epidemics-and-limiting-coronavirus-
- 954 <u>deaths-134529</u>
- 955 Yu, A. (2021). Accountability as mourning: Accounting for death in the time of COVID-19.
- 956 Accounting, Organizations and Society, 90, 101198.
- 957 <u>https://doi.org/10.1016/j.aos.2020.101198</u>
- 958 Xu, B. (2017). The Politics of Compassion: The Sichuan Earthquake and Civic Engagement in
- 959 China. Stanford University Press.
- 960 Zimbabwe Democracy Institute. (2021). Public Health Access Monitoring Report April 2021:
- 961 Contradictions in Covid-19 Information Dissemination. Zimbabwe Democracy Institute.
- 962 https://kubatana.net/wp-content/uploads/2021/05/CONTRADICTIONS-IN-COVID19-
- 963 <u>INDORMATION-DISSEMINATION-ZDI-PUBLIC-HEALTH-ACCESS-MONITORING-</u>
- 964 REPORT.pdf

# Table 1: Examples of the 4 models of community-based monitoring of COVID-19 response

96<u>7</u>

/		T ==	T	T	- 1
Monitorial Initiative	Monitorial Location	Monitorial Actors	Monitorial Aims & Actions	URL	Accessed on
Alliance India	India	Community- based outreach workers	Organise community outreach to track and address the distribution of rations, masks, soaps and sanitisers; Organised localised efforts to document cases of stigma and discrimination facing vulnerable populations such as sexual minorities.	https://allianc eindia.org/late st-update/	12/12/2022
G-Watch	The Philippines	Local activists, mostly youth	Organise community-based feedback system and consultation workshops; monitoring of Covid-related entitlement programmes, with a particular aim to improve transparency and accountability in the provisions of relief and vaccine deployment.	https://www.g-watch.org/ne ws-release/time-account-covid-19-response-and-chart-citizen-centered-%E2%80%98new-normal%E2%80%99	12/12/2022
ABAAD	Lebanon	Women activists	Track cases of domestic violence during Covid-19; Organise a campaign to highlight the risks of strict lockdown under the theme of lockdownnotlockup.	https://www.a baadmena.or g/?s=lockdow nnotlockup	11/09/2022
CHS Alliance	Various disaster-or crisis- affected communiti es	International network of humanitarian organisations	Track and combat misinformation through community radios and hotlines; Collect community feedback to ensure Covid-19 related relief provisions reach the most vulnerable.	https://www.c hsalliance.org /get- support/article /covid-19- and-the-chs/	14/10/2022
Zimbabwe Democracy Institute	Zimbabwe	A group of researchers and activists serving as civic society information hub	Identification of irregularities in vaccine deployment including cases of nepotism and favouritism; Monitoring and addressing sources of misinformation; Identifying and exposing alleged cases of corruption in procurement and delivery of health materials such as PPE	https://kubata na.net/2021/0 5/11/contradic tions-in-covid- 19- information- dissemination -zdi-public- health- access- monitoring- report-april- 2021/	14/10/2021

Monitorial	Monitorial	Monitorial			Accessed
Initiative	Location	Actors	Monitorial Aims & Actions	URL	on
World Vision International's 'Citizen Voice and Action'	Various countries in the Global South	Community- based organisations, health outreach workers & citizens	Support deployment of National Vaccine Deployment Plans by identifying and addressing vaccine hesitancy and sharing of information regarding vaccine benefits; Develop community vigilance through formation of local groups to prevent corruption in the use of health resources; Through community outreach activities, provide local communities with the opportunity to provide feedback on health services; Use right to information to promote access to health.	https://www.w vi.org/stories/ coronavirus- health- crisis/light- covid-19- darkness- social- accountability	12/08/2022
SAATHI India	India	Local health activists and advocates	Capture patients' voices to promote the rights of patients in Covid-19 care and treatment; Identification and documentation of exploitation and overcharging by private health providers during Covid-19; Highlight demands for regulation of the Private health sector in the context of COVID-19	https://sathice hat.org/wp- content/uploa ds/2022/04/C ompendium- Patients- voices-during- the- pandemic em ail.pdf	10/09/2022
Good Law Project	UK	Lawyers and and legal experts	Provide legal protection to Covid-19 whistleblowers; Question and challenge the government handling of the Covid-19 response including alleged under-reporting of data related to deaths; Monitor into the government's handling and subcontracting of PPE.	https://goodla wproject.org/p rotections- whistleblower s/	15/10/2022
Kerala Covid- 19 Tracker	India	Health Data Scientists and Advocates	Use citizen science to create an open-access database and a bilingual public-friendly dashboard with daily updates	https://doi.org/ 10.1093/jamia /ocaa203	11/11/2022
Multiple indigenous movements	Brazil	Indigenous Communities and Scientists	Counting Covid-related deaths of indigenous peoples, countering official statistics, together with social media campaigns; Organising court cases against the government.	https://doi.org/ 10.1016/j.worl ddev.2020.10 5222	10/11/2022
Integrity Watch Afghanistan	Afghanista n	Community- based health activists and researchers	Monitor health facilities focused on availability of PPE and related medicines and equipment, availability of health care staff etc; Identify and document disparities in the distribution of Covid-19 related aid packages.	https://integrit ywatch.org/bl og/news/integ rity-watch- survey-health- facilities- need-urgent- attention-to-	11/11/2022

Monitorial	Monitorial	Monitorial			Accessed
Initiative	Location	Actors	Monitorial Aims & Actions	help-fight- covid-19-in- afghanistan/	on
Librarians monitoring Covid-19	Latin America and Caribbean	Group of librarians	Monitor the cases of Covid-19 and the legal and governmental responses; Multi-staged monitoring process that also focused on cases of domestic violence, vaccine hesitancy.	https://crln.acr l.org/index.ph p/crlnews/arti cle/view/2503 9/32930	11/11/2022
Civicus	Various countries in the global South	Global alliance of civil society activists	Documentation of 'solidarity stories' and 'innovation stories' involving various civil society groups across the globe; Tracking abuse of human rights in quarantine facilities and making public use and abuse of government resources; Monitoring and advocating against the growing cases of domestic violence during lockdown.	https://www.ci vicus.org/inde x.php/covid- 19	12/11/2022
International Budget Group South Africa (Asivikelane initiative)	South Africa	Researchers, investigative reporters and community organisers	Monitor disruption in delivery of everyday public services (e.g. availability of water, waste disposal) and social protection programmes for urban slum residents; Preparation of weekly press releases to influence government response and inform the public.	https://internat ionalbudget.or g/covid-19-in- south-africa/	11/11/2022
Taiwan Association for Human Rights	Taiwan	Legal activists	Tracking cases of human rights violations during strict quarantine impositions; Defending right to information; Documenting and challenging violation of privacy rights under contact tracing interventions	https://www.ta hr.org.tw/cont ent/3126	12/12/2022
Treatment Action Campaign	South and Southern Africa	Health activists and researchers	Organising virtual facility monitoring to identify and document disruption in the flow of regular health services; Data-driven consultations and online workshops to support the response to the pandemic	https://www.ta c.org.za/wp- content/uploa ds/TAC- Resilient- Advocacy- Report.pdf	13/12/2022

Monitorial	Monitorial	Monitorial			Accessed
Initiative	Location	Actors	Monitorial Aims & Actions	URL	on
Marked by Covid	USA	Covid-19 survivors and bereaved	Organising Covid-19 survivors and bereaved family members to promote accountability and justice to those who are 'harmed by Covid'; Documenting testimonials of survivors and bereaved families; Organising memorials and vigils; Documenting lessons learned, focused on the experiences of the frontline responders.	https://www.m arkedbycovid. com/	15/12/2022
Institute for Governance Research	Sierra Leone	Researchers and activists	Conduct community-based survey to examine the government's performance in Covid-19, with a focus on how it is observing human rights in pandemic response; Provide feedback to the government	http://igrsl.org/ sierrapoll- feedback-on- 2020-covid- emergency- measures/	12/12/2022
Coronavirus CivicActs Campaign	Nepal (with networks in Nepal, Pakistan, South Africa, Mali, Niger and Nigeria)	Youth Activists	Identifying local and national level Covid-19 related misinformation and rumours and debunking them through credible scientific information; Organising online communication to inform the public, including vulnerable communities such as migrant workers about the Covid-19 response	https://civacts. org/civactsca mpaign/	11/04/2022
Campaign for Accountability	USA	Tech activists and investigative reporters	Documenting and publicising cases of rumours and mininformation about Covid-19 through Big Tech platforms such as Youtube, Apple Podcasts	https://campai gnforaccounta bility.org/?s=C ovid- 19&post_type =documents	18/01/2023

971	
972	Figure Caption List
973	
974	Figure 1: Study Process/Methodology in Brief
975	Figure 2: Functional typologies of community-based monitoring as an early warning
976	system during COVID-19 responsePage 23
977	
978	
979	
980	
981	
982	
983	
984	