Using apps for contact tracing in response to COVID-19: the controversies

With the government repeatedly claiming that it is being guided by science, questions about the range of academic disciplines represented on the government’s Scientific Advisory Group for Emergencies (SAGE) have begun to emerge alongside reports that the government is seeking to broaden the range of academic fields represented. A shadow version of SAGE has also been created with different experts and a different approach to the transparency of its work.

Writing in the context of political ecology, Bruno Latour reminds us that “every [ecological] crisis opens up a controversy among experts, and these controversies generally preclude the establishment of a common front of indubitable matters of fact that politicians could subsequently use in support of their decisions” – highlighting the risks of ‘short circuiting’ the debates about science and society.

For the UK’s COVID-19 response early controversies have included the utility of using ‘herd immunity’ to fight the virus, and ‘nudge theory’ to encourage behaviours that reduce the risk of infection.

These issues are not institutionalised scientific facts. Rather, using Latour’s terms, they are potential new entities which leave those who are discussing them perplexed. They are subject to scientific trials whose outcomes are uncertain. For COVID-19 the perplexities include whether there are links with air pollution, altitude, genetic factors or smoking. Politically desirable “matters of fact” do not yet exist.

Similarly, claims about the proportion of the UK population likely to have been infected have been challenged as being based on “murky data” or having no empirical justification.

For Latour, these perplexities mean that politics can no longer short circuit “any and all questioning as to the nature of the complex bonds between the science and societies, through the invocation of science as the only salvation from the prison of the social world”. Instead, it must enter a due process of consultation around the values society wishes to apply given the perplexities. Despite originating in the study of ecological science, I have found this perspective for considering the due process around scientific perplexities and social values helpful for also considering technological questions especially in relation to privacy issues.

Given that viruses can move from host to host if they are in close proximity for a period of time, contact tracing is used to identify people who may have been exposed to individuals who are found to have been infected. Historically this would only be done manually (“Where did you go today?” or “Who did you speak to?”) with at risk individuals then being contacted and advised to take remedial measures such as self-isolating.
Technological solutions have been proposed to complement manual contact tracing, particularly around the issue of identifying individuals who have been in close proximity with infected individuals. Some solutions are relatively low-tech and can be based on a feature phone (for example, by triangulating the phone’s location) from the cell towers it has connected to) or recording who ‘checks-in’ to particular locations such as businesses, parks and train stations.

The UK and elsewhere have plans to involve the use of smartphones and, in particular, Bluetooth Low Energy (BLE) tracking to spot phones (and their owners) that are nearby, not least because proximity tracing is about who has been close to an infected individual rather than, necessarily, where the contact took place.

In this context, a number of technological choices exist with each choice introducing its own perplexities around the likelihood that individuals will adopt and use the technology in their daily lives and the potential societal implications, including data protection, privacy and discrimination, that can arise.

For example, the partnership between Google and Apple to build BLE tracking capabilities into their phone operating systems explicitly acknowledges the growing concerns around the privacy of personal health data and they have explicitly designed their system to operate in a decentralised manner as well as periodically changing the device-ID that is shared with nearby phones.

In contrast, some countries want central databases for their apps. For example, the UK proposals appear to involve a persistent identifier which is shared with the National Health Service when the app is first set up. The app will then start logging the distance between the phone and other phones nearby that also have the app installed and in operation. The resulting log of how close an individual is to others will be stored securely on the phone. If the phone owner becomes ill with symptoms of COVID-19 then they can allow the app to inform the NHS. The central server will then trigger an alert to those other app users who have come into significant contact with the unwell person. The NHS believes that there are important public health benefits to its centralised approach, including the ability to easily tailor the alerts in light of evolving scientific understanding (e.g. about proximity distances and durations) even if other factors (such as weather, and walls between phones) cannot be assessed and risk generating false positives and associated self-isolation recommendations.

The absence of “matters of fact” about which approach will be the most effective in using technology to help address the spread of the coronavirus has also resulted in differences within the European consortium developing Pan-European Privacy-Preserving Proximity Tracing (PEPP-PT) community. As a consequence the work “now considers two privacy-preserving approaches: ‘centralised’ and ‘decentralised’.”

The choice of architecture may well also influence the take up of the chosen app, with experience from countries like Australia suggesting that take up is often low (currently less than 20% of the population) when scientific models are suggesting that take up levels of 80% of smartphone users (or 60% of the population) are needed for the UK. Even the most popular apps, such as WhatsApp, have not reached these 80% smartphone penetration levels.

If app-based contact tracing is to be an effective element of our response to the coronavirus, it cannot evoke “science” to justify the design choices made by the app developers. Instead, there must be a fuller articulation of the choices made and the evidence used to support those choices, to allow society to have a fuller consultation about how it wants to respond to the virus. A useful, but not necessarily perfect, first step will be the full disclosure of the data protection and security impact assessments underpinning the apps and a clear statement about what data will be stored, who it will be shared with and what will happen to the data once the worst of the pandemic ends.

Notes:

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