Embedding graphs in Euclidean space^{*}

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Abstract

The dimension of a graph G is the smallest d for which its vertices can be embedded in ddimensional Euclidean space in the sense that the distances between endpoints of edges equal 1 (but there may be other unit distances). Answering a question of Erdős and Simonovits [Ars Combin. 9 (1980) 229–246], we show that any graph with less than $\binom{d+2}{2}$ edges has dimension at most d. Improving their result, we prove that the dimension of a graph with maximum degree d is at most d. We show the following Ramsey result: if each edge of the complete graph on 2d vertices is coloured red or blue, then either the red graph or the blue graph can be embedded in Euclidean d-space. We also derive analogous results for embeddings of graphs into the (d-1)-dimensional sphere of radius $1/\sqrt{2}$.

Keywords: Unit distance graph, graph representation, graph dimension

1 Introduction

Definition 1. A graph G = (V, E) is a *unit-distance graph* in Euclidean space \mathbb{R}^d , if $V \subset \mathbb{R}^d$ and

$$E \subseteq \{(x, y) : x, y \in V, |x - y| = 1\}.$$

(Note that we do not require the edge set of a unit distance graph to contain all unit-distance pairs.) We say that a graph G is *realizable* in a subset X of \mathbb{R}^d , if there exists a unit distance graph G' in \mathbb{R}^d on a set of vertices $X_0 \subset X$, which is isomorphic to G. We will use this notion for $X = \mathbb{R}^d$ and for $X = \mathbb{S}^{d-1}$, where \mathbb{S}^{d-1} is the sphere of radius $1/\sqrt{2}$ with center in the origin.

Erdős, Harary and Tutte [EHT65] introduced the concept of Euclidean dimension dim G of a graph G.

Definition 2. The Euclidean dimension dim G (spherical dimension dim_S G) of a graph G is equal to the smallest integer k such that G is realizable in \mathbb{R}^k (on $\mathbb{S}^{k-1} \subset \mathbb{R}^k$).

Erdős and Simonovits [ES80] showed that if G has maximum degree d then dim $G \leq \dim_S G \leq d+2$. In Theorem 1 we improve this result.

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Theorem 1. Let $d \ge 1$ and let G = (V, E) be a graph with maximum degree d. Then G is a unit distance graph in \mathbb{R}^d except if d = 3 and G contains $K_{3,3}$.

We also show the following simple result.

Proposition 2. Let $d \ge 2$. Any graph G = (V, E) with maximum degree d - 1 has spherical dimension at most d.

Definition 3. Let f(d) denote the least number for which there is a graph with f(d) edges that is not realizable in \mathbb{R}^d .

There are some natural upper bounds on f(d). It is well known that K_{d+2} is not realizable in \mathbb{R}^d (see also Lemma 14), hence $f(d) \leq \binom{d+2}{2}$. It is also well known that $K_{3,3}$ cannot be realized in \mathbb{R}^3 (since three unit spheres in \mathbb{R}^3 intersect in at most two points), which gives $f(3) \leq 9 < \binom{3+2}{2}$. In [ES80], Erdős and Simonovits asked if $f(d) = \binom{d+2}{2}$ for d > 3. House [Hou13] proved that f(3) = 9, and that $K_{3,3}$ is the only graph with 9 edges that can not be realized in \mathbb{R}^3 . Chaffee and Noble [CN16] showed that $f(4) = \binom{4+2}{2} = 15$, and there are only two graphs, K_6 and $K_{3,3,1}$, with 15 edges that can not be realized in \mathbb{R}^4 as a unit distance graph. Recently, they showed [CN17] that $f(5) = \binom{5+2}{2} = 21$, and that K_7 is the only graph with 21 edges that cannot be realized in \mathbb{R}^5 as a unit distance graph. We answer the above-mentioned question of Erdős and Simonovits as part of the following result.

Theorem 3. Let d > 3. Any graph G with less than $\binom{d+2}{2}$ edges can be realized in \mathbb{R}^d . If G moreover does not contain $K_{d+2} - K_3$ or K_{d+1} , then it can be realized in \mathbb{S}^{d-1} .

It is necessary to forbid $K_{d+2} - K_3$ and K_{d+1} in the second statement of the above theorem as they cannot be realized in \mathbb{S}^{d-1} ; see Lemma 14.

Ramsey-type questions about unit distance graphs have been studied by Kupavskii, Raigorodskii and Titova [KRT13] and by Alon and Kupavskii [AK14]. In [AK14] the first of the following quantities was introduced.

Definition 4. Let $f_D(s)$ denote the smallest possible d, such that for any graph G on s vertices, either G or its complement \overline{G} can be realized as a unit distance graph in \mathbb{R}^d . Similarly, we define $f_{SD}(s)$ to be the smallest possible d, such that for any graph G on s vertices, either G or its complement \overline{G} can be realized as a unit distance graph in \mathbb{S}^{d-1} .

In [AK14] it is shown that $f_D(s) = (\frac{1}{2} + o(1))s$. We determine the exact value of $f_{SD}(s)$ and give almost sharp bounds on $f_D(s)$.

Theorem 4. For any $d, s \ge 1$, $f_{SD}(s) = \lceil (s+1)/2 \rceil$ and $\lceil (s-1)/2 \rceil \le f_D(s) \le \lceil s/2 \rceil$.

2 Maximum degree

We use the following lemma of Lovász in the proofs of the results on bounded maximum degrees.

Lemma 5 ([Lov66]). Let G = (V, E) be a graph with maximum degree k and let k_1, \ldots, k_α be non-negative integers such that $k_1 + \cdots + k_\alpha = k - \alpha + 1$. Then there is a partition $V = V_1 \cup \cdots \cup V_\alpha$ of the vertex set into α parts such that the maximum degree in $G[V_i]$ is at most k_i , $i = 1, \ldots, \alpha$.

The proof of Proposition 2 is a simple induction.

Proof of Proposition 2. The proof is by induction on d. For d = 2 and d = 3 the theorem is easy to verify. Let $V = V_1 \cup V_2$ be a partition as in Lemma 5 for $\alpha = 2$, $k_1 = \lfloor \frac{d-2}{2} \rfloor$, and $k_2 = \lceil \frac{d-2}{2} \rceil$. Then by the induction hypothesis, $G[V_i]$ can be represented on a \mathbb{S}^{k_i} in \mathbb{R}^{k_i+1} . Represent $G[V_1]$ and $G[V_2]$ on \mathbb{S}^{k_1} and \mathbb{S}^{k_2} in orthogonal subspaces of dimension $k_1 + 1$ and $k_2 + 1$, respectively. Since the distance between any point in \mathbb{S}^{k_1} and any point in \mathbb{S}^{k_2} is 1, and both spheres are subspheres of \mathbb{S}^{d-1} , we obtain a representation of G in \mathbb{S}^{d-1} .

In the proof of Theorem 1 we use Lemma 6, which is a strengthening of a special case of Lemma 5, and Proposition 7, which gives an embedding of cycles in sufficiently general position on the 2-sphere.

Lemma 6. Let $d \ge 4$ and let G = (V, E) be a graph with maximum degree at most d.

If d is even, then there is a partition $V = V_1 \cup \cdots \cup V_{d/2}$ such that the maximum degree of $G[V_i]$ is at most 1 for $1 \le i < d/2$, the maximum degree of $G[V_{d/2}]$ is at most 2, and any $v \in V_{d/2}$ of degree 2 in $G[V_{d/2}]$ has exactly 2 neighbours in each V_i .

If d is odd, then there is a partition $V = V_1 \cup \cdots \cup V_{(d-1)/2}$ such that the maximum degree of $G[V_i]$ is at most 1 for $1 \leq i < (d-3)/2$, the maximum degree of $G[V_{(d-3)/2}]$ and $G[V_{(d-1)/2}]$ is at most 2, any degree 2 vertex in $G[V_{(d-3)/2}]$ has exactly 2 neighbours in each V_i for $i \leq (d-5)/2$ and exactly 3 neighbours in $V_{(d-1)/2}$, and any degree 2 vertex of $G[V_{(d-1)/2}]$ has at least 2 neighbours in each V_i for $i \leq (d-3)/2$ and at most 3 neighbours in $V_{(d-3)/2}$.

Proof. d is even: Let $V = V_1 \cup \cdots \cup V_{d/2}$ be a partition for which $\sum_{i=1}^{d/2} e(G[V_i])$ is minimal, where $e(G[V_i])$ denotes the number of edges in $G[V_i]$. For such a partition, each $v \in V_i$ is joined to at most 2 vertices in V_i , otherwise we could move v into some other part V_j to decrease the sum of the $e(G[V_i])$. Similarly, any $v \in V_i$ joined to exactly 2 other vertices in V_i has exactly 2 neighbours in each V_j . Hence we can move each degree 2 vertex of $G[V_i]$ one by one to $V_{d/2}$ without changing $\sum_{i=1}^{d/2} e(G[V_i])$, thus preserving the above two properties.

d is odd: Let $V = V_1 \cup \cdots \cup V_{(d-1)/2}$ be a partition for which $\sum_{i=1}^{(d-1)/2} e(G[V_i])$ is minimal. Again, for such a partition each $v \in V_i$ is joined to at most 2 vertices in V_i . If $v \in V_i$ is joined to exactly 2 other vertices in V_i , then it has at most 3 neighbours in one of the V_j 's and exactly 2 neighbours in all the others. So we can move each degree 2 vertex of $G[V_i]$ one by one to $V_{(d-3)/2}$ or to $V_{(d-1)/2}$, keeping $\sum_{i=1}^{d/2} e(G[V_i])$ unchanged. To obtain the final partition, we move the degree 2 vertices of $G[V_{(d-3)/2}]$ to $V_{(d-1)/2}$, except for those with 3 neighbours in $V_{(d-1)/2}$. Finally, note that a vertex of degree 2 in $G[V_{(d-1)/2}]$ is joined to at least 2 vertices in each V_i $(i \leq (d-3)/2)$, hence is joined to at most 3 vertices in $V_{(d-3)/2}$.

The following proposition states that paths and cycles can be realized on S^2 in sufficiently general position. Note that when a 4-cycle is realized on S^2 , there is always a pair of non-adjacent points that are diametrically opposite on the sphere.

Proposition 7. Any graph with maximum degree 2 can be realized on \mathbb{S}^2 such that the following two properties hold:

- 1. For no 3 distinct vertices a, b, and c, does there exist a vertex at distance 1 from all three.
- 2. No 4 vertices are on a circle, unless the 4 vertices consist of two pairs of diametrically opposite points coming from two distinct 4-cycles.

In the proof we use ideas from the correction [LSS00] to the paper [LSS89] of Lovász, Saks and Schrijver. Let G = (V, E) be a (d-1)-degenerate graph, and label its vertices as $V = \{v_1, \ldots, v_n\}$ such that $|\{v_j : j < i \text{ and } v_i v_j \in E\}| \leq d-1$ for all *i*. We realize G in \mathbb{S}^{d-1} using a random process. For any linear subspace A of \mathbb{R}^d of dimension at least 1, there is a unique probability measure on the subsphere $A \cap \mathbb{S}^{d-1}$ that is invariant under orthogonal transformations of A, namely the Haar measure μ_A . Given the Haar measure μ on \mathbb{S}^{d-1} , μ_A on $A \cap \mathbb{S}^{d-1}$ can be obtained as the pushforward of μ by the normalized projection $\tilde{\pi}_A \colon \mathbb{S}^{d-1} \setminus A^{\perp} \to A \cap \mathbb{S}^{d-1}$ given by $\tilde{\pi}_A(x) = (\sqrt{2}|\pi_A(x)|)^{-1}\pi_A(x)$, where $\pi_A \colon \mathbb{R}^d \to A$ is the orthogonal projection onto A.

We now embed G as follows. We first choose u_1 distributed uniformly from \mathbb{S}^{d-1} (that is, according to μ). Then for each $i = 2, \ldots, n$, we do the following sequentially. Let $L_i =$ span $\{u_j : j < i \text{ and } v_i v_j \in E\}$, and choose u_i uniformly from $L_i^{\perp} \cap \mathbb{S}^{d-1}$ (according to $\mu_{L_i^{\perp}}$) and independently of $\{u_j : j < i\}$.

Since each L_i has dimension at most d-1, this process is well defined. If G has maximum degree at most d-1, then for any permutation σ of [n], the ordering $(v_{\sigma(1)}, \ldots, v_{\sigma(n)})$ has the property that $|\{v_{\sigma(j)} : j < i \text{ and } v_{\sigma(i)}v_{\sigma(j)} \in E\}| \leq d-1$ for all i, and we can follow the above random process to embed G, thus obtaining a probability distribution ν_{σ} on the collection of realizations of G in \mathbb{S}^{d-1} . As pointed out in [LSS00], for different σ we may obtain different probability distributions ν_{σ} . Nevertheless, as shown in [LSS00], under a certain condition on G, any two such measures are *equivalent*, that is, they have the same sets of measure 0, or equivalently, the same sets of measure 1. We say that an event A holds almost surely (a.s.) with respect to some probability distribution if it holds with probability 1.

Lemma 8 ([LSS00]). For any graph G = (V, E) that does not contain a complete bipartite graph on d + 1 vertices, for any two permutations σ and τ of $\{1, \ldots, n\}$, the distributions ν_{σ} and ν_{τ} are equivalent.

This lemma is used in [LSS00] to show that under the same condition, the above random process gives a realization of the graph such that the points are in general position almost surely.

Theorem 9 ([LSS00, LSS89]). For any graph G = (V, E) that does not contain a complete bipartite graph on d+1 vertices, the above random process gives a realization of G such that for any set of at most d vertices of G, the embedded points are linearly independent.

We now apply Lemma 8 and Theorem 9 to prove Proposition 7.

Proof of Proposition 7. Observe that G is a disjoint union of paths and cycles. If we remove a vertex from each 4-cycle, we obtain a graph G' = (V', E') with $V' = \{v_1, \ldots, v_n\} \subseteq V$ that does not contain a complete bipartite graph on 4 vertices (that is, a 4-cycle or $K_{1,3}$). Take a random realization of G' as described above, and then add back the removed vertices as follows. If a was removed from the cycle $av_iv_jv_k$ with this cyclic order, then embed a as the point $-v_j$ opposite v_j . We also denote a by $-v_j$. We claim that this realization satisfies the conditions of the proposition almost surely.

We want to avoid certain configurations on some small number of vertices. By Lemma 8 it is always enough to show that if we start with these few vertices then almost surely they do not form a prohibited configuration.

First we have to see that after adding back the removed vertices, we have a unit distance realization of G almost surely. By Theorem 9, we have a realization of G' almost surely, and for any c with neighbours b and d, we have that $b \neq \pm d$ a.s. and that no point is diametrically opposite c. By adding back a = -c, we then also have b and d at distance 1 from a.

Suppose next that some vertex v is at distance 1 to a, b, and c. If any of these vertices are in $V \setminus V'$, we may replace them by their diametrically opposite point which is in V, and we still have that v is at distance 1 to a, b, and c, and $v, a, b, c \in V'$. Since v is not adjacent to all three in G', we may assume without loss of generality that $va \notin E'$. If we then randomly embed G' using an ordering that starts with v and a, we obtain a.s. that $|v - a| \neq 1$, which is a contradiction (by Lemma 8). Therefore, no vertex of G is at distance 1 to three distinct vertices of G.

We next show that no 4 distinct vertices $w_1, w_2, w_3, w_4 \in V$ of G will be realized on a circle a.s., where $w_i = \varepsilon_i v_i$ for some $\varepsilon_i \in \{\pm 1\}$ and $v_i \in V'$, i = 1, 2, 3, 4, unless we have $w_1 = -w_2$ and $w_3 = -w_4$ after relabelling. Suppose first that v_1, v_2, v_3, v_4 are distinct, and let $H := G[v_1, v_2, v_3, v_4]$ and $H' = G[w_1, w_2, w_3, w_4]$. Note that $v_i \mapsto w_i$ is an isomorphism from H to H'. Since G does not contain a 4-cycle or $K_{1,3}, d_H(v_i) \leq 1$ for some 1 = 1, 2, 3, 4. Without loss of generality, $d_H(v_4) = d_{H'}(w_4) \leq 1$, and if $d_H(v_4) = 1$, then $v_3v_4 \in E'$. Then dim $L_4^{\perp} \geq 2$, and it follows that after choosing u_3 , the fourth point u_4 and $-u_4$ will a.s. not be on the circle through $\varepsilon u_1, \varepsilon_2 u_2, \varepsilon_3 u_3$, since the great circle of \mathbb{S}^2 orthogonal to u_3 intersects each of the 8 circles through any of $\pm u_1, \pm u_2, \pm u_3$ in at most 2 points.

Next suppose that v_1, v_2, v_3, v_4 consist of exactly 3 distinct vertices, say with $w_3 = v_3 = v_4$ and $w_4 = -v_3 = -v_4$. Since u_1, u_2, u_3 are linearly independent a.s., none of the 8 triples $\{\varepsilon_1 u_1, \varepsilon_2 u_2, \varepsilon_3 u_3\}$ where $(\varepsilon_1, \varepsilon_2, \varepsilon_3) \in \{\pm 1\}^3$, lie on a great circle a.s., hence w_4 is not on the circle through w_1, w_2, w_3 a.s.

The only remaining case is where v_1, v_2, v_3, v_4 consist of exactly 2 distinct vertices, say with $w_1 = -w_2 = v_1$ and $w_3 = -w_4 = v_2$. It follows that w_1 and w_2 are embedded as opposite points on \mathbb{S}^2 , and w_3 and w_4 are too.

Proof of Theorem 1. For d = 1 and d = 2, the theorem is trivial. For d = 3, we use Proposition 7 as follows. First we remove vertices of degree 3 in G from V one by one. Let $W \subset V$ be the set of removed vertices. Each $w \in W$ has exactly 3 neighbours in V, W is an independent set of G, and the maximum degree in $G[V \setminus W]$ is at most 2. Now we represent $G[V \setminus W]$ on \mathbb{S}^2 as in Proposition 7. Finally, we embed the removed vertices in W one by one as follows. For any circle on \mathbb{S}^2 , there are exactly 2 points at distance 1 from the circle. (They are not necessarily on the sphere.) For any $w \in W$, we choose one of these two points determined by the circle through the 3 neighbours of w. It remains to show that there are at most 2 vertices in W that determine the same circle. First note that at most 2 vertices in W can have the same set of neighbours, because G does not have $K_{3,3}$ as a component. Also, if $w_1 \in W$ and $w_2 \in W$ have different sets of neighbours, then their neighbours span different circles on \mathbb{S}^2 . Otherwise, if the neighbours of w_1 and w_2 lie on the same circle C, then by Proposition 7, w_1 and w_2 have a common neighbour v on C that lies on a 4-cycle in $G[V \setminus W]$, so v will have degree 4 in G, a contradiction.

For d > 3 we consider two cases depending on the parity of d.

Case 1: d is even. Let $V = V_1 \cup \cdots \cup V_{d/2}$ be a partition as in Lemma 6. Remove vertices of degree 2 in $G[V_{d/2}]$ from $V_{d/2}$ until the maximum degree of each remaining vertex in $V_{d/2}$ is at most 1 in $G[V_{d/2}]$. Let $W \subset V_{d/2}$ be the set of removed vertices. Then W is an independent set of G, any $w \in W$ has exactly 2 neighbours in $V_{d/2}$, and the maximum degree of a vertex in $G[V_{d/2} \setminus W]$ is at most 1. Hence $G[V \setminus W] = G[V_1 \cup \cdots \cup V_{(d/2)-1} \cup (V_{d/2} \setminus W)]$ can be represented on \mathbb{S}^{d-1} as follows. As $G[V_i]$ for $1 \leq i < d/2$ and $G[V_{d/2} \setminus W]$ have maximum degree 1, they can be realized on circles of radius $1/\sqrt{2}$ and centre the origin o in pairwise orthogonal 2-dimensional subspaces of \mathbb{R}^d . We can also ensure that no two vertices are diametrically opposite on a circle.

Then we add the vertices of W one by one to this embedding. Each vertex $w \in W$ has exactly 2 neighbours on each circle, so the set N(w) of d neighbours of w span an affine hyperplane H not passing through o, hence they lie on a subsphere of \mathbb{S}^{d-1} of radius less than $1/\sqrt{2}$. It follows that there are exactly 2 points in $\mathbb{R}^d \setminus \mathbb{S}^{d-1}$ at distance 1 from N(w), both on the line through o orthogonal to H. We choose one of these points to embed w.

It remains to show that there are at most two $w \in W$ that determine the same subsphere, and that two different subspheres determine disjoint pairs of points at distance 1. There are no 3 vertices in W with the same set of neighbours, since the maximum degree in $V_{d/2}$ is at most 2. If some two vertices w_1 and w_2 from W have different sets of neighbours $N(w_1) \neq N(w_2)$, then they have different pairs of neighbours on at least one of the orthogonal circles, so the affine hyperplanes H_1 and H_2 spanned by $N(w_1)$ and $N(w_2)$ are different. If H_1 and H_2 are parallel, then the two subspheres $H_1 \cap \mathbb{S}^{d-1}$ and $H_2 \cap \mathbb{S}^{d-1}$ have different radii, and the pair of points at distance 1 from $H_1 \cap \mathbb{S}^{d-1}$ are disjoint from the pair of points at distance 1 from $H_2 \cap \mathbb{S}^{d-1}$. If H_1 and H_2 are not parallel, the pairs of points at distance 1 from $H_1 \cap \mathbb{S}^{d-1}$ and from $H_2 \cap \mathbb{S}^{d-1}$ lie on different lines through o (and none can equal o), and so are also disjoint. Therefore, all points from W can be placed.

Case 2: d is odd. Let $V = V_1 \cup \cdots \cup V_{(d-3)/2} \cup V_{(d-1)/2}$ be a partition as in Lemma 6. First we embed $V \setminus V_{(d-3)/2} = V_1 \cup \cdots \cup V_{(d-5)/2} \cup V_{(d-1)/2}$ on \mathbb{S}^{d-3} as follows. As each $G[V_i]$ for $1 \leq i \leq (d-5)/2$ has maximum degree 1, the $G[V_i]$ can be realized on circles of radius $1/\sqrt{2}$ and with centre in the origin o in pairwise orthogonal 2-dimensional subspaces of \mathbb{R}^d . We can also ensure that from $V_1 \cup \cdots \cup V_{(d-5)/2}$ no two vertices are diametrically opposite on a circle. Since the maximum degree of $G[V_{(d-1)/2}]$ is at most 2, $V_{(d-1)/2}$ can be embedded on a 2-sphere S of radius $1/\sqrt{2}$ and centre o in a subspace orthogonal to the subspace spanned by $= V_1 \cup \cdots \cup V_{(d-5)/2}$, as described in Proposition 7. We will denote by C the circle of radius $1/\sqrt{2}$ and with centre o in the plane orthogonal to the subspace spanned by \mathbb{S}^{d-3} .

Before treating the general case, we show that we can add $V_{(d-3)/2}$ to the embedding, assuming that $V_{(d-1)/2}$ is embedded in S in general position in the sense that no four points of $V_{(d-1)/2}$ lie on the same circle and no three points of $V_{(d-1)/2}$ lie on a great circle of S. With this assumption, embedding $V_{(d-3)/2}$ is very similar to the embedding of $V_{d/2}$ in the even case. First we find an independent set $W \subseteq V_{(d-3)/2}$ such that the maximum degree of $G[V_{(d-3)/2} \setminus W]$ is at most 1, and each $w \in W$ has exactly two neighbours in $V_{(d-3)/2}$. Then we embed $V_{(d-3)/2} \setminus W$ on C such that no two vertices are in opposite positions. Note that $V \setminus W$ is embedded in \mathbb{S}^{d-1} . Finally, we embed the vertices of W one by one. Each vertex $w \in W$ has exactly two neighbours in V_i for $1 \leq i \leq (d-3)/2$ and three neighbours in $V_{(d-1)/2}$. By the general position assumption the affine hyperplane spanned by the set of neighbours N(w) of w does not contain the origin. Thus there are exactly 2 points in $\mathbb{R}^d \setminus \mathbb{S}^{d-1}$ at distance 1 from N(w). We choose one of these points to embed w. An argument similar to the one that was used in the even case shows that there are at most two $w \in W$ that determine the same hyperplane, and two different hyperplanes determine disjoint pairs of points.

We now turn to the general case. As before, we would like to choose an independent set $W \subseteq V_{(d-3)/2}$ such that the maximum degree of $G[V_{(d-3)/2} \setminus W]$ is at most 1 and each $w \in W$ has exactly two neighbours in $V_{(d-3)/2}$. However, this is not enough: Note that if $V_{(d-1)/2}$ is not in general position, then it is possible that there is a vertex $w \in V_{(d-3)/2}$ for which $N_1(w) := N(w) \cap V_{(d-1)/2}$ spans a great circle on S. Hence the points that are at distance 1 from N(w) are the poles of the circle spanned by $N_1(w)$ on S. In addition, in this case the points that are at distance 1 from $N(w_1) \neq N(w_2)$ but $N_1(w_1)$ and $N_1(w_2)$ span the same great circle on S, then the pair of points where w_1 and w_2 can be embedded, are the same. Thus, we have to impose some more properties on the independent subset W.

Recall that $V_{(d-1)/2}$ is embedded on the 2-sphere S as in Proposition 7. Therefore, three vertices $a, b, c \in V_{(d-1)/2}$ can only span a great circle if two of them are opposite vertices of a 4-cycle that are embedded in antipodal points. We assign an ordered triple (a, b, c) to a, b, c if they span a great circle with a and b being antipodal. By the properties of the embedding of $V_{(d-1)/2}$ on S, we have that (a, b, c) and (e, f, g) span the same great circle if and only if one of the following two statements hold.

- 1. $\{a, b\} = \{e, f\}, c = g$, and no vertex from $V_{(d-1)/2}$ is embedded in the point antipodal to c = g. (That is, c = g is not part of a pair of opposite vertices of a 4-cycle that was embedded in an antipodal pair.)
- 2. $\{a, b, c, e, f, g\} = \{h, i, j, k\}$ consist of two pairs of points $\{h, i\}$ and $\{j, k\}$ that are opposite vertices of two 4-cycles.

If for $w_1, w_2 \in V_{(d-3)/2}$, $N_1(w_1)$ and $N_1(w_2)$ are as in the first statement, they span the same great circle if and only if $N_1(w_1) = N_1(w_2) = \{a, b, c\}$. Since a and b have degree 2 in $G[V_{(d-1)/2}]$, by Lemma 6 they are each joined to at most 3 vertices in $V_{(d-3)/2}$, hence there are at most three vertices $w_1, w_2, w_3 \in V_{(d-3)/2}$ for which $N_1(w_1) = N_1(w_2) = N_1(w_3) = \{a, b, c\}$. We will call such a triple $\{w_1, w_2, w_3\}$ a conflicting triple.

If for $w_1, w_2 \in V_{(d-3)/2}$, $N_1(w_1)$ and $N_1(w_2)$ are as in the second statement, they span the same great circle in S if and only if $N_1(w_1), N_1(w_2) \subseteq \{h, i, j, k\}$. Again, by Lemma 6, any vertex from $\{h, i, j, k\}$ has at most three neighbours in $V_{(d-3)/2}$, and so there are at most four vertices $w_1, w_2, w_3, w_4 \in V_{(d-3)/2}$ for which $N_1(w_1), N_1(w_2), N_1(w_3), N_1(w_4) \subseteq \{h, i, j, k\}$. If there are 4 such vertices we will call them a *conflicting 4-tuple*, while if there are 3, we will also call them a *conflicting triple*.

We will also call both a conflicting triple and a conflicting 4-tuple a conflicting set. Note that any two different conflicting sets are disjoint. Recall that by the properties of the embedding of $V_{(d-1)/2}$ given by Proposition 7, if three vertices on S span a great circle, no vertex from $V_{(d-1)/2}$ is embedded in the poles of this circle. It follows that it is sufficient for an embedding to find $W \subseteq V_{(d-3)/2}$ with the following properties.

- 1. W is an independent set.
- 2. If $w \in W$, then w has exactly two neighbours in $V_{(d-3)/2}$ (in order for w to have exactly 3 neighbours in $V_{(d-1)/2}$).
- 3. $V_{(d-3)/2} \setminus W$ can be embedded on C, such that if $a, b \in V_{(d-3)/2} \setminus W$ are neighbours of some $w \in W$, then a and b are not in opposite positions (in order to guarantee that if for $w_1, w_2 \in W$ the neighbour sets $N_1(w_1)$ and $N_1(w_2)$ span different circles, then $N(w_1)$ and $N(w_2)$ define different hyperplanes.)
- 4. W contains at most two points of any conflicting set (in order to guarantee that the neighbours of at most two vertices from W can define the same hyperplane).

Once we find such W, we can proceed as in the particular case considered above. In the remaining part of the proof we construct such W.

Note that the connected components of $G[V_{(d-3)/2}]$ are paths and cycles. We embed paths of length at most 3 and cycles of length 4 on C. Let \mathcal{H} be the set of the remaining connected components of $G[V_{(d-3)/2}]$. It is easy to see the following:

Proposition 10. Let $H \in \mathcal{H}$ be a cycle of length not equal to 4 or a path of length at least 4. Then V(H) can be partitioned into sets A_H and B_H , so that:

- 1. $H[B_H]$ is a matching containing only vertices of degree 2 in H (that is, not containing endpoints of P).
- 2. For any maximal independent set $W' \subset B_H$ the graph $H[A_H \cup W']$ has connected components of size ≤ 4 .

Proof. Such a partition is very easy to achieve — simply choose the edges in B_H "greedily", in the path case starting from a vertex next to the endpoint of a path.

For each $H \in \mathcal{H}$ we denote the partition given by Lemma 10 as $V(H) = A_H \cup B_H$, and select a maximal independent W_H from each B_H , $H \in \mathcal{H}$, in a specific way to be explained below, and put $W := \bigcup_{H \in \mathcal{H}} W_H$. First, let us verify that for any choice of W, we can make sure that the properties 1–3 are satisfied. First, clearly, W is an independent set. Second, by the choice of B in each component $H \in \mathcal{H}$, each vertex in W has degree 2. Third, since each connected component of $H \setminus W$, $H \in \mathcal{H}'$, has size at most 4, it can be realized on the circle C such that vertices from different connected components are not in opposite position. Thus, if $w \in W$ has neighbours in different connected components of $H \setminus W$, then the property 3 is satisfied for w. If both neighbours of w are in the same component of $H \setminus W$, then H is a cycle of length 3 or 5, and $H \setminus W = H \setminus \{w\}$ is a path of length 1 or 3. In both cases the neighbours of w form an angle of $\pi/2$ and thus are not in opposite positions.

To conclude the proof, it remains to choose W in such a way that property 4 is also satisfied. Recall that G[M] is a matching, where $M := \bigcup_{H \in \mathcal{H}} B_H$, and $W \subset M$ has exactly 1 vertex from each edge of G[M]. The vertices from M may belong to several conflicting sets, but, since different conflicting sets are disjoint, each vertex belongs to at most one of them.

We add some new edges to G[M] to obtain G' as follows. For each conflicting triple, we add an edge between two of its vertices that were not connected before, and for each conflicting 4-tuple we add two vertex disjoint edges that connect two-two of its vertices that were not connected before. It is clear that finding such edges is possible. Moreover, the added set of edges forms a matching. Thus, the graph G' is a union of two matchings, and therefore does not have odd cycles. Hence, G' is bipartite, and it has an independent set W which contains exactly one vertex from each edge in G'. This is the desired independent set, since no independent set in G' intersects a conflicting group in more than two vertices.

3 Number of edges

A graph G = (V, E) is called *k*-degenerate if any subgraph of G has a vertex of degree at most k.

Lemma 11. Let $d \ge 2$ and let x be a vertex of degree at most d-2 in a graph G. If G-x can be realized on \mathbb{S}^{d-1} as a unit distance graph, then G can also be represented on \mathbb{S}^{d-1} .

Proof. The neighbours of x span a linear subspace of dimension at most d-2, so there is a great circle from which to choose x.

Corollary 12. Any (d-2)-degenerate graph has spherical dimension at most d.

The above corollary also follows from the proof of Proposition 2 in [ES80]. In the proof of Theorem 3 we need the following well-known lemma.

Lemma 13. If the complement of a graph H on d + k vertices has a matching of size k, then H can be realized on \mathbb{S}^{d-1} . In particular, the graph of the d-dimensional cross-polytope can be realized on \mathbb{S}^{d-1} .

Proof. Let v_1, \ldots, v_{d+k} be the vertices of H, labelled so that v_i is not joined to v_{d+i} $(i = 1, \ldots, k)$. Let vectors $e_1, e_2, \ldots, e_d \in \mathbb{S}^{d-1}$ form an orthogonal basis. Map v_i to e_i and v_{d+i} to $-e_i$ $(i = 1, \ldots, k)$. This is the desired realization: e_i is at distance 1 from $\pm e_j$ whenever $j \neq i$. \Box Proof of Theorem 3. Define g(2) = 3, g(3) = 8 and $g(d) = \binom{d+2}{2} - 1$ for $d \ge 4$. We show by induction on $d \ge 2$ that if G = (V, E) has at most g(d) edges, then G can be embedded in \mathbb{R}^d , and if G furthermore does not contain K_{d+1} or $K_{d+2} - K_3$, then G can be embedded in the sphere \mathbb{S}^{d-1} of radius $1/\sqrt{2}$. This is easy to verify for d = 2. From now on, assume that $d \ge 3$, and that the statement is true for dimension d - 1.

Remove vertices of degree at most d-2 one by one from G until this is not possible anymore. If nothing remains, Corollary 12 gives that G can be embedded in \mathbb{S}^{d-1} . Thus, without loss of generality, a subgraph H of minimum degree at least d-1 remains. We first show that if H contains K_{d+1} or $K_{d+2} - K_3$, then G can be embedded in \mathbb{R}^d .

Suppose that H contains $K_{d+2}-K_3$. Then H cannot have more than d+2 vertices, otherwise, since each vertex of H has degree at least d-1, $|E(H)| \ge {d+2 \choose 2} - 3 + d - 1 > g(d)$, a contradiction. Therefore, H is contained in $K_{d+2} - e$, which can be embedded in \mathbb{R}^d as two regular d-simplices with a common facet. Note that this embedding has diameter $\sqrt{2+2/d} < 2$. There are at most two edges of G that are not in H. Then the degrees of the vertices in $V(G) \setminus V(H)$ are at most 2, so they can easily be embedded in \mathbb{R}^d .

Suppose next that H contains K_{d+1} but not $K_{d+2} - K_3$. If H has more than one vertex outside K_{d+1} , then $|E(H)| \ge {d+1 \choose 2} + d - 1 + d - 2 > g(d)$, a contradiction. If H has a vertex outside K_{d+1} , then this vertex is joined to at least d - 1 vertices of K_{d+1} , and it follows that Hcontains $K_{d+2} - K_3$, a contradiction. Therefore, $H = K_{d+1}$. There are at most $g(d) - {d+1 \choose 2} \le d$ edges between V(H) and $V(G) \setminus V(H)$. Therefore, some $v \in H$ is not joined to any vertex outside H. Then $H - v = K_d$ can be embedded in \mathbb{S}^{d-1} , hence by Lemma 11, G - v can be embedded in \mathbb{S}^{d-1} . Since v is only joined to the d vertices in V(H - v), we can embed it in $\mathbb{R}^d \setminus \mathbb{S}^{d-1}$ so that it has distance 1 to all its neighbours.

We may now assume that H does not contain K_{d+1} or $K_{d+2} - K_3$. It will be sufficient to show in this case that H can be embedded in \mathbb{S}^{d-1} , as it then follows by Lemma 11 that G can also be embedded in \mathbb{S}^{d-1} .

If H has at most d + 1 vertices, then H is a proper subgraph of K_{d+1} , and we are done by Lemma 13.

Suppose next that H has d + 2 vertices. Then the complement \overline{H} has maximum degree at most 2. If \overline{H} does not have two independent edges, then its edges are contained in a K_3 , and H contains $K_{d+2} - K_3$, a contradiction. Therefore, \overline{H} has two independent edges, and we are done by Lemma 13.

Thus without loss of generality, H has at least d+3 vertices. Let v be a vertex of maximum degree in H. If v is adjacent to all other vertices of H, then v has degree at least d+2, hence $|E(H-v)| \leq g(d) - (d+2) \leq g(d-1)$, and, since H does not contain K_{d+1} or $K_{d+2} - K_3$, the graph H-v does not contain K_d or $K_{d+1} - K_3$. Therefore, by induction, H-v is embeddable in a subsphere \mathbb{S}^{d-2} . We then embed v as a point on \mathbb{S}^{d-1} orthogonal to this \mathbb{S}^{d-2} .

Thus without loss of generality, each vertex v of maximum degree Δ has a non-neighbour w. We may also assume that $\Delta \geq d$, otherwise Proposition 2 gives that H is embeddable in \mathbb{S}^{d-1} . Then $|E(H-v-w)| \leq g(d) - \Delta - (d-1) \leq g(d) - d - (d-1) \leq g(d-1)$. By induction, either H-v-w is embeddable in $\mathbb{S}^{d-1} \cap H$, where H is a hyperplane passing through the origin, and then v and w can be embedded as the two points on \mathbb{S}^{d-1} orthogonal to H, or H-v-w contains a K_d or a $K_{d+1} - K_3$.

Case 1: For any v of maximum degree and any w that is non-adjacent to v, H - v - w contains a d-clique K. Since H does not contain K_{d+1} , v has a non-neighbour x in K. Then H - v - x contains another d-clique K'. If K and K' intersect in at most d - 2 vertices, then $K \cup K'$ has at least $\binom{d+2}{2} - 4$ edges, hence $|E(H)| \ge d + \binom{d+2}{2} - 4 > g(d)$, a contradiction. Therefore, K and K' intersect in exactly d - 1 vertices, and $K \cup K'$ has at least $\binom{d+1}{2} - 1$ edges. Since H has at least d + 3 vertices, there exists a vertex $y \ne v$ not in $K \cup K'$. Then

 $|E(H)| \ge \deg(v) + \deg(y) - 1 + \binom{d+1}{2} - 1 \ge d + (d-1) - 1 + \binom{d+1}{2} - 1 > g(d), \text{ a contradiction.}$

Case 2: Some vertex $v \in H$ of maximum degree $\Delta \geq d$ has a non-neighbour w such that H - v - w contains a $K_{d+1} - K_3$. Then $|E(H)| \geq \Delta + \deg(w) + \binom{d+1}{2} - 3 \geq g(d)$. Since also $|E(H) \leq g(d)$, it follows that $H - v - w = K_{d+1} - K_3$, v has degree $\Delta = d$, and w has degree d - 1. Let v_1, v_2, v_3 be the pairwise non-adjacent vertices in H - v - w. If v is joined to at most 2 of the v_i and w is joined to at most 1 of the v_i , i = 1, 2, 3, then the components of $H[v, w, v_1, v_2, v_3]$ are paths of length at most 3, hence can be realized on a great circle C of \mathbb{S}^{d-1} , and the remaining K_{d-2} can be realized on the subsphere orthogonal to C. Otherwise, either v is joined to all of v_1, v_2, v_3 , or w is joined to at least two of them. Note that v has a non-neighbour other than w in H, and w has at least 2 non-neighbours other than v in H. It follows that there are two different vertices $w_1, w_2 \in V(H) \setminus \{v, w\}$ such that vw_1 and ww_2 are non-adjacent pairs and $|\{w_1, w_2\} \cap \{v_1, v_2, v_3\}| \leq 1$. Thus, we can find three disjoint pairs of non-adjacent vertices in H and apply Lemma 13.

4 Ramsey results

Lemma 14. The graphs K_{d+2} and $K_{d+3} - K_3$ cannot be embedded in \mathbb{R}^d . The graphs K_{d+1} and $K_{d+2} - K_3$ cannot be embedded in \mathbb{S}^{d-1} .

Proof. Embeddability $\ln \mathbb{S}^{d-1}$ reduces to statements about orthonormal vectors, since \mathbb{S}^{d-1} has radius $1/\sqrt{2}$, hence the endpoints of an edge of a unit-distance graph on \mathbb{S}^{d-1} are orthogonal when viewed as unit vectors. It is then immediate that K_{d+1} cannot be realized in \mathbb{S}^{d-1} .

We next show by induction on d that $G = K_{d+2} - K_3$ cannot be realized on a sphere of any radius in \mathbb{R}^d . This is easy to see for d = 1 and 2. For $d \ge 3$, choose a $v \in G$ that is joined to all other vertices. Then G - v is contained in the intersection of the sphere with the unit sphere centered at v. This gives an embedding of $K_{d+1} - K_3$ in a subsphere on a hyperplane of \mathbb{R}^d , which contradicts the induction hypothesis.

This also implies that $K_{d+3} - K_3$ cannot be embedded in \mathbb{R}^d .

Suppose that K_{n+1} can be embedded in \mathbb{R}^d . Without loss of generality, we then have unit vectors v_1, \ldots, v_n such that the distance between any two v_i is 1. It then follows from the identity $\sum_{i=1}^n \sum_{j=1}^n \lambda_i \lambda_j ||v_i - v_j||^2 = 2(\sum_{i=1}^n \lambda_i) \sum_{i=1}^n \lambda_i ||v_i||^2 - 2||\sum_{i=1}^n \lambda_i v_i||^2$ that v_1, \ldots, v_n are linearly independent, hence $n \leq d$.

Proof of Theorem 4. Consider the graph G on 2d vertices which is a union of a K_{d+1} and d-1 isolated vertices. Then G contains K_{d+1} and \overline{G} contains $K_{d+2} - K_3$. By Lemma 14, neither of these graphs can be embedded in \mathbb{S}^{d-1} . It follows that $f_{SD}(s) \geq \lceil (s+1)/2 \rceil$.

To prove $f_{SD}(s) \leq \lceil (s+1)/2 \rceil$, we show that if the edges of the complete graph on 2d-1 vertices are coloured with red and blue, then either the graph spanned by the red (denoted by G_r) or the graph spanned by the blue edges (denoted by G_b) can be embedded on \mathbb{S}^{d-1} .

The proof is by induction on d. It is easy for d = 1, 2. For d > 2: If the maximum degree of G_r or G_b is at most d - 1, we are done by Proposition 2. So we may assume that there are two vertices, v_r and v_b , of degree at least d in G_r and G_b respectively. By the induction we may assume that $G_r[V - v_r - v_b]$ is realizable on \mathbb{S}^{d-2} . If the edge $v_r v_b$ is blue, we put v_r and v_b in the poles of the (d-2)-sphere on which $G_r[V - v_r - v_b]$ is embedded. Otherwise v_b has at most d-3 neighbours in $G_r[V - v_r]$. In this case we first add v_b on the (d-2)-sphere (on which $G_r[V - v_r - v_b]$ is embedded), and then we can put v_r in one of the poles of the (d-2)-sphere.

To obtain the lower bound on $f_D(s)\lceil (s-1)/2\rceil$, consider the graph G which is the union of K_{d+2} and d isolated vertices. Then G contains K_{d+2} and \overline{G} contains $K_{d+3} - K_3$. Neither of these can be embedded in \mathbb{R}^d by Lemma 14.

To prove $f_D(s) \leq \lceil s/2 \rceil$, we show that if the edges of the graph on 2d vertices are coloured with red and blue, then either G_r or G_b can be embedded in \mathbb{R}^d . For any vertex $v \in V$ we have $d_{G_r}(v) + d_{G_b}(v) = 2d - 1$, so either $d_{G_r} \leq d - 1$ or $d_{G_b} \leq d - 1$. Hence we may assume that there are at most d vertices that have degree larger than d - 1 in G_r . Let W be the set of vertices $v \in V$ with $d_{G_r}(v) \leq d - 1$. $|V \setminus W| \leq d$, so we can embed $G_r(V)$ on \mathbb{S}^{d-1} . Then we add the vertices of W to this embedding one by one as follows. If $w \in W$ has a neighbour in W, then it has at most d - 2 neighbours in $V \setminus W$, thus we remove it from W and embed it on \mathbb{S}^{d-1} . We repeat this until W is an independent set. Now for each vertex $w \in W$ there is at least a circle (which is not necessarily contained in \mathbb{S}^{d-1}) in which we can embed w, so we embed them one by one.

5 Additional questions

In Theorem 1 we proved that any graph with maximum degree d can be embedded in \mathbb{R}^d unless d = 3 and G has $K_{3,3}$ as a component. We suspect that a slightly stronger statement holds.

Problem 1. Is it true that for d > 3 any graph with maximum degree d, except K_{d+1} , has spherical dimension at most d?

This is false for d = 3: the 3-cube (even the 3-cube with a vertex removed) cannot be embedded on \mathbb{S}^2 ; neither can the graphs on the vertices $a_1, \ldots, a_n, b_1, \ldots, b_n$ with edge set $\{a_i b_j : j = i - 1, i, i + 1 \mod n\}$ where $n \ge 3$ odd.

The lower and upper bound on $f_D(s)$ in Theorem 4 are very close, but it still does not give the exact value of $f_D(s)$. We conjecture that the lower bound is sharp.

Problem 2. Is it true that for any graph G on 2d + 1 vertices, either G or \overline{G} has dimension at most d?

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