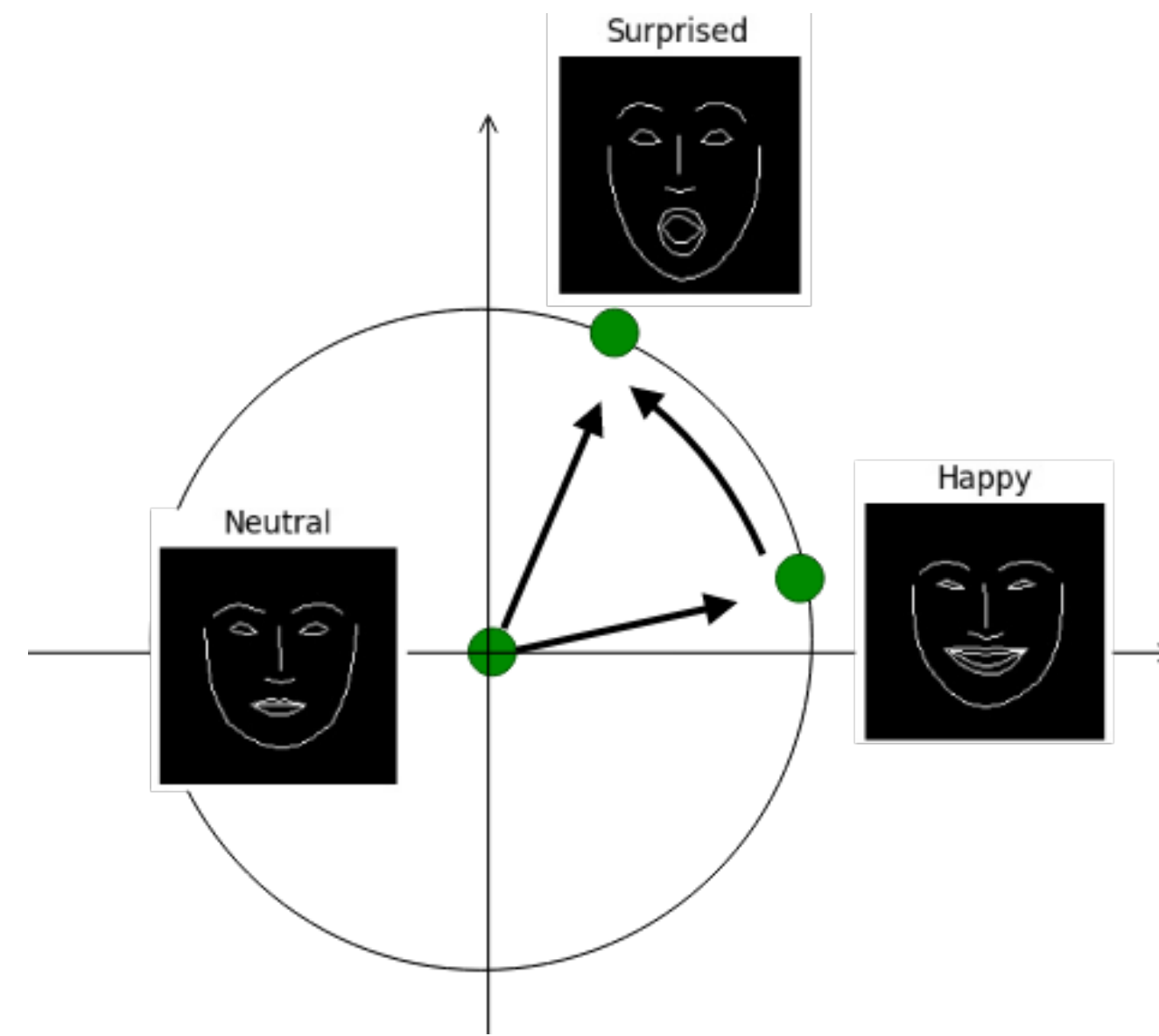


Goal

Transfer an emotion to an input with continuous control



Input space $\mathcal{X} = \mathbb{R}^d$, emotion space $\Theta \subset \mathbb{R}^p$. Build

$$h : \mathcal{X} \times \Theta \mapsto \mathcal{X}, \text{ or equivalently } h : \mathcal{X} \mapsto (\Theta \mapsto \mathcal{X})$$

Empirical Risk $\mathcal{R}_S(h)$

Training samples $(x_{ij}, \theta_{ij}^{\text{out}}, y_{ij})_{i \in [n], j \in S_i}$

- $x_{ij} \in \mathcal{X}$ corresponds to an object with input style $\theta_{ij}^{\text{in}} \in \Theta$
- $y_{ij} \in \mathcal{X}$ is the same object with output style $\theta_{ij}^{\text{out}} \in \Theta$
- For each object $i \in [n]$ we have access to $|S_i|$ style transition pairs $\{(\theta_{ij}^{\text{in}}, \theta_{ij}^{\text{out}})\}_{j \in S_i}$
- $\ell = \frac{1}{2} \|\cdot\|_{\mathcal{X}}^2$ is the square loss

$$\mathcal{R}_S(h) := \frac{1}{n} \sum_{i \in [n]} \frac{1}{|S_i|} \sum_{j \in S_i} \ell \left(\underbrace{h(x_{ij})}_{\text{input object}}, \underbrace{(\theta_{ij}^{\text{out}})}_{\text{output style}}, \underbrace{y_{ij}}_{\text{output object}} \right)$$

Problem Formulation in vv-RKHSs

Extension of kernel methods to handle vector-valued outputs [1].

- $k_{\mathcal{X}} : \mathcal{X} \times \mathcal{X} \rightarrow \mathbb{R}$ and $k_{\Theta} : \Theta \times \Theta \rightarrow \mathbb{R}$ two scalar-valued kernels

- $\mathbf{A} \in \mathbb{R}^{d \times d}$ encoding similarities in the outputs

- $G = k_{\Theta} \mathbf{A}$, $K = k_{\mathcal{X}} \text{Id}_{\mathcal{H}_G}$ so that $h : \mathcal{X} \mapsto \underbrace{(\Theta \mapsto \mathcal{X})}_{\in \mathcal{H}_K}$

$$\min_{h \in \mathcal{H}_K} \mathcal{R}_S(h) + \frac{\lambda}{2} \|h\|_{\mathcal{H}_K}^2, \quad \lambda > 0 \quad (1)$$

Optimization

Lemma 1 (Representer) Problem (1) has a unique solution \hat{h} and it takes the form

$$\hat{h}(x)(\theta) = \sum_{i=1}^t \sum_{j=1}^m k_{\mathcal{X}}(x, x_i) k_{\Theta}(\theta, \theta_{ij}) \mathbf{A} \hat{c}_{ij}, \quad \forall (x, \theta) \in \mathcal{X} \times \Theta$$

for some coefficients $\hat{c}_{ij} \in \mathbb{R}^d$ with $i \in [t]$ and $j \in [m]$.

- t depends on the number of style transition pairs $\{(\theta_{ij}^{\text{in}}, \theta_{ij}^{\text{out}})\}_{j \in S_i}$.
- (Almost) Closed-form Solution:** Reshaping the coefficients in a matrix $\hat{\mathbf{C}} \in \mathbb{R}^{tm \times d}$ yields the Sylvester equation

$$\mathbf{K} \hat{\mathbf{C}} \mathbf{A} + tm \lambda \hat{\mathbf{C}} = \mathbf{Y}$$

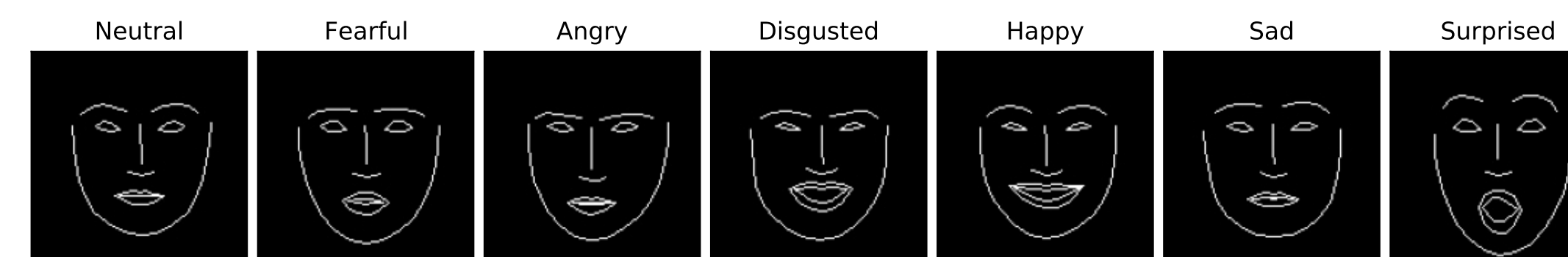
where $\mathbf{K} \in \mathbb{R}^{tm \times tm}$ is the gram matrix of the problem.

When $\mathbf{A} = \mathbf{I}_d$ (identity matrix of size $d \times d$), the solution is analytic:

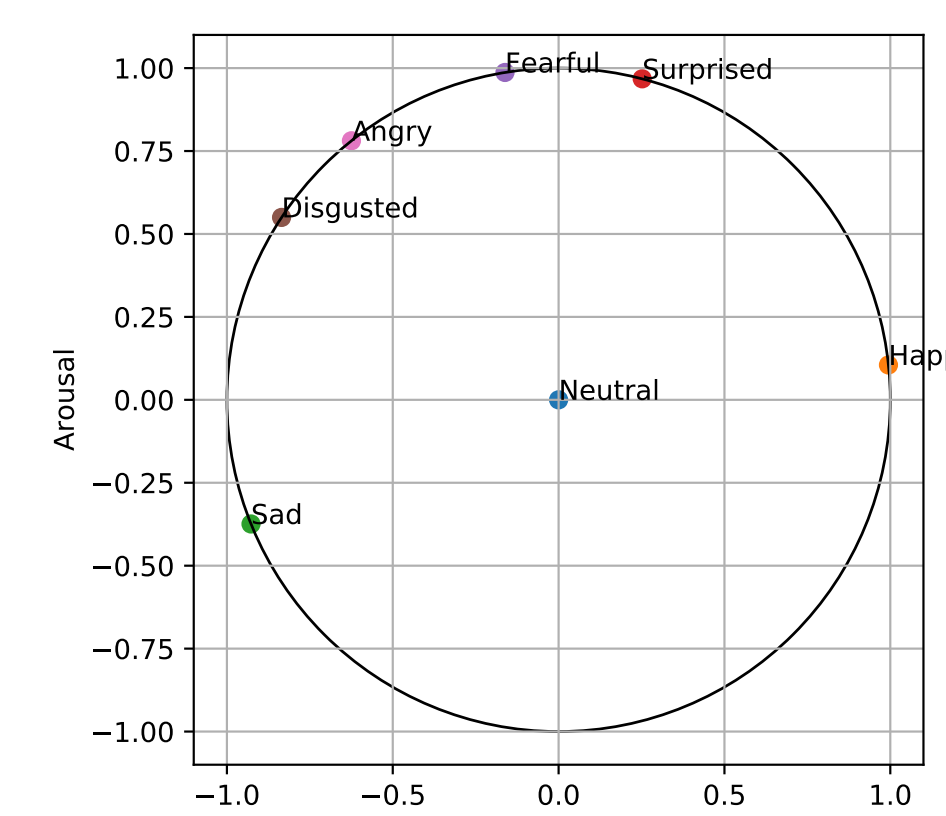
$$\hat{\mathbf{C}} = (\mathbf{K} + tm \lambda \mathbf{I}_{tm})^{-1} \mathbf{Y}.$$

Representation Choices

- Extract landmarks from face images (KDEF, RaFD datasets), $\mathcal{X} = \mathbb{R}^{136}$



- Represent emotions on a compact space $\Theta \subset \mathbb{R}^p$, e.g. *Valence-Arousal* representation when $p = 2$ [2].



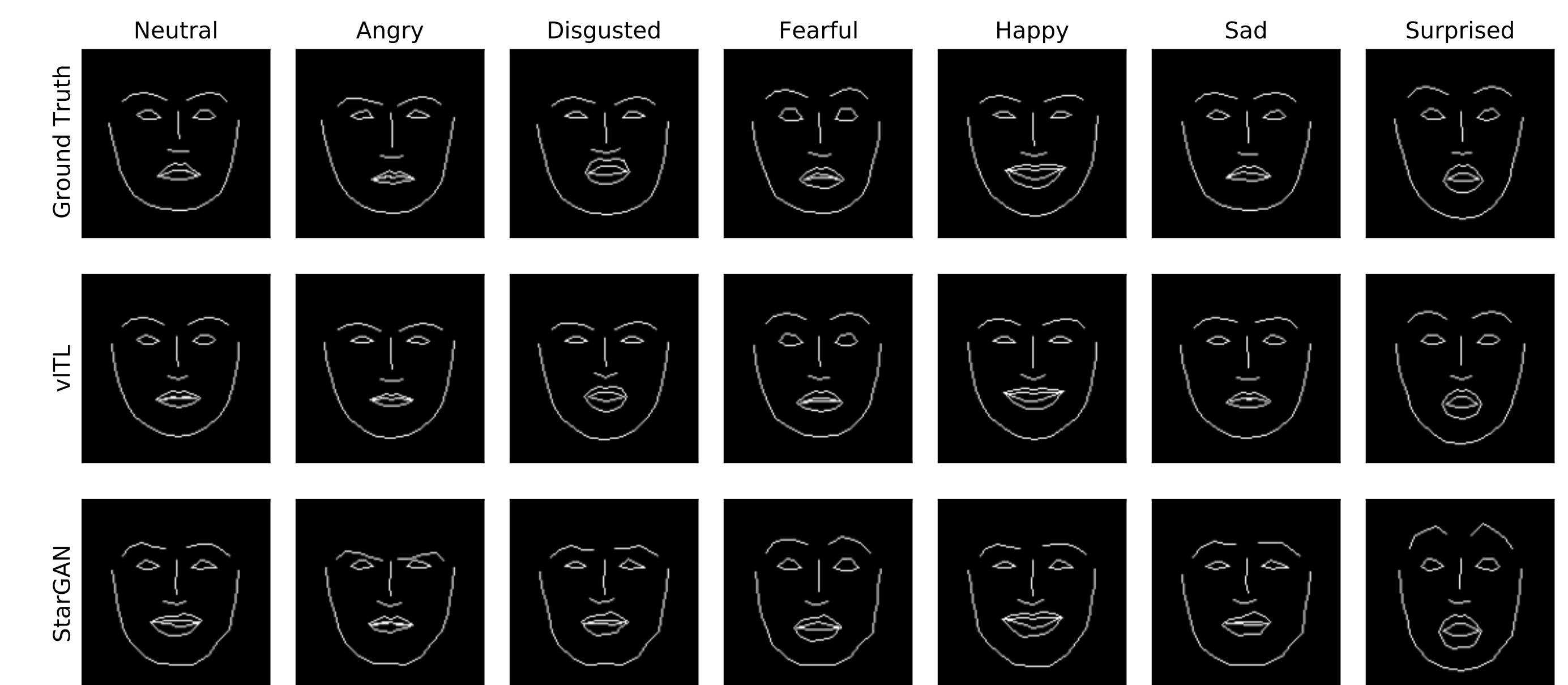
Quantitative Results

Comparison of vITL (ours) against StarGAN [3] in MSE on test set. Two scenarios depending on the observed θ^{in} : single emotional input θ_0 or joint model.

Experimental setup: $k_{\mathcal{X}}$, k_{Θ} are Gaussian kernels, $\mathbf{A} = \mathbf{I}_d$, kernel parameters and λ chosen through cross-validation.

Methods	KDEF frontal	RaFD frontal
vITL: $\theta_0 = \text{neutral}$	0.010 \pm 0.001	0.009 \pm 0.004
vITL: $\theta_0 = \text{fearful}$	0.010 \pm 0.001	0.010 \pm 0.005
vITL: $\theta_0 = \text{angry}$	0.012 \pm 0.002	0.010 \pm 0.005
vITL: $\theta_0 = \text{disgusted}$	0.012 \pm 0.001	0.010 \pm 0.004
vITL: $\theta_0 = \text{happy}$	0.011 \pm 0.001	0.010 \pm 0.004
vITL: $\theta_0 = \text{sad}$	0.011 \pm 0.001	0.009 \pm 0.004
vITL: $\theta_0 = \text{surprised}$	0.010 \pm 0.001	0.011 \pm 0.006
vITL: Joint	0.011 \pm 0.001	0.007 \pm 0.001
Landmark-StarGAN	0.029 \pm 0.003	0.024 \pm 0.007

Qualitative Results



- Given a face x , able to generate the trajectory $\theta \rightarrow \hat{h}(x)(\theta)$.

Code Available

https://github.com/allambert/torch_itl

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