1	Emerging evidence on effectiveness of COVID-19 vaccines among residents of long-			
2	term care facilities			
3	Maximilian Salcher-Konrad ^{1*} , Sian Smith ¹ , Adelina Comas-Herrera ¹			
4				
5	¹ Care Policy and Evaluation Centre, Department of Health Policy, London School of			
6	Economics and Political Science			
7				
8	* Corresponding author:			
9	m.salcher@lse.ac.uk			
10	London School of Economics and Political Science			
11	Houghton Street			
12	London WC2A 2AE			
13	United Kingdom			
14				
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Emerging evidence on effectiveness of COVID-19 vaccines among residents of long term care facilities

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30 To the Editor:

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32 The development and deployment of several COVID-19 vaccines within a little over a year 33 after the pandemic started is seen as a success story in high-income countries. However, 34 evidence on the effectiveness of the various vaccine candidates among users of long-term 35 care (LTC) services was missing at the time of market entry. Given the disproportionate 36 mortality burden carried by this population throughout the pandemic, it is important to 37 understand whether vaccines protect the often frail and vulnerable users of LTC from 38 infection and severe outcomes. We aimed to monitor and summarise emerging evidence on 39 the effects of COVID-19 vaccines in LTC users.

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41 Methods

We conducted weekly searches of one academic literature database (MEDLINE via PubMed) between 22 February and 11 May 2021 to identify any original research articles reporting on the effect of COVID-19 vaccines in users of LTC. Eligible studies either focused solely on LTC or reported data separately for LTC users. We also searched two additional databases on 11 May 2021 (Web of Science; CINAHL Plus). We extracted key findings from included studies and summarised them narratively. This was a pragmatic and rapid review to monitor emerging evidence. We did not register a protocol for this work.

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50 Results

51 We identified 17 studies reporting on effects of COVID-19 vaccines in LTC users (including 52 five pre-prints). All studies were conducted in institutional care facilities and none reported 53 on community or home-based care.

Studies reporting estimates of vaccine effectiveness are summarised in the Table. Large cohort studies from England and Denmark (not yet peer-reviewed) estimated vaccine effectiveness against infection at 60% or higher four weeks or more after the first dose,¹ or one week after the second dose.² These results are not directly comparable due to different intervals between first and second doses in the two countries. Two smaller studies found similar levels of protection against infection, and one also showed protection from severe outcomes (Table).^{3,4}

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63 The impact of vaccination campaigns was assessed in four ecological studies (three from 64 the US, one from Spain). Exploiting natural variation in vaccine rollout, two studies found 65 statistically significant lower rates of new infections among residents of LTC facilities in the 66 weeks following the start of vaccination drives compared to what would be expected without vaccinations, although evidence of the impact on death rates was less consistent.^{5,6} Another 67 study found decreased risk of infections once 50% of residents in a facility had received their 68 69 first dose, although the effect varied over time.⁷ A Spanish study estimated COVID infections 70 and deaths were reduced by three-quarters once 70% of LTC residents were fully vaccinated.8 71

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Four studies documented breakthrough infections among vaccinated LTC residents. Three studies from Germany, Northern Ireland, and the US reported substantial outbreaks despite high first-dose vaccination rates of residents, with attack rates between 18% and 34% in affected facilities.^{3,9} Potential for breakthrough infections in fully vaccinated residents (i.e., infection occurred more than two weeks after administration of the second dose) was reported in studies from the US and Northern Ireland.^{4,10,11} Viral load in vaccinated, infected residents may be smaller compared to unvaccinated residents.¹²

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Five studies investigated immune response among LTC users, consistently showing higher
antibody levels in residents with prior infections. In residents without prior infections, immune

- 83 response may be insufficient for approximately half of residents after the first dose,^{13–15} and
- ⁸⁴ for some even after the second dose.¹⁶ Those with prior infection also had higher antibody
- 85 levels after the second dose, but other characteristics (including frailty and cognitive
- 86 impairment) were not associated with different antibody levels.¹⁷
- 87
- 88 Table: Studies of vaccine effectiveness in LTC users

Study	Vaccine	Study overview	Vaccine effectiveness (VE)
(country)	studied		estimates
Britton et al. ³	BioNTech /	Outbreak report after	VE against infection after 1 st
(United	Pfizer	breakthrough infections; 2	dose: 63% (95% CI = 33-79).
States)		facilities (463 residents,	
		81% had at least 1 dose).	
Cavanaugh et	BioNTech /	Outbreak report after	VE among fully vaccinated
al.⁴ (United	Pfizer	breakthrough infections; 1	residents (>14 days after 2 nd
States)		facility (83 residents, 90%	dose):
		had 2 doses).	against infection 66% (95%
			CI 41–81);
			against symptomatic illness
			87% (95% CI 66–95);
			against death 94 (95% Cl
			45–99)
Rask-	BioNTech /	Cohort study; 39,040	No protective effect against
Moustsen	Pfizer	residents at LTC facilities	infection after 1 st dose.
Helms et al. ¹		(95% vaccinated with at	VE against infection after 2 nd
(Denmark)		least 1 dose).	dose: 52% (95% CI 27-69)
			after 0-7 days, and 64%

			(95% CI 14-84) beyond 7
			days.
Shrotri et al. ²	Oxford/	Cohort study; 10,412	VE against infection after 1 st
(England)	AstraZeneca	residents at LTC facilities	dose: 56% (95% CI 19-76) at
	and Pfizer/	(88% vaccinated with at	28-34 days; 62% (95% Cl 23-
	BioNTech	least 1 dose)	81) at 35-48 days.

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90 Discussion

91 Following the widespread rollout of vaccinations in LTC facilities, there is now a growing

92 body of evidence on the effectiveness of COVID-19 vaccinations in these populations.

93 Studies range from providing evidence of vaccine effectiveness at the individual level, facility

94 level and documenting immune response.

95

96 These studies fill a gap that was left by the large registration trials of COVID-19 vaccines,

97 which systematically excluded older and frail people.¹⁸ While narrow inclusion criteria may

98 have helped speed up the completion of trials, the fact that evidence on vaccine

99 effectiveness in the population most severely hit by the pandemic only emerges now

100 highlights the issue of continued underrepresentation of vulnerable populations in

101 pharmaceutical trials.

102

A key issue in achieving high levels of protection is the take-up of vaccines among LTC staff.
While not covered in this evidence summary, we are aware of quantitative and qualitative
work in this area that aims to better understand willingness to be vaccinated and barriers to
achieve high levels of uptake.

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