- 1 Detection of microplastics in ambient particulate matter using Raman Spectral
- 2 Imaging and chemometric analysis
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# 9 <u>Abstract</u>

10 Microplastics have been observed in indoor and outdoor air. This raises concern for human exposure, especially should they occur in small enough sizes, which if inhaled, reach the central airway and distal 11 lung. As yet, methods for their detection have not spectroscopically verified the chemical composition 12 13 of microplastics in this size-range. One proposed method is an automated spectroscopic technique, 14 Raman spectral imaging; however, this generates large and complex data sets. This study aims to 15 optimize Raman spectral imaging for the identification of microplastics ( $\geq 2 \mu m$ ) in ambient particulate 16 matter, using different chemometric techniques. We show that Raman spectral images analyzed using chemometric statistical approaches are appropriate for the identification of both virgin and 17 environmental microplastics  $\geq 2 \mu m$  in size. On the basis of the sensitivity, we recommend using the 18 19 developed Pearson's correlation and agglomerative hierarchical cluster analysis for the identification of 20 microplastics in spectral data sets. Finally, we show their applicability by identifying airborne 21 microplastics >4.7  $\mu$ m in an outdoor particulate matter sample obtained at an urban sampling site in 22 London, United Kingdom. This semiquantitative method will enable the procurement of exposure 23 concentrations of airborne microplastics guiding future toxicological assessments.

24

# Supplementary information

This document includes the expanded and additional methodological explanations, figures and tablesproduced in compliment to the original article.

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# Plastic spectral library

polystyrene; and (H) polyvinyl chloride. pigment. (A) copper phthalocyanine; (B) polyamide, (C) polycarbonate; (D) polyethylene; (E) polyethylene terephthalate; (F) polypropylene; (G)



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# 88 Spectral data analysis

## 89 **Pre-processing**

Baseline correction parameters were derived from the mean *lambda* and *p* values assigned to 10 SIs of
a blank aluminium slide. Savitzky-Golay filter parameters were chosen as they were found to
adequately smooth noise, whilst retaining Raman signal.

# 93 Gaussian curve function

94 The pre-selected characteristic Raman bands, indicative of different plastic compositions is shown in95 Table S1.

Reference		7	ო ო ო 4	Ś	6	1
Functional group	$\begin{array}{l} C_{\alpha}-N_{\alpha}-C_{\alpha},C_{1}-N_{\alpha},C_{\beta}-C_{\gamma}-H\\ N_{\alpha}-C_{\alpha}-N_{\beta},C_{\beta}-C_{\gamma}-H,C_{\delta}-C_{\delta}-H\\ C_{\beta}-C_{\gamma}-H,\ C_{\gamma}-C_{\delta}-H\\ C_{\beta}-C_{\beta},C_{\alpha}-C_{\beta}-C_{\beta},C_{\gamma}-C_{\delta},C_{\beta}-C_{\gamma}\\ C_{\alpha}-N_{\beta},N_{\alpha}-C_{\alpha}-C_{\beta},C_{\gamma}-C_{-H}\\ C_{\alpha}-N_{\beta}\\ \end{array}$	vC-CO - wNH2 tCH2 oCH2 oCH2 Amide I (vc=0)	vC-O-C wCH vC-O vC=C	vC-C vC-C tCH2	vC-C (ring), vC-O vC=C (ring)	tCH <sub>2</sub> + vC-C chain tCH <sub>3</sub> + vC-C chain tCH <sub>3</sub> = $\omega$ CH <sub>2</sub> + $\delta$ CH vC-CH <sub>3</sub> + vC-C + $\delta$ CH tCH <sub>2</sub> + $\delta$ CH + vC-C
Full width at half maximum	9.8 9.8 9.4 10.3 8.7 8.2	15.1 10.2 12.8 19 22.1 17.5	15.9 32.9 34.5 24.2	10 10.5 9.7	31.9 22.1	10.4 11.9 9 12.7 11.8
Band energy (cm <sup>-1</sup> )	1109.6 1144.7 1307.6 1343.3 1451.1 1531.6	952.8 1129.1 1233.6 1296.5 1440.9 1634.4	1113.1 1181.2 1235 1605.3	1062.4 1129.1 1294.5	1288.8 1614.6	940.7 972.9 998.9 1037.8 1218.2 1328.5
Maximum energy (cm <sup>-1</sup> )	1123.3 1158.3 1317 1366.4 1467.7 1546.1	972.9 1146.7 1250.8 1324.7 1469.7 1668	1136.5 1204.3 1273.2 1646.3	1074.2 1142.8 1309.7	1330.4 1630.7	952.8 984.9 1012.8 1058.5 1237.4 1347.3
Minimum energy (cm <sup>-1</sup> )	1099.8 1133 1294.3 1328.4 1440.1 1518.9	930.7 1113.5 1220.1 1271.7 1407 1609.3	1093.5 1159.8 1208.2 1571.1	1044.6 1111.6 1279.3	1250.8 1593.1	924.6 954.8 986.9 1191.3 1311.5
	Copper phthalocyanine	Polyamide	Polycarbonate	Polyethylene	Polyethylene terephthalate	Polypropylene

Table S1. Functional group assignment for plastic or pigment spectra contained in the spectral library.

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98	Table S1. (Continue	(pə					
		Minimum energy (cm <sup>-1</sup> )	Maximum energy (cm <sup>-1</sup> )	Band energ (cm <sup>-1</sup> )	y Full width at half maximum	Functional group	Reference
	Polypropylene	1347.3	1369.8	1358.5	9.4	CH3 sym ben $+ \sigma$ CH2	7
	Polystyrene	976.9 1018 8	1016.8 1048 6	1000.9	10 11 3	v(C-C) aromatic ring breathing	×
		1589.5	1620	1602.1	10.4	v(C=C) aromatic ring	
	Polyvinyl	1054.5	1142.8	1101.8	45	v(C-C)	6
	chloride	1146.7	1225.9	1171.9	32.9	$\delta(CH_2)$	9
		1285	1397.7	1322.9	47.1	(CH) out-plane bending	9
		1405.2	1456.9	1429.2	21.5	CH2 deformation	10
99	κ hending. t twisting	·· v stretching. m	wagoing - massi	oned Raman han			

σ, bending; t, twisting; V, stretching; ω, wagging; -, unassigned Raman ban 66

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100 For each analysed Raman band, a raw grey-scale single-point intensity matrix was generated. Impulse 101 noise artefacts in Gaussian-analysed SIs, which are unrelated to the Raman band of interest, were removed using a median filter (11; 12). The filter being a canonical, nonlinear filter, was set to 3 pixels 102 103 by 3 pixels (12). Preliminary analysis found that larger (4 x 4) kernel windows began to remove spectral 104 signal associated with the 2 µm PS microspheres and smaller (2 x 2) kernels were unsuccessful at removing noise. SIs were imported into ImageJ and ICY for image analysis, outlined in image analysis 105 section (13). To accentuate the signal-to-noise, the Gaussian analysed SI underwent Raman band 106 thresholding. Look-up tables were ascribed to individual Raman band SI, to aid distinction between the 107 generated signals. The image intensity for the Raman bands 1000.9 cm<sup>-1</sup> and 1030.4 cm<sup>-1</sup> was set to 192, 108 and to 171 for the band 1602.0 cm<sup>-1</sup>. Image intensity figures were formulated from the average of 10 109 SIs of PS particles, which were appropriately corrected to improve distinction between signal and noise. 110 111 The three Raman band images were merged into a composite image and analysed as outlined in image

analysis section. This was conducted for all plastics in the plastic spectral library (Table S2).

	Band energy (cm <sup>-1</sup> )	Raman band threshold score	Assigned colour palette
Copper	1109.6	90	Green
phthalocyanine	1144.7	150	Blue
	1307.6	50	Grey
	1343.3	250	Cyan
	1451.1	120	Magenta
	1531.6	250	Yellow
Polyamide	952.8	*	-
	1129.1	*	-
	1233.6	*	-
	1296.5	*	-
	1440.9	230.3	Grey
	1634.4	110.2	Cyan
Polycarbonate	1113.1	218	Green
	1181.2	190	Blue
	1235	181	Grey
	1605.3	176	Cyan
Polyethylene	1062.4	199.0	Green
	1129.1	*	-
	1294.5	224	Blue
Polvethylene	1288.8	*	-
terephthalate	1614.6	450	Green
Polypropylene	940.7	395.9	Green
	972.9	487.9	Blue
	998.9	*	-
	1037.8	*	-
	1218.2	504.9	Grey
	1328.5	334.9	Cyan
	1358.5	400	Magenta

**Table S2**. Raman band image thresholding parameters for each plastic or pigment in the spectral library.

#### 114 Table S2. (Continued)

	Band energy (cm <sup>-1</sup> )	Raman band threshold score	Assigned colour palette
Polystyrene	1000.9	192	Magenta
	1030.7	192	Green
	1602.1	171	Blue
Polyvinyl	1101.8	*	-
chloride	1171.9	*	-
	1322.9	*	-
	1429.2	80	Magenta

115 \* denotes Raman bands with a significant overlap with plastics of a differing composition.

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#### Pearson's correlation coefficient

PCC was conducted in python and utilised the python libraries numpy (14), pandas (15), matplotlib (16), and seaborn (17). For PCC analysis the equation used to determine the monotonic relationship between an unknown spectrum in a spectral image and that of a reference spectrum in the spectral library is shown in equation 1-1.

$$r = \frac{n(\Sigma xy) - (\Sigma x)(\Sigma y)}{\sqrt{[n \sum x^2 - (\Sigma x)^2][n \sum y^2 - (\Sigma y)^2]}}$$
Equation 1-1

where r denotes the Pearson's correlation coefficient between x denoting the known plastics reference Raman spectra, and y is the unclassified spectrum in an SI. This equation is iterated over every spectrum in the SI. The colour palette "RdBu\_r" was applied to the PCC analysed SI using the Seaborn library (17).

#### 125 Image analysis

126 The UDWT which produces a multi-resolution representation of an image. A convolution filter smooths the response of too narrow objects at an operator-defined scale (18). Wavelet coefficients for signal 127 generating PM are high and non-significant values represent the background or large structures 128 129 (operator defined; 18). To define the scale-dependent threshold a k-hard threshold technique is used. This image is converted to a coefficient correlation image between the values in the correlation image 130 to a predetermined detection level, which enables the identification of signal from the background (18). 131 132 The mean of all the image channels are processed with the spot detector set to 100% sensitivity for 133 object sizes of ~3 and ~7 pixels and a size filter applied to detect objects from a minimum pixel size of 134 10 to a maximum of 3000. The minimum and maximum pixel size was set to ensure impulse noise and 135 the image background was not included in particle counts.

For the proposed chemometric techniques, differing size determination methodologies for the identifiedmicroplastics were used. The identified positive signal was sized based on pixel number in both the Y

(longest axis), and X (axis perpendicular to longest axis) direction. The number of pixels was converted
to micrometre values for AHCA and PCC using Equation 1-2.

$$s = px * sr$$
 Equation 1-2

140 where s refers to the size of the positive signal ( $\mu$ m) identified by the transect, px is the pixel number,

and sr denotes the spatial resolution of the Raman spectral imaging (RSI) i.e. 1.1 or 2.6.

For gaussian analysis, the line transect plot is converted to a profile plot in ImageJ, which displays the
pixel intensity distribution along the transect line (13). The raw data of the profile plot is fit using the
Gaussian equation shown in equation 1-3 (13).

$$y = a + (b - a) * e - \frac{(x - c)^2}{2d^2}$$
 Equation 1-3

where b refers to the peak amplitude, c is the position of the centre of the peak, and d denotes the
Gaussian root mean squared width (13). Using the Gaussian root mean squared (d) and the Gaussian
FWHM distribution of 2.3555σ, the size of a particulate identified using Gaussian analysis can be
calculated using equation 1-4 (19).

$$s = d * c$$
 Equation 1-4

where *s* refers to the size of the positive signal ( $\mu$ m) identified by the transect, *d* illustrates the Gaussian root mean squared, and *c* refers to the FWHM constant of 2.3555  $\sigma$  (19). Table S3, displays the size measurements obtained from photomicrographs and RSI in both the Y and X direction of the PS microspheres.

Table S3. A comparison of the nominal (photomicrograph) and detected (RSI) size of 2, 4, and 10 μm
 PS microspheres.

Analysis Type	Size (µm)	Bright field	RSI signal size	Bright field	RSI signal size
		microscopy	oscopy in X direction		in Y direction
		determined	(pixel number)	size in Y (µm)	(pixel number)
		size in X (µm)			
AHCA	2	$2.6\pm0.3$	$5.7 \pm 1.4$	$2.15\pm0.4$	$10.7 \pm 1.3$
	4	$4.6\pm0.7$	$9.1 \pm 1.5$	$5.3\pm1.0$	$10.4\pm2.1$
	10	$9.3 \pm 1.6$	$15.0 \pm 2.7$	$10.6 \pm 2.2$	$25.0\pm 6.2$
Gaussian	2	$2.6\pm0.3$	$2.0\pm0.9$	$2.15\pm0.4$	$5.5\pm3.4$
	4	$4.6\pm0.7$	$3.5 \pm 1.1$	$5.3\pm1.0$	$6.7 \pm 2.3$
	10	$9.3\pm1.6$	$8.6 \pm 1.2$	$10.6\pm2.2$	$16.8\pm2.6$
PCC	2	$2.6\pm0.3$	$3\pm0.3$	$2.15\pm0.4$	$8 \pm 1.6$
	4	$4.6\pm0.7$	$5 \pm 1.4$	$5.3\pm1.0$	$12 \pm 1.4$
	10	$9.3\pm1.6$	$13 \pm 1.2$	$10.6\pm2.2$	$26.3\pm2.6$

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The size measurements shown in table S3 highlight the similarity of measurements acquired in the X direction via nominal and detected methods, while nominal and detected measurements in the Y direction greatly overestimate the size of the analysed PS microspheres due to the elongation artefact resulting from line-scanning. Therefore, for sphere like particulates sizing in the X direction willproduce more accurate sizes.

161 Not only does the direction of measurement influence the observed sizes, but so too does the utilised 162 chemometric technique. The sizes observed following the application of Gaussian and PCC 163 chemometric techniques were closer to the nominal- and photomicrograph-derived PS microsphere 164 sizes than AHCA.

# 165Performance analysis166Confusion matrix

167 The Confusion Matrix utilised the performance metrics: precision and recall. Precision (P) is the 168 proportion of true positives (tp) divided by the total number of positive elements i.e. low precision 169 indicates a high number of false positive (fp) classifications (Equation 1-5; 20).

$$P = \frac{tp}{(tp+fp)}$$
 Equation 1-5

170 Recall (*R*) is the proportion of true positives divided by the total number of positive class elements i.e. 171 a high recall infers a high number of true positives, while a low recall infers a high number of false 172 negatives (fn) (Equation 1-6; 20).

$$R = \frac{tp}{(tp + fn)}$$
 Equation 1-6

173  $F_{\beta}$  measure has been utilised to compare the prediction accuracy of the proposed chemometric 174 techniques (21).  $F_{\beta}$  measure obtains the weighted mean of precision and recall, where +1 refers to a 175 maximal similarity and 0 indicates a dissimilarity between the observation and prediction result for the 176 chemometric technique. The  $\beta$  value determines the weight given to precision or recall results i.e. the 177 greater  $\beta$  value the more importance is attributed to precision (13;22). As the precision of the proposed 178 chemometric techniques was deemed of increased importance  $\beta$  was set to 0.95 (Equation 1-7).

$$F_{\beta} = \frac{1}{\left(\left(0.95 * \left(\frac{1}{P}\right)\right) + \left((1 - 0.95) * \left(\frac{1}{R}\right)\right)\right)}$$
Equation 1-7

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#### Preparation of PS microsphere working samples

181 The PS microspheres were procured from the Spherotech Inc or Sigma at 5 or 10% weight per volume 182 concentrations, respectively. The approximate particle number for each size range was calculated from 183 the weight per volume concentrations using equation 1-8.

$$p/mL = \frac{S * (6 * 10^{10})}{\pi * p_p * D^3}$$
 Equation 1-8

184 where p/mL denotes the microsphere number/mL for suspensions in water, S weight % of solids in suspension for 10% S equates to 10,  $p_p$  is the microsphere density (g/cm<sup>3</sup>) i.e. 1.055 for PS, and  $\phi$  is 185 the mean diameter (µm). This calculation determined the particle per mL concentration to be 1.81 \* 186  $10^{11}$ , 2.26 \*  $10^{10}$ , 1.26 \*  $10^{9}$ , and 1.81 \*  $10^{8}$  per mL for 1, 2, 4, and 10 µm PS microspheres, respectively. 187 The 2, 4, and 10 µm PS microspheres were diluted 1 in 100 000, 1 in 10 000, and 1 in 1 000 to generate 188  $2.26 \times 10^5$ ,  $1.26 \times 10^5$ ,  $1.81 \times 10^5$  per mL concentrations. From these diluted samples 44.2, 79.4, and 189 55.2 µL aliquots were acquired and resuspended in EtOH to generate 1 x 10<sup>4</sup> microspheres/mL 190 191 concentrations. A further 1 in 10 dilution was conducted on such working samples  $(1 \times 10^4)$ 192 microspheres/mL) and a 10 µL aliquot was obtained, dispensed into a haemocytometer chamber and 193 counted under a microscope. To control for pipetting fluctuations haemocytometer counts were conducted thrice for each microsphere size and the precision of the dilution series was displayed using 194 195 the standard deviation from the mean particle counts. The results for which are presented in the Table 196 1 as expected concentrations illustrating the mean and standard deviation of the three microsphere counts. The expected concentrations are the back-calculated values from the haemocytometer counts 197 198 which represent the spiked particle number concentration.

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#### PS spiked ambient particulate matter sample preparation

200 Due to the dried drop cast's area being relatively large and concerns over file size, the SI was acquired 201 in 6 separate units at  $\sim$ 2.6 µm spatial resolution. The Raman scan duration and dimensions of each SI 202 unit are shown in Table S4. Each individual SI unit once analysed was tiled together to generate a 203 sample wide SI. The Raman scan duration and dimensions of each SI captured at  $\sim$ 2.6 µm spatial 204 resolution is displayed in Table S4.

**Table S4.** The image parameters i.e. size (X and Y), spectra number, scan duration, and analysis time for SIs obtained  $\sim 2.6 \,\mu m$  spatial resolution of the spiked ambient particulate matter sample.

RSI section number	X dimension (µm)	Y dimension (µm)	Number of spectra	Scan duration (hours)	Analysis	time (min)	
					AHCA	Gaussian	PCC
1	3848	540.8	307 840	8	5	11	18
2	3835	1003.6	569 350	10	6	46	31
3	5005	1003.6	743 034	13	39	49	27
4	5005	1003.6	743 050	13	31	82	37
5	5005	1003.6	743 050	13	16	34	13
6	4204.2	803.4	499 653	9	29	47	15

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208 The Raman scan duration and dimensions of each SI captured at  $\sim$ 1.1 µm spatial resolution is displayed 209 in Table S5.

RSI section number	X dimension (µm)	Y dimension (µm)	Number of spectra	Scan duration (hours)	Analysis	s time (min)	
				( )	AHCA	Gaussian	PCC
1	641.3	821.7	435 501	11	1064	44	11
2	829.4	662.2	453 908	11	450	39	15
3	828.3	790.9	541 407	13	713	91	14
4	668.8	788.7	435 936	10	684	53	11
5	662.2	754.6	412 972	10	849	20	12
6	699.6	883.3	510 702	12	604	64	14

**Table S5.** The image parameters i.e. size (X and Y), spectra number, scan duration, and analysis time

for SIs obtained at  $\sim 1.1 \,\mu m$  spatial resolution of the spiked ambient particulate matter sample.

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For AHCA there was a marked increase in the analysis time for RSI obtained at  $\sim 1.1 \,\mu\text{m}$  in comparison to  $\sim 2.6 \,\mu\text{m}$  spatial resolution (Table S4, S5), this is hypothesized to be due to an increased complexity

215 of contained spectral information.

## 216 Sample wide concentration determination for ~1.1 μm spectral images

The PS microspheres and environmental microplastics identified in the SIs obtained at  $\sim 1.1 \,\mu m$  spatial resolution were back calculated to produce sample-wide particle concentrations using equation 1-9.

$$N = sf * \overline{N}$$
 Equation 1-9

219 where *sf* is the scaling factor determined by  $sf = \frac{100}{sA}$  where *sA* denotes the scanned area defined by 220  $sA = \frac{\left(\frac{s}{st}\right)*100}{N}$  where *s* refers to the total scanned area in ~1.1 µm SIs, *st* is the total sample area, *N* is 221 the number of SIs acquired, and  $\overline{N}$  is the mean particle number across the analysed SIs. The standard 222 deviation of N is determined using Equation 1-10.

 $\sigma = sf * \overline{\sigma}$  Equation 1-10

223 where sf is the scaling factor, and  $\bar{\sigma}$  is the standard deviation of the particle counts across every SIs.

## 224 Airborne microplastic concentration

The number of spectroscopically identified airborne microplastics was back calculated to estimate a sample-wide concentration. The extracted subsample used for preparing the ambient spiked PM sample (312 μg), represented 37.1% of the original 24-hr PM<sub>10</sub> sample mass (841.68 μg). To extrapolate microplastic counts to the whole sample equation 1-9 was used.

## MP = sMP \* dc

where MP denotes the sample wide concentration of airborne microplastics, sMP refers to the subsample microplastic particle number, and dc is the dilution constant of 2.69.

231 The chemometric techniques identification rates for microplastics in simple spectral images (SI)

- obtained at ~1.1 and ~ 2.6  $\mu$ m spatial resolution were compared using a confusion matrix (Table S6).
- 233 Table S6. Confusion matrix analysis i.e. precision and recall, of the proposed chemometric techniques
- 234 was conducted based on classification rates of spectral images (SI) of the different sized microspheres,
- EtOH evaporates, and the aluminium slide (blank). SIs of 4 and 10 µm PS microspheres were obtained
- at ~2.6 µm and SIs of 1, and 2 µm PS microspheres were obtained at ~1.1 µm. The SI were analysed
- for the presence of polystyrene's Raman spectrum or it's associated Raman bands.

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(n=30) acquired using Raman Spectral Imaging, annoated for the presence of the PS associated Raman
bands 1000.9 cm<sup>-1</sup> (magenta), and 1030.7 cm<sup>-1</sup> (blue). The Raman band at 1602.1 cm<sup>-1</sup> is completely
suppressed and not present in the Raman spectrum.

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Figure S7. Raman spectral images of environmental microplastics dried on to an aluminium slide. (A)
A micrograph of environmental microplastics dried on to an aluminium slide (scale bar: 1000 μm). The
approximate SI area is outlined in red. PCC analysis identified polyethylene (B), copper phthalocyanine
(C), polypropylene (D), and polystyrene (E). The pixels shown in red, refer to positive correlations,
while blue denotes the corrected negative or independent correlations (0; Scale bar: 250 μm).



Figure S8. Raman spectral images of environmental microplastics dried on to an aluminium slide. (A) A micrograph of environmental microplastics dried on to an aluminium slide (scale bar: 1000 μm). The approximate SI area is outlined in red. Gaussian analysis for Raman bands in a plastic or pigments spectra are interlaced based on composition, a pixel intensity threshold was applied to accentuate areas of positive signal (Table S2). Identified microplastics were composed of polyethylene (B), copper phthalocyanine (C), polypropylene (D), and polystyrene (E). The plastic related Raman bands used are present in Table S2. Scale bar: 250 μm.

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Figure S9. Raman spectral images of environmental microplastics dried on to an aluminium slide. (A) A micrograph of environmental microplastics dried on to an aluminium slide (scale bar: 1000 µm). The approximate SI area is outlined in red (A; scale bar: 1000 µm). Agglomerative Hierarchical Cluster Analysis of a Raman spectral image containing environmental microplastics deposited on an aluminium slide. The spectral clusters identified as having a plastic associated Raman spectrum were imaged and ascribed a colour lookup table, where yellow shows the presence and black the absence of a plastics Raman spectrum. The identified microplastics were composed of polyethylene (B), copper phthalocyanine (C), polypropylene (D), and polystyrene (E). Scale bar: 250 µm.





Figure S11. Size distribution of identified environmental airborne microplastics. The kernel density
estimate, illustrated as the line plot, demonstrates the probability density per particle size bin.

411	Table S7.	. Environn	nental mici	oplastics	identified	in the S	piked PS	ambient sam	ple.
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Plastic type	Count	Sample-wide microplastic concentration (n/m <sup>3</sup> )	Mean Size (µm)	Size range (µm)
Polyethylene	220	2467.9	$11.0\pm5.0$	4.7 - 40.9
Polypropylene	2	22.4	$13.7\pm5.2$	10.0 - 17.4
Polyethylene terephthalate	1	11.2	22	-
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